

Submission	Type	Description	Source of Program Funding	Program Participation Required?	Law Citation	Submission Due Date	Frequency of Submission	Time in School Year	Detail Level	Completed By	Collection Method	Collection System	Link to Template	DPI Contact	DPI Section	DPI Area and Division
21st Century Community Learning Center Annual Data Collection		Data on children served by 21st Century Community Learning Centers	Federal	Mandatory	ESEA, Title IV, Part B, SEC.4202	October 31	Annually		Student	21st CCLC Grantee	Electronic	21DC	https://schools.nc.gov/21cclc	Anita Harris	Federal Program Monitoring	Deputy State Superintendent's Office
Accrual Adjustment	Report	LEAs report accrual info for 11/12 month installments	State	Mandatory	NCGS 115C-47(21), 302(a) & 315(d)	June 30	Annually		LEA	Finance Officer	Electronic	UERS		Gwen Tucker	School Reporting	Financial & Bus Services School Business
AIG - Child Count		Children who are identified as academically or intellectually gifted (AIG) based on the district's Local AIG Plan, which is mandated based on legislation, including AIG students who are also identified as Exceptional Children	State	Mandatory	NCGS 115C-110	April 30 and November 30	Twice/year	Fall and Spring	LEA /School Level	AIG Coordinators and PowerSchool Data Operators	Electronic	PowerSchool Compliance Reports		Sneha Shah-Coltrane	Advanced Learning - Academically/ Intellectually Gifted	Academic Services and Instructional Support
AIG - Local AIG Plan		A Local AIG Plan is mandated by Article 9B, NCGS 115C-150.5-.8, every three years. The current plan is for 2016-19. The next plan will be due in July 2019. Districts develop their local AIG program based on the SBE's NC AIG Program Standards.	State	Required	Article 9B, 11C-150.5-.8	July 15	Every three years	Summer	LEA Level	AIG Coordinators	Electronic	APEX	https://schools.nc.gov/aig	Sneha Shah-Coltrane	Advanced Learning - Academically/ Intellectually Gifted	Academic Services and Instructional Support
Alternative Accountability Model		Alternative Schools designate one of four options to participate in the Alternative Schools' Accountability Model	State	Mandatory	SBE Policy GCS-C-038	August 1	Annually		School	LEA Test Coordinator	Electronic	Email		Tammy Howard Karen Hoeve Curtis Sonneman	Accountability	Office of the Deputy State Superintendent Accountability

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Alternative Learning Program		Roster-Placements by ethnicity, gender, grade	State	Mandatory	NCGS 115C-12(27)	June 30	Annually	June	Student	ALP Coordinator	Electronic	PowerSchool		Dick Hayes	Center for Safer Schools	Financial & Bus Services / Safe and Healthy Schools Support
Annual Census for Deaf-Blind		List of students in LEA, private or other setting who meet criteria of deaf-blindness	Federal	Mandatory	Funded RFP CFDA: 84.326C (5) (b) (1) requires this data to be collected and reported.	1-Apr		Eligible students as of first Monday of school in new calendar year	Student	LEA Exceptional Children Director or private agency director	Electronic	Electronic		Dorothy Snyder	Supporting Teaching & Related Services	Academic Services & Instructional Support Exceptional Children
Annual Dropout Report		Dropout data	State	Mandatory	NCGS 115C-12(27) & NCGS 115C-378	October 20	Annually	October	Student	Dropout Data Coordinator	Electronic	PowerSchool		Dick Hayes	Center for Safer Schools	Financial & Bus Services / Safe and Healthy Schools Support
Annual Media and Technology Report (AMTR)		Report of media/technology resources in each school and LEA	State	Mandatory	NCGS 115C-102.7	June 30	Annually		School	Media / Technology Personnel	Electronic	Website		Nathan Craver	Digital Teaching & Learning	Academic Services and Instructional Support
Annual Survey of Children in Local Institutions for Neglected Programs		Annual Evaluation Report	Federal	Mandatory	Title I, Part D, PL 107-110 (NCLB)	December for October Headcount	Twice /year		LEA	N & D Coordinator	Electronic	PowerSchool		Lindsey Fults	Federal Program Monitoring	Deputy State Superintendent's Office
Audit Response (Audited Financial Statements)	Other	LEAs, charter schools and nongovernmental organizations receiving funds from or through DPI must provide written response and possibly provide supporting documentation regarding audit findings if requested.	Federal	Mandatory	2 CFR 200, Subpart F	Within 30 days from date determination letter issued	As requested.		LEA, charter school or nongovernm ental organization (NGO)	Financer Officer, Board Chair (charter schools), Executive Director or Board Chair (NGO)	Electronic	E-mail	N/A	Kathy Cooper	Monitoring & Compliance	Financial & Bus Services School Business
Bus Inventory Survey	Report	Count of buses used during current school year, forms basis for bus replacement order for coming year	State	Mandatory	Allotment Policy Manual	March 15	Annually		LEA	Transportation Director, Cost Clerk	Electronic	Email		Derek Graham	Transportation	Financial & Bus Services School Support

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Calendar Waiver Request - LEA Wide	Report	Allows LEAs with more than 8 closed days per year during any 4 of last 10 years to be waived from Calendar Bill start date of Monday closest to 8/26 or end date NLT the Friday closest to 6/11 of each year	State	Mandatory	NCGS 115C-84.2, (SB 187, 2012)	August 15	Annually		LEA	Central Office	Electronic/ Paper	E-mail/ Fax/ Mail		Gwen Tucker	School Reporting	Financial & Bus Services School Business
Charter School Initial Enrollment		Request for charter school enrollment by LEA; allows allotments to be made at per pupil funding amount from where students reside	State	Mandatory	NCGS 115C-238.29	June 15			School	Director of Charter School	Electronic/ Paper	E-mail/ Fax/ Mail		Lydia Prude	School Allotments	Financial & Bus Services School Business
Charter School requested maximum ADM		Submission of requested funded ADM for the following ADM not to exceed the maximum allowable by law								Director of Charter School	electronic	online application	https://schools.nc.gov/pls/apex/f?p=114:101	Angela Harrison	School Reporting	Financial & Bus Services School Business
Child Count - EC		Children with disabilities who receive special education and related services according to an Individualized Education Program (IEP) or Service Program	State	Mandatory	NCGS 115C-110	5-Apr-17			Student	Special Education Teacher & Exceptional Children Directors	Electronic	CECAS		Kelley Blas	EC Delivery Team/Special Programs & Data	Technology Services Delivery/EPMO/ Excpetional Children
Child Count -EC		Children with disabilities who receive special education and related services according to an Individualized Education Program (IEP) or Service Program	Federal	Mandatory	IDEA 300.752	5-Apr			Student	Special Education Teacher & Exceptional Children Directors	Electronic	CECAS		Kelley Blas	EC Delivery Team/Special Programs & Data	Technology Services Delivery/EPMO/ Excpetional Children

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Child Nutrition (CN) Application		Application - Site and program information on contact person and programs to be implemented	Federal	Mandatory	7CFR Part 210 - NSLP 215-SMP, 220-SBP Part 245	July 1			LEA	CN Director	Electronic	CN Technology System		Lynn Harvey	School Nutrition	Financial & Bus Services School Support
Class-size - EC		Permission to exceed class-size	State	Mandatory	Policies Governing Services for Children with Disabilities- Appendix A	October/ February		Application submitted once class-size is exceeded	Student	LEA EC Director	Paper	Form		Julie Whetzel/ Assigned Monitoring Consultant	Policy, Monitoring and Audit	Academic and Digital Learning/ Exceptional Children Division
Clustered Students with Disabilities (SWD)	Report	SWD attending one school being counted in performance of another school	Federal	Mandatory	ESSA, SBE Policy GCS-C-005 and GCS-C-021	April 1 (updates until April 15)	Annually		Student	LEA Test Coordinator	Electronic	Secure FTP		Tammy Howard Ken Barbour & Karen Hoeve	Accountability ATEAM	Office of the Deputy State Superintendent Accountability
Collection Dates Submission System	Report	Dates for designated local school testing cycle events [Twentieth Day (20D), First Day of Fall (FDF), First Day of Spring (FDS)]	Federal	Mandatory	ESSA, SBE Policy GCS-C-005 and GCS-C-021	Beginning of school year, with updates as necessary	As Needed		School	LEA Test Coordinator	Electronic	NC Education		Tammy Howard Julie Molique	Accountability ATEAM	Office of the Deputy State Superintendent Accountability
Common Follow-Up		Student record on every student in grades 9-12 to Employment Security Commission for support of job training, education, and placement programs	State	Mandatory	NCGS 96-33	September 15			Student	Principal	Electronic	PowerSchool		Karl Pond	Production Systems Software Support	Office of the Superintendent/Division of Data, Research and Federal Policy
Community Residential Centers		Funds for Special Education Services	State	Mandatory	Policies Governing Services for Children with Disabilities NC 1506.1-6	September 15	Annually (if needed)		Student	LEA EC Director	Paper	Application		Lori Peterson	Special Programs & Data	Academic Services & Instructional Support/ Exceptional Children

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Comprehensive, Continuous Improvement Plan	Application	Used by local education agencies (LEAs) and charter schools to apply for federal entitlement funds for (Title IA, Title IC, Title ID - Subpart 1, SIG 1003(a), Title IIA, and REAP (RLIS, SRSA))	Federal	Mandatory	Title I, Part A, Section 1112(a), PL 107-110; Title I, Part A Section 1003(a) PL 107-110; Title I, Part C, Section 1304, PL 107-110; Title II, Part A Section 2111 PL 107-110; Title VI, Part B Section 6221 PL 107-110 (ESEA)	June 30	Annually, dependent on allotment cycle for some programs				Electronic	CCIP	http://ccip.schools.nc.gov	Anita Harris	Federal Program Monitoring	Deputy State Superintendent's Office
Contract Transportation	Report	List of contracts in place for transporting EC children or other transportation other than yellow school buses	State	Mandatory	Allotment Policy Manual	October 15	Annually		LEA	Finance Officer, Transportation Director	Electronic	Email	http://www.ncbussafety.org/StateForms.html	Derek Graham	Transportation	Financial & Bus Services School Support
CTE Concentrator Feedback		Collects information from concentrators about high school, further education and work the first year out of high school	Federal	Mandatory	PL 109-270/Title I Sect. 113 & GS 115C-154	March 11		Reports on concentrators the year after they exit high school	Individual Concentrator	Central Office	Phone	Electronic - PowerSchool	schools.nc.gov/ctesurvey	Jo Anne Honeycutt	CTE State & Federal Accountability	Academic Services & Instructional Support Career and Technical Education
Data Verification	Report	Superintendent certification that data are complete and correct	Federal	Mandatory	ESSA, SBE Policy GCS-C-005 and GCS-C-021	June 30	Annually	June 30	LEA	Superintendent	Paper	Regional Accountability Coordinator (RAC)		Tammy Howard & Karen Hoeve	Accountability ATEAM	Office of the Deputy State Superintendent Accountability
Developmental Day		Funds for Special Education Services	State	Mandatory	Policies Governing Children with Disabilities NC 1506.1-7	September 15	Annually (if needed)		Student	LEA EC Director	Paper	Application		Lori Peterson	Special Programs & Data	Academic Services & Instructional Support/Exceptional Children
Economically Disadvantaged Students (EDS) and Community Eligibility Provision (CEP) Data File	Report	File with student ID designating identified student percentage for each participating school/district	State & Federal	Mandatory	ESSA, SBE Policy GCS-C-005 and GCS-C-021	December 1 April 1	Bi-annually	December April	Student	LEA Test Coordinator	Electronic	Secure FTP		Tammy Howard Ken Barbour & Karen Hoeve	Accountability ATEAM	Office of the Deputy State Superintendent Accountability

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Economically Disadvantaged Students (EDS) Data Collection for Child Nutrition Non-participants Charter Schools	Report	File with household size and income information from parents containing names, student IDs and lunch status of affected students for each Child Nutrition Non-participants Charter School	Federal	Mandatory	ESSA, SBE Policy GCS-C-005 and GCS-C-021	December 1 April 1	Bi-annually	December April	Student	Charter School Director	Electronic	Secure FTP		Tammy Howard Ken Barbour & Karen Hoeve	Accountability ATEAM	Office of the Deputy State Superintendent Accountability
Educational Directory & Demographical Information Exchange (EDDIE)	Report	Authoritative source of LEA and School Numbers. Used to prepare Education Directory, for Federal Reporting, Accountability, PowerSchool, etc.	State	Mandatory	NCGS 115C-12(18)(b)	As needed	Annually		School	Principal & Central Office	Electronic	PowerSchool	In PS under Federal	Nicola Lefler	EDDIE	Financial & Bus Services School Business
Eligible School Summary Report for 2016-2017		School Title I eligibility and status for upcoming school year. Includes Community Eligibility Provision (CEP).	Federal	Mandatory	Title I, Part A Section 1116	Opens in May 1			School	LEA Title I (or Federal Programs) Director and Charter Schools	Electronic	PowerSchool	In PS under <u>Federal</u>	Anita Harris	Federal Program Monitoring	Deputy State Superintendent's Office
English Language Poficiency (ELP) ACCESS Demographic Changes		Correct student information in ACCESS Demographic	Federal	Mandatory	Title III, PL 107-110 (NCLB)	April 16-April 27			Student	TC/LEP Coordinator	Electronic	Power School		Marshall Foster	K-12 Program Areas	Academic Services and Instructional Support/ Curriculum & Instruction
Enrollment Increase Request		Schools submit any increase above ten percent allotted by law to Office of Charter Schools for submission to SBE	State	Mandatory	NCGS-115C-238.29D(d)	October 15	Annually		School	Principal/Board Chair	Electronic/Fax	Email/Fax		Joel Medley	N/A	Financial & Bus Services Office of Charter Schools
Exceptional Children (EC) Grants - 611		Grant application for IDEA 611 special education services (including maintenance of fiscal effort)	Federal	Mandatory	IDEA Part B, Section 611	May 27 (September 30 MOE)	Annually	Spring	LEA	Central Office	Electronic	EC Grants		Valerie Herndon	Special Programs & Data	Academic Services & Instructional Support Exceptional Children/
Exceptional Children Grants - 619		Grant application for IDEA 611 special education services (including maintenance of fiscal effort)	Federal	Mandatory	IDEA Part B, Section 619	May 29	Annually	Spring	LEA	Central Office	Electronic	EC Grants		Vivian James	Office of Early Learning/ EC Division Special Programs and Data Section	Office of the Deputy State Superintendent/ Office of Early Learning

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Exiting - EC		Exiting data on number of students, ages 14-21, who exited special education during school year by basis of exit, disability and ethnicity	Federal	Mandatory	Section 618 of IDEA	1-Nov		Fall	Student	Special Education Teacher & Exceptional Children Directors	Electronic	CECAS		Kelley Blas	EC Delivery Team/Special Programs & Data	Technology Services Delivery/EPMO/ Excpetional Children
F&RP Residential Child Care Institutions (RCCI)		RCCI Free & Reduced Price- Federal policy statement on how students and participants will be treated with regard to program meals	Federal	Mandatory	7CFR Part 210, 220, 245	July 1			LEA	CN Director	Electronic	CN Technology System		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support
Federal Programs Equipment Disposition Request	Form	Request for approval for disposition of equipment purchased with federal grant funds	Federal	Mandatory	2 CFR 200 (§200.313; §200.302; §200.303; §200.439)	As Needed	As needed		LEA or charter school	LEA or charter school federal program director	Electronic	E-mail	http://www.ncpublicschools.org	Applicable DPI federal Program Division staff/ Leigh Ann Kerr	Applicable DPI federal Program Division	Financial & Bus Services (Nutrition) or Academic Services and Instructional Support (other federal programs)
Field Test / Special Studies	Report	Student information and performance on state field tests and special studies	Federal	Mandatory	ESSA, SBE Policy GCS-C-005 and GCS-C-021	June 30	Annually	Various times	Student	LEA Test Coordinator	Paper/Online	Automatic Return System (ARS), Web & Secure FTP		Tammy Howard Hope Lung	Test Development, TOPS	Office of the Deputy State Superintendent Accountability
Financial Status Report (1A)	Report	Child Nutrition revenue and expenditure data	Federal	Mandatory	Section 4/Child Nutrition Act of 1966- National School Lunch Act of 1946- NCGS 115C-203-204	March October			LEA	LEA	Electronic	Child Nutrition System		Lynn Harvey	Child Nutrition	Financial & Bus Services Safe and Healthy School Support
Food Safety / Hazard Analysis & Critical Control Points (HACCP)		Dates of food safety inspections; findings; grade; indication of compliance with HACCP plan	Federal	Mandatory	PL 108-265 Child Nutrition and WIC Reauthorizati on Act of 2004	July 1			School	CN Director	Paper / Electronic	Mail / Email		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support

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Formal Written Complaint Investigation Report		School must send response to complaint allegations of noncompliance and copies of student's records and other documents to support their position	State	Mandatory	34 CFR § 300.660-.662	Within 15 days after information request is received			School	EC Director, Principal, Superintendent	Paper			Bill Elvey, Leigh Mobley, Melvin Diggs, Teresa King	Policy, Monitoring & Audit	Academic Services & Digital Learning: Exceptional Children
Free & Reduced Price (F&RP) Milk		Special Milk Program - Free Milk Policy-Policy agreement between state and local sponsor for program implementation	Federal	Mandatory	7CFR Part 215, 245- NSLP-SMP	July 1			LEA	CN Director	Electronic	CN Technology System		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support
General Expense/ Personal Service Account Adjustments	Report	LEAs report salary information changes	State	Mandatory	NCGS 115C-47(21), 302(a) & 315(d)	As needed	Monthly		LEA	Finance Officer	Electronic/Paper	UERS/ Fax/ Mail		Gwen Tucker	School Reporting	Financial & Bus Services School Business
General Supervision/ Identification of Non-compliance		Percent of noncompliance corrected within one year of identification	Federal	Mandatory	IDEA Part B, Section 611	Prescribed by Corrective Action Timeline and no later than one year from date of notification.		One year from identification	Student/ System	LEA Exceptional Children Director	Electronic/Paper	CECAS/mail		Carol Ann M. Hudgens, Assigned Monitoring Consultant and/or Dispute Resolution Consultant	Policy, Monitoring & Audit	Academic Services & Digital Learning: Exceptional Children
Graduate Data submission	Report	Data on individual graduates incl. course of study, post grad intentions & demographics	Federal	Mandatory	NCLB, SBE Policy GCS-C-005 and GCS-C-021	June 25	Annually		Student	School Counselor	Electronic	PowerSchool		Angela Harrison	School Reporting	Financial and Business Services, School Business Services
Group/Foster Homes		Funds for Special Education Services	State and Federal	Mandatory	Article 9 Special Education Law and Policies Governing Services for Children with Disabilities NC 1506-1.9	As needed within 60 days of enrollment	Annually (if needed)		Student	LEA EC Director	Paper	Application		Lori Peterson	Special Programs & Data	Academic Services & Instructional Support Exceptional Children
Homeless data collection		Data on homeless children	Federal	Mandatory	Title X	June 15			Student	LEA Homeless Liaison and Charter Schools	Electronic	PowerSchool	In PS, under Federal	Lisa Phillips NCSERVE	Federal Program Monitoring	Academic Services and Instructional Support

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Identified Student Percentage for the Community Eligibility Provision	Automated Report	Number of students directly certified or categorically eligible for free meals	Federal	Mandatory	Public Law 111-296 (Healthy, Hunger-Free Kids Act)	April 1			LEA/School	CN Administrator	Electronic	CN Technology System		Lynn Harvey	Child Nutrition	Financial & Business Services, Safe and Healthy Schools Support Division
Immigrant Count		Number of immigrant students and born in or out of US	Federal	Mandatory	Title III, PL 107-110 (NCLB)	February 1 February 23			Student	LEP Coordinator	Electronic	PowerSchool		Marshall Foster	K-12 Program Areas	Academic Services and Instructional Support / Curriculum & Instruction
Impermissible Uses of Seclusions and Restraints		Required report by schools of certain impermissible incidents	State	Mandatory	NCGS 115C-391.1, 115C-47(45)	June 30	Annually		Student	LEA Discipline Data Coordinator	Electronic	PowerSchool		Dick Hayes	Center for Safer Schools	Financial & Bus Services / Safe and Healthy Schools Support
Information Update - Textbook Services		Updates contact/address info	State	Mandatory	NCGS-115C-96	March 1			LEA	Textbook Coordinators	Electronic	Fax		Drew Fairchild	Textbook Services	Financial & Bus Services School Support
Initial Licensure Program Report	Report	Acknowledgement of LEAs and charters (when applicable) about their efforts to support beginning teachers.	State	Mandatory	SBE Policy TCP-A-004		Yearly	Beginning of school year, with updates as necessary.	LEA / Charters	ILP Coordinator	Electronic/ Paper	Email/Mail or Fax		Yvette Stewart Mackey	Educator Effectiveness	Educator Effectiveness
Institutions of Higher Education (IHE) Performance Report	Report	Annual report containing quantitative and qualitative information on approved teacher education programs in NC's colleges and universities	State	Mandatory	NCGS 115C-296 (b1)	June 30			IHE	Dean	Electronic	IHE Web Portal	www.rep.dpi.state.nc.us/ihe	Joyce Gardner	Educator Effectiveness	Academic Services and Instructional Support
Institutions of Higher Education (IHE) Title II Report	Report	Annual report on performance of teacher education program graduates on licensing exams	Federal	Mandatory	1998 HEA Title II Sections 207 and 208	April 30			IHE	Dean	Electronic	Title II Website		Joyce Gardner	Educator Effectiveness	Academic Services and Instructional Support
Limited English Proficient (LEP) DRC file		Individual pre-coding information for ELP test documents	Federal	Mandatory	Title III, PL 107-110 (NCLB)	November 17			Student	LEP Coordinator	Electronic	PowerSchool		Marshall Foster	K-12 Program Areas	Academic Services and Instructional Support / Curriculum & Instruction
Local Career & Technical Education (CTE) Plan		Shows how federal CTE funds and matching state funds will be spent at the LEA and plans for data-based improvements	Federal	Mandatory	PL 109-270/Title I Sect. 132	May 12		In May projecting for expenditures in the following year	School LEA	Central Office	Electronic	CTE Local Planning System	ctelps.dpi.state.nc.us	Jo Anne Honeycutt	CTE State & Federal Accountability	Academic Services & Instructional Support Career and Technical Education

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Local Wellness Policies		Report of achievements toward local wellness policies	Federal	Mandatory	PL 108-265 Child Nutrition and WIC Reauthorizati on Act of 2004	July 1			LEA	CN Director	Paper/ Electronic	Mail/Email		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support
Long Range Plan		Five- and ten-year long range plan of facility needs for new schools, additions and renovations with est. construction costs	State	Mandatory	NCGS 115C-521	Winter 2010-11 and every five years thereafter	5 years		School LEA	LEA person in charge of facilities	Electronic	E-mail/ Mailed CD		Ken Phelps & Ron Collier	School Planning	Safe and Healthy Schools Support
Memo - Child Nutrition		Agreement/Letter of Renewal-State/Local agreement of implementaton of School Meals Programs	Federal	Mandatory	7CFR Part 210-NSLP; 215-SMP; 220-SBP Part 245	July 1			LEA	CN Director	Electronic	CN Technology System		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support
Menu Certification		Documentation to certify that the SFA is serving meals that meet the new meal pattern to obtain additional \$.06 reimbursement	Federal	Mandatory	7CFR Parts 210, 220, 215, and 245	As needed			LEA	CN Director	Electronic	CN Certification Portal		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support
Migrant Education Program (MEP) Data Collection		School, MEP, and service data on migrant children	Federal	Mandatory	Title I Part C Section 1301-1309	September 5			Student	LEA and Regional MEP data specialist	Electronic	PowerSchool	In PS, under Special Functions > Migrant Administration	Sonja Williams	Federal Program Monitoring	Deputy State Superintendent's Office
Missed instructional Days	Report	Survey of the number of missed instructional days due to inclement weather	State	Mandatory	HB1464 115C-84.2	June 30	Annually		LEA	Central Office	Electronic	Web survey		Ozella Wiggins	School Reporting	Financial & Bus Services School Business
Modified Order - EC Supplies		Orders for tapes/large print/Braille for EC students	State	Mandatory	NCGS-115C-96	March 1			LEA	Modified Textbook Coordinators	Electronic	Fax		Drew Fairchild	Textbook Services	Financial & Bus Services School Support
Monthly Financial Report (MFR)	Report	LEA/charters report general ledger balances	State	Mandatory	NCGS 115C-12(18)(b)	Monthly	Monthly		School	Finance Officer	Electronic	UERS		Gwen Tucker	School Reporting	Financial & Bus Services School Business

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National Assessment of Educational Progress (NAEP)	Report	Materials related to NAEP (student, school and calendar information to inform the construction of the NAEP sampling frame)	Federal	Mandatory	ESSA, SBE Policy GCS-C-005 and GCS-C-021	1-May	Annually		Student, School	School Test Coordinator	Secure FTP	Electronic	PowerSchool	Andrea Cole Faulkner	Analysis and Reporting	Office of the Deputy State Superintendent Accountability
Neglected & Delinquent (N&D) Aggregate Data Collection		Count of N&D students served in state agencies broken down by demographic and academic indicators	Federal	Mandatory	Title I, Part D (NCLB) Subpart 1	October 1st	Annually		Student	School Test Coordinator	Secure FTP	PowerSchool	In PS, under Federal	Anita Harris	Federal Program Monitoring	Deputy State Superintendent's Office
No Child Left Behind (NCLB) Teacher Quality Report	Report	Annual report details percentage of classes taught by "highly qualified" teachers, number of paraprofessionals that are qualified, number of teachers completing quality professional development, and number of lateral entry teachers completing two-weeks of training	Federal	Mandatory	PL 107-110 (NCLB)	June 30			School	Personnel Administrator	Electronic	NCLB web pages		Donna Brown	Federal Program Monitoring	Deputy State Superintendent's Office

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October 1 LEP Headcount		Statewide reporting of aggregate number of Limited English Proficient students (PreK-12, including private schools who are served by a school district) who are speakers of languages other than English reported by language and per student count of the above students who are identified as LEP enrolled in the school district/charter school for the current school year, up to and including October 1; English Language	Federal	Mandatory	Title III, PL 107-110 (NCLB), SL2003-284, Section 7.15(b), NCAC 6D.0106 (GCS-K-005)	October 1-27			Student	LEP Coordinator	Electronic	Power School		Marshall Foster	K-12 Program Areas	Academic Services and Instructional Support / Curriculum & Instruction
Out-of-District Placements - EC		Funds for Special Education Services	Federal	Mandatory	IDEA-(20 U.S.C. 1411(e)(2-3)(A) and Policies Governing Services for Children with Disabilities NC 1506-1.8	As needed within 30 days of OOD enrollment	Annually (if needed)	Within 30 days of enrollment in OOD placement	Student	LEA EC Director	Paper	Application		Lori Peterson	Special Programs & Data	Academic Services & Instructional Support Exceptional Children
Per Capita Distribution	Report	LEAs report when they serve students from other LEAs. LEAs with city LEAs only	State	Mandatory	School Budget & Fiscal Control Act	15-Feb-16	Annually		LEA	Central Office	Electronic/ Paper	E-mail/ Fax/ Mail		Ozella Wiggins	School Reporting	Financial & Bus Services School Business
Personnel - EC		Counts of special education teachers and related services personnel providing special education and related services to children ages 3-21 on December 1 of each year	Federal	Mandatory	Section 618 of IDEA	1-Nov-17			LEA	Exceptional Children Directors	Web Survey	Email Link		Kelley Blas	EC Delivery Team/Special Programs & Data	Technology Services Delivery/EPMO/ Excpetional Children

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Policy Statement - CN		Schools Free & Reduced Price Policy-Federal policy statement on how students and participants will be treated with regard to program meals	Federal	Mandatory	7CFR Part 210, 220, 245	July 1			LEA	CN Director	Electronic	CN Technology System		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support
Positive Behavior Intervention and Support (PBIS) Data Requirements		Report of school information in schools implementing Positive Behavior Support	Federal	Mandatory	84323A State Program Improvement Grant	June 30			School	School Staff	Paper	Email/ Fax/ Mail		Joe Simmons	Behavioral Support & Special Programs Section	Academic Services & Instructional Support Exceptional Children
Postschool Outcomes		UNC-Charlotte contracted to collect exit data on students with disabilities	Federal	Mandatory	IDEA Part B, State Performance Plan	May/June		Survey one year after student exits high school	Student	Special Education Teacher & EC Directors	Electronic Survey	Document		Beverly Colwell & Anne Monterosso	Professional Improvement & Professional Development / Policy, Monitoring & Audit	Academic Services & Instructional Support Exceptional Children
PRC 118 Autism Strategic Plan - EC			Federal	Participation in Autism strategic plan is voluntary but if receiving funds the report is manitory		June 30	Annually	June	LEA	Finance Officer	Paper	E-mail/ Fax/ Mail		Dana Rusher & Dreama McCoy	Supporting Teachers and Related Service	Exceptional Children
PRC 29 Intervention and Services Form - EC		Request for PRC29 Funds	State	Mandatory	Policies Governing Services for Children with Disabilities NC 1506-1.7	May 1			Student	EC Staff	Electronic / Paper	Paper		Joe Simmons	Behavioral Support & Special Programs Section	Academic Services & Instructional Support Exceptional Children
PRC 29 Intervention and Services Form and Student Information Form - EC		Report of interventions provided by PRC 29 funds	State	Mandatory	Policies Governing Services for Children with Disabilities NC 1506-1.5	August 15			Student	EC Staff	Electronic / Paper	Email/ Fax/ Mail		Joe Simmons	Behavioral Support & Special Programs Section	Academic Services & Instructional Support Exceptional Children
PRC 29 Intervention and Services Form and Student Information Form - EC		Report of interventions provided by PRC 29 funds	State	Mandatory	Policies Governing Services for Children with Disabilities NC 1506-1.6	February 2			Student	EC Staff	Electronic / Paper	Email/ Fax/ Mail		Joe Simmons	Behavioral Support & Special Programs Section	Academic Services & Instructional Support Exceptional Children
Preschool Child Outcomes Summary Survey		Data on positive social-emotional, acquiring & using knowledge/skills, and appropriate action taken to meet needs	Federal	Mandatory	IDEA Part B, Section 619	August 15			LEA	Preschool Coordinators or EC Director	Electronic	CECAS		Vivian James	Office of Early Learning/ EC Division Special Programs and Data Section	Office of the Deputy State Superintendent/ Office of Early Learning

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Principal's Monthly Report (PMR)	Report	PMR System collects summary enrollment, membership, and attendance by grade level from each public school	State	Mandatory	NCGS 115C-12(18)(b)	Monthly	Monthly		School	Principal	Electronic	PowerSchool		Ozella Wiggins	School Reporting	Financial & Bus Services School Business
Private Schools	Self-Assessment	Approval of private schools to provide special education	Federal	Mandatory	IDEA, Title VI, Part B, Article 9	August-May		Application submitted by private school to request on-site review	Student	School/ Facility Administrator	Paper	Application		Glendora Hagins	Policy, Monitoring & Audit	Academic Services & Digital Learning: Exceptional Children
Psychiatric Residential Treatment Facilities (PRTFs)	Self-Assessment	Approval to provide special education	State	Mandatory	IDEA, Title VI, Part B, Article 9, Senate Bill 744 2014-100	Within 6 months of becoming a licensed PRTF	annually		Student	Education Staff	Paper	Application		Glendora Hagins	Policy, Monitoring & Audit	Academic Services and Digital Learning: Exceptional Children
Public School Building Capital Fund		Various forms relative to expenditure of Public School Capital Building Funds	State	Mandatory	NCGS 115C-546.1	As Needed			School LEA	Usually Finance Officer	Paper / Electronic	Fax/Mail		Ken Phelps	School Planning	Safe and Healthy Schools Support
Public School Full-Time Personnel Report	Report	Personnel assignments and number of staff in various staffing categories.	State	Mandatory	NCGS 115C-12(18)(b)	October 31	Annually		LEA	Personnel Administrator	Electronic	online application		Gwen Tucker	School Reporting	Financial & Bus Services School Business
Pupil Textbook Orders		Orders for new adoption and replacement textbooks and materials	State	Mandatory	NCGS-115C-96	March 1			LEA	Textbook Coordinators	Electronic	Fax		Drew Fairchild	Textbook Services	Financial & Bus Services School Support
Pupils in Membership by Race and Sex	Report	Pupils in membership by race and sex	State	Mandatory	NCGS 115C-12(18)(b)	October 31	Annually		Student	Principal	Electronic	PowerSchool		Gwen Tucker	School Reporting	Financial & Bus Services School Business
Registration of Legally Blind Students		List of students in LEA who meet criteria of legal blindness	Federal	Mandatory	Act to Promote Education of the Blind, initiated in 1879	February 22		Eligible students as of first Monday of school in new calendar year	Student	Anyone (often a teacher of visually impaired), approved by LEA Exceptional Children Director; State Operated Programs and non-public schools, including homeschools, are also eligible	Electronic & Paper	Email/ Fax/ Mail; may be in CECAS next year		William Tubilleja	Sensory Support & Assistive Technology	Academic Services & Instructional Support Exceptional Children

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Report of Amounts to be Refunded to SPSF from local funds	Report	For LEAs to refund transportation dollars	State	Mandatory	NCGS 115C-12(19)	Monthly	Monthly		LEA	Finance Officer	Electronic	UERS		Gwen Tucker	School Reporting	Financial & Bus Services School Business
Report of Inventory by LEA	Report	Report of annual inventory by LEA at year end	State	Mandatory	Audit Compliance Supplement	July 31	Annually		LEA	Textbook Coordinators	Electronic	E-mail or Fax		Drew Fairchild	Textbook Services	Financial & Bus Services School Support
Report of Lost/Damaged Books	Report	Report showing all lost or damaged books for the LEA for the year	State	Mandatory	Audit Compliance Supplement	July 31	Annually		LEA	Textbook Coordinators	Electronic	E-mail or Fax		Drew Fairchild	Textbook Services	Financial & Bus Services School Support
Report of School Sales		Report of sales of textbooks to parents and/or sale of used books	State	Mandatory	NCGS-115C-96	July 31	Annually		LEA	Textbook Coordinators	Electronic	E-mail or Fax		Drew Fairchild	Textbook Services	Financial & Bus Services School Support
Retention Promotion Graduation (RPG)	Report	Retention-Promotion/ Graduation Report	State	Mandatory	NCGS 115C-12(18)(b)	October 15	Annually		Student	Principal	Electronic	PowerSchool		Gwen Tucker	School Reporting	Financial & Bus Services School Business
Risk Pool		Funds for Special Education Services	Federal	Mandatory	IDEA-(20 U.S.C. 1411(e)(2-3)(A)and Policies Governing Services for Children with Disabilities NC 1506-1.10	September 30	Annually (if needed)		Student (Individual students can only be funded for up to 5 years)	LEA EC Director	Paper	Application End of Year Update needed by June 30		Lori Peterson	Special Programs & Data	Academic Services & Instructional Support Exceptional Children
Salary Supplements - SS300	Report	Report of salary supplements paid at LEA	State	Mandatory	NCGS 115C-12(18)(b)	October 31	Annually		LEA	Central Office	Electronic	online application		Angela Harrison	School Reporting	Financial & Bus Services School Business
Schedule A		Computer generated listing of schools and sites with demographic information	Federal	Mandatory	7CFR Part 210-NSLP 215-SMP; 220-SBP Part 245	July 1			LEA	CN Director	Electronic	CN Technology System		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support
Schedule B		Listing of Residential Child Care Institutions (RCCI) Sites - List of program sites, location, and meals programs implemented	Federal	Mandatory	7CFR Part 210 - NSLP 215-SMP, 220-SBP Part 245	July 1			LEA	CN Director	Electronic	CN Technology System		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support

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School Activity Report (SAR)	Report	SAR reports an individual school's full year academic schedule, courses offered, enrollment of classes, length of classes, and staffing of classes. Activities for certified personnel and selected non-certified personnel are reported on SAR.	State	Mandatory	NCGS 115C-301 (g), NCGS 115C-301 (f), PL 95-561, 20 USC 3221-3261, NCGS 115C-47 (10)	Annually	Annually	Within 21 days of the end of 2nd School Month	Teacher	Principal	Electronic	PowerSchool		Gwen Tucker	School Reporting	Financial & Bus Services School Business
School Food Monthly Report (Claim)	Report	Meal participation data	Federal	Mandatory	Section 4/Child Nutrition Act of 1966- National School Lunch Act of 1946- NCGS 115C-203-204	Once a month		10th day	Student School	LEA	Electronic	Child Nutrition System		Sherry Peele	Operational Accounting	Financial & Bus Services Financial Services
School Meal Initiative		Documentation that meals meet nutrition standards with federal/state requirements	Federal	Mandatory	PL 108-265 Child Nutrition and WIC Reauthorizati on Act of 2004, NCGS 115C-264	October 15 March 15			LEA	CN Director	Paper	Mail		Lynn Harvey	Child Nutrition	Financial & Bus Services School Support
State Improvement Project (SIP) Data Collection		Student demographics/test data for SWD in Grades K-2; EOG/EOC data collected for schools participating in NC SIP grant	Federal	Mandatory	CFDA 84-323A, 150005	1-Nov	Annually	Autumn	Student School	LEA SIP Coordinator	Online database: http://ncsip.org	Electronic	Electronic	Paula Crawford	Program Improvement & Professional Development	Academic and Digital Learning Exceptional Children
Special State Reserve		Funds for Special Education Services	State	Mandatory	Article 9 Special Education Law and Policies Governing Services for Children with Disabilities NC 1506-1.11	As needed within 60 days of enrollment	Annually (if needed)		Student (Individual students new to the LEA can only be funded for the initial year of enrollment)	LEA EC Director	Paper	Application End of Year Update needed by June 30		Lori Peterson	Special Programs & Data	Academic Services & Instructional Support Exceptional Children

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State of the Teaching Profession in North Carolina	Report	Annual report submitted by LEAs about number of teachers leaving and their reasons for leaving	State	Mandatory	NCGS 115C-12(22)	July 15			LEA	Personnel Administrator	Electronic/ Paper	Email or Fax		Thomas Tomberlin	Educator Recruitment and Development	Educator Human Capital and Policy Research
Suspended and Expelled Students; Acts of Crime and Violence		Disciplinary Data- Acts and consequences	State	Mandatory	NCGS 115-12(27)/NCGS 115C-288	June 30	Annually	June	Student	LEA Discipline Data Coordinator	Electronic	PowerSchool		Dick Hayes	Center for Safer Schools	Financial & Bus Services / Safe and Healthy Schools Support
Targeted Assistance School (TAS) Data Collection		Student's eligibility and services provided in a TAS	Federal	Mandatory	Title I, Part A (NCLB)	May 29	Annually		Student	LEA Title I Director	Electronic	PowerSchool	In PS, under Federal	Anita Harris	Federal Program Monitoring	Deputy State Superintendent's Office
Technical Assistance/Administrative Reviews (TA/AR) Corrective Action Plan		Documentation of actions to correct areas of non-compliance with federal and state regulations	Federal	Mandatory	7CFR Parts 210, 220, 215, and 245	As needed			LEA	CN Administrator	Paper	Mail		Lynn Harvey	Child Nutrition	Financial & Bus Services Safe and Healthy School Support
Test Materials Orders	Report	List of materials for state testing program	Federal	Mandatory	ESSA, SBE Policy GCS-C-005 and GCS-C-021	On-going	Yearly	Various times	LEA	LEA Test Coordinator	Electronic	Web (NC Education)		Brian Swiger Nancy Carolan	Testing Policy and Operations, TOPS	Office of the Deputy State Superintendent Accountability
Testing Irregularity Report	Report	Report of testing issue	Federal	Mandatory	ESSA, SBE Policy GCS-C-005 and GCS-C-021	On-going	Yearly	Various times	Student	LEA Test Coordinator	Electronic	Web (OTISS)		Brian Swiger Nancy Carolan	Testing Policy and Operations	Office of the Deputy State Superintendent Accountability
Timely Placement		Percent of children with parental consent to evaluate, who were evaluated and eligibility determined within 90 days	Federal	Mandatory	IDEA Part B, Section 611	10/20/2017		July 1st-June 30th	Student	LEA Exceptional Children Director or private agency director	Electronic	CECAS		Kelley Blas/ Patti Cox/ Kristi Harris and assigned Monitoring Consultant	Policy, Monitoring & Audit	Academic Services & Digital Learning: Exceptional Children
Timely Transition		Percent of children referred by Part C prior to age 3 and who are found eligible for Part B who receive special Education and related services by 3rd birthday	Federal	Mandatory	IDEA Part B, Section 619	20-Oct-17		July 1st - June 30th	Student	LEA Exceptional Children Director or private agency director	Spreadsheets	Email		Kelley Blas	Special Programs & Data	Academic Services & Instructional Support Exceptional Children/Office of Early Learning
Title I - Student Participation		Number of children served in Private Schools and in Neglected & Delinquent Facilities	Federal	Mandatory	Title I, Part A (NCLB)	December for October Headcount	Annually		LEA	LEA Title I Director	Electronic	PowerSchool	In PS, under Federal	Lindsey Fults	Federal Program Monitoring	Deputy State Superintendent's Office

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Title III Annual Performance Report (Program Evaluation)		Data analysis from previous school year for program evaluation; percent LEP students vs all students retained; percent LEP students who met and who did not meet state test standards; reflection on LEP student achievement, participation, and progress.	Federal	Mandatory	Title III PL 107-110 (NCLB)	November 30 - December 18			LEA	LEP Coordinator	Electronic	Zoomerang		Lindsey Fults	K-12 Program Areas	Academic Services and Instructional Support / Curriculum & Instruction
Title III Annual Performance Report (Program Types and Professional Development)		Data collection from previous school year on english language development program types and professional development.	Federal	Mandatory	Title III PL 107-110 (NCLB)	April 18 - May 27			LEA	LEP Coordinator	Electronic	PowerSchool		Lindsey Fults	K-12 Program Areas	Academic Services and Instructional Support / Curriculum & Instruction
Title III Application		Application for Title III Funds	Federal	Mandatory	Title III, PL 107-110 (NCLB)	May 31			LEA	LEP Coordinator	Electronic	Fax/ Mail/ E-mail		Lindsey Fults	K-12 Program Areas	Academic Services and Instructional Support / Curriculum & Instruction
Title III Improvement plans		improvement plans of LEAs in Title III improvement.	Federal	Mandatory	Title III, PL 107-110 (NCLB)	January 30			LEA	LEP Coordinator	Electronic	E-mail		Christie Lynch Ebert	K-12 Program Areas	Academic Services and Instructional Support / Curriculum & Instruction
Title V Abstinence Education (AEGP) Reporting Forms		Unduplicated count of youth receiving programs and services	Federal	Mandatory	PRC 101, Title V, Section 510, Social Security Act	Semi-Annual; April 10 and October 10		Spring and Fall	Student	Central Office; Title V AEGP Contact for funded LEAs	Electronic	Electronic / Email		Nakisha Floyd	Healthy Schools	Academic Services & Instructional Support Healthy Schools
Transfers offered to and accepted by student victims of violence		Transfers offered to and accepted by student victims of violence	Federal	Mandatory	HRS-A-006	June 30	Annually		Student	LEA Discipline Data Coordinator	Electronic	PowerSchool		Ken Gattis	School Planning	Financial & Bus Services / Safe and Healthy Schools Support
Transportation Driver Salary Data	Report	List of bus routes and rates of pay for school bus drivers	State	Mandatory	NCGS 115C-240	October 15	Annually		LEA	Finance Officer, Transportation Director, Cost Clerk	Electronic	Email	http://www.ncbussafety.org/StateForms.html	Derek Graham	Transportation	Financial & Bus Services School Support
Transportation Passenger Count	Report	Number of students transported - official ridership count used as basis for funding	State	Mandatory	Allotment Policy Manual	October 15	Annually		LEA	Central Office Staff, Transportation Director	Electronic/Paper	Mail or Courier / Email	http://www.ncbussafety.org/StateForms.html	Derek Graham	Transportation	Financial & Bus Services School Support

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