

SCHOOL BUSINESS

WHO WE ARE & WHAT WE DO



FBS Data Analysis Team

Nathan Craver – Data Analytics Manager

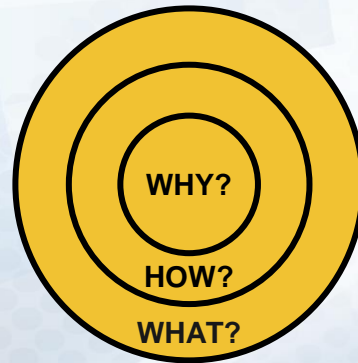
David Cauthorn
Susan Charlton
Michael Ray
Hyejung Jane Seo



“People don’t buy what you do; they buy why you do it.”

“Don’t forget that a WHY is just a belief, HOWs are the actions we take to realize that belief and WHATs are the results of those actions.”

-Simon Sinek

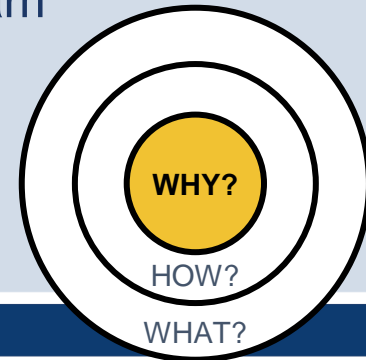


Why?

Why do we do what we do?

Why is it important?

- Student success
- To get federal/state funding
- Support the creation of the state budget and impacts legislation
- Support the Allotment section by providing data for allocations such as Best 1 of 2, Average Salary, Positions etc.
- Help FBS and other divisions implement budgets, program monitoring, and compliance
- Support research initiatives

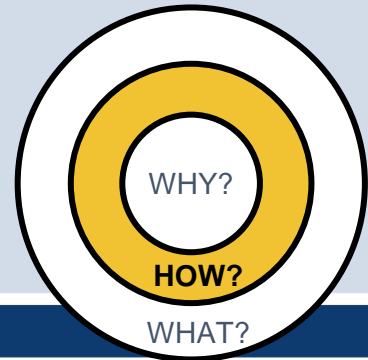


How?

How do we do our work?

How do we produce our what?

- Accurate data collection, reporting, and analysis to meet the needs of our stakeholders
- Collaboration with all stakeholders
- Get data from various sources within DPI
- Provide support in developing data requirements



What?

What do we provide?

- Provide data analysis, and reports for PSUs, FBS, Fiscal Research, OSBM, the federal government and other stakeholders on any financial data related to traditional LEAs and Charter/Independent/Lab schools.
- Accurate collection and reporting of student accounting data (Average Daily Membership -ADM / Principals Monthly Report - PMR) to help FBS and all PSUs determine allotments and per pupil spending.



SBS Modernization: Brief Overview

- Mainframe replacement
 - Assist in the movement process from mainframe to the proposed new platform
- Cash Management System
 - Assisted in the development of the new Cash Management System.
 - Allows LEAs to move funds from State disbursement and local accounts easily, and gives LEAs the option to order cash by Grant Year.
- Annualized Salary
 - Creating an annualized payroll process, which should minimize the need for the Salary Analyst to make corrections on the LEAs behalf.
 - LEAs will no longer need to submit corrections to DPI and will simplify monitoring and compliance for salary audits.

Thank you!



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Office of School Business Reporting Section

Roxane Bernard
Tina Boyce
Gina Brown
Corey Terry
Evelyn Gallegos
(Section Chief)



Data Files

The Heart of the Reporting Section

What are Data Files?

How we Process Files

Who's Affected

Data File

- PSU'S upload their 275 byte(Payroll and MFR File) and 60 byte (Summary File)
- Files are uploaded VIA PSU vendors.
- This is what we call the Data File Process, and it includes: Data Validation, State & Federal Fund Cash Zero-Out, and Cash Reconciliation.

Data Validation

- Confirm receipt of Files
- Quality Check
- Approve for Upload
- Salary Audit



Processing of Zero-Out

- State Public School Fund and School Technology Fund (Monthly Zero-Out).
- The zero out occurs within the first two weeks of the following month.

The Zero Out process is to balance state and federal certifications with state and federal expenditures reported to DPI monthly.

$$\begin{array}{rcl} \text{Net Cash Certifications} & - & \text{Expenditures} \\ \text{state funds received} & & \text{payroll/salaries} \\ & & \text{payroll taxes} \\ & & \text{utilities, textbooks} \\ & & \text{rent} \\ & = & \text{Cash Balance} \\ & & \text{positive balance} \\ & & \text{negative balance} \end{array}$$

Cash Reconciliations

- Work closely to reconcile the monthly external/internal cash reconciliations.
- Assist the PSUs with their state and federal cash requests.



Reporting Section Add'l Duties

- Chart of Accounts
- Updating CCF Tables
- Salary Manual Updates
- Salary Audit Exception
- Liaison to Licensure:
 - JROTC Salary Inquires
 - Interpretation of Military Spouse Policy
- Invalid Account Codes
- External/Internal Recon
- PSU monitoring Letters
- Projects with Finance Side
- Pull Reports
- Data Analysis
- Statistical Profile
- Assist in areas of Compliance

Thank you!



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School Allotments Section

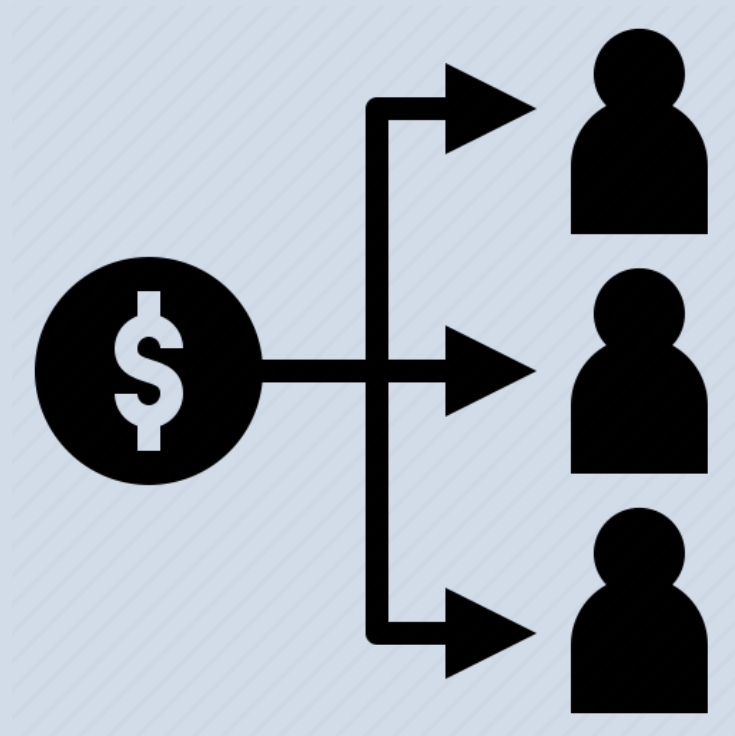


Allotments Staff

- Derek Pryor
- Budget Analyst I
- System Accounting
- Lillian Hatton
- Budget Analyst II
- Susan Holly
- Budget Analyst Lead
- Quatia Snipes
- Section Chief

Allotments 101

Calculate and distribute public state funds and federal funds by collecting and utilizing school, county, city, and federal data.



Beyond the Basic

Process reversions of both state and federal funds for reasons such as reallocations, redistribution, or return to the federal government.



Five Stars

Provide customer service to internal and external stakeholders in and out of the DPI organization.





- *“Educated children grow up to be educated adults which in turn become contributing members of our society.”*

Thank you!



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School Business Services Monitoring and Compliance



Monitoring & Compliance

1. Compliance with Audit Findings
2. Full/Desk Reviews
3. Improper Payment Study
4. Inventory
5. Investigations
6. Elementary and Secondary School Emergency Relief (ESSER)

Financial and Single Audits

- Monitor to ensure compliance with audit findings
- Financial and Single Audits required by laws & regulations
- NCDPI Program staff such as: Exceptional Children, Title I, etc.

What is the purpose of Monitoring and Compliance?

- As a government agency responsible for monitoring schools, our purpose is to ensure strict adherence to established standards and regulations. Through vigilant oversight, we aim to enhance the quality of education provided, identify areas requiring improvement, and promote accountability and transparency within the education system. Our efforts seek to uphold equity and create an environment conducive to optimal learning outcomes for all students..

Desk Reviews

Desk reviews play a crucial role in assessing various aspects of a school's performance.

- Desk reviews involve analyzing data and documentation.
- Desk reviews offer an effective way to evaluate schools.
- Conducting desk review ensures quality assurance.
- Desk reviews help in ensuring compliance with educational policies and guidelines.
- Desk reviews provide valuable data to support decision-making.

In conclusion, desk reviews are essential for data analysis, efficiency, quality assurance, policy compliance, and decision-making support in school evaluation.

Improper Payment Study

Improper payment studies serve as a critical tool for government agencies to ensure fiscal responsibility and accountability within educational institutions.

- Financial Irregularities.
- Safeguarding Taxpayer Funds.
- Ensuring Compliance with Regulations.
- Enhancing Program Integrity.
- Facilitating Corrective Actions.

In conclusion, improper payment studies play a vital role in school oversight by identifying financial irregularities, safeguarding taxpayer funds, ensuring compliance, enhancing program integrity, improving efficiency, and facilitating corrective actions.

Inventory

Why would DPI conduct an inventory?

School closings. The purpose of conducting inventory of a closed school is to: As the school closes its doors, inventory becomes crucial for various reasons.

- **Asset Management:** It ensures that all school-owned equipment and resources are properly documented.
- **Financial Accountability:** It helps in tracking assets, preventing loss, and ensuring responsible resource allocation.
- **Future Planning:** It assists in determining what resources can be repurposed or redistributed.
- **Security and Safety Measures:** It helps in identifying any missing or potentially hazardous items.

In conclusion, conducting inventory in a closed school is essential for asset management, financial accountability, future planning, and security.

Investigations

Regulatory agencies play a vital role in ensuring compliance, safeguarding public interests, and upholding standards within educational institutions.

- Purpose: Regulatory agencies play a vital role in ensuring compliance, safeguarding public interests, and upholding standards within educational institutions.
- Ensuring Compliance
- Public Interest
- Upholding Standards
- Ensuring Accountability
- Equity and Justice

In conclusion, regulatory government agency investigations in school settings serve the crucial purposes of ensuring compliance, safeguarding public interests, upholding standards, identifying and addressing issues, ensuring accountability, and promoting equity and justice.

Elementary and Secondary School Emergency Relief (ESSER)

- NC Federal funding
 - Non COVID-19 \$1.10 billion
 - COVID-19 \$1.4 billion per year (\$6.3 billion total)
- Use of funds in new areas
- Quickly disbursed

ESSER Monitoring & Compliance

- Goal
 - Support and assist school districts to use resources effectively and efficiently
- Objective
 - Decrease risk of noncompliance

ESSER Monitoring & Compliance

- What do we do?
 - Compliance reviews
 - Technical Assistance
 - Collaboration with other NCDPI Divisions/Offices as well as external state or federal agencies
 - Special and ad hoc projects

Why Monitor?

- Required by federal laws and regulations
- Avoid public headlines
- Ensure education funds spent as it should be
 - So that students of NC have access to sound basic education, including equitable access to sufficient resources

Thank you!



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Any Questions?

