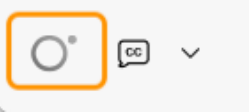
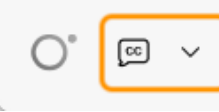


Federal Reporting Office Hours

General Supervision and Data Management
October 2024

Automated Captioning for Participants in Webex

- **Option 1:** Caption and Highlights Panel
- Locate the blue circle and dot icon on the bottom left of your screen. 
- Select icon
- Select turn on Webex assistant
- Select View Captions and Highlights
- A new side panel will appear on the right of the screen.
- **Option 2:** Closed Captioning
- Select the Closed Captioning (CC) icon in the lower left corner of the screen
 - Captions will appear on the bottom of screen 
- Grab and move the captions to the desired location.

Federal Reporting Preparation

- Indicator 11
- Indicator 12
- Indicator 14

Indicator 11

90-Day Timeline

Indicator 11 Overview

- Opens October 2nd and closes October 31st
- Captures the percent of students for whom a referral was received, and placement determined within 90 days
- Based on data from the 7/1/2023 through 6/30/2024 reporting period



Indicator 11 Resources

Training video and resources available in ECATS (Main Menu > Resources)

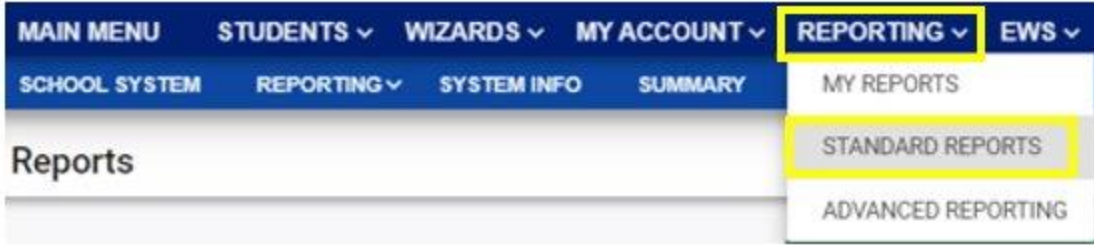
Resources				Review the License Agreement	Upload File(s)	Delete/Update Documents
<input type="checkbox"/>	<input type="checkbox"/>	Federal Reporting	10/26/2020	Beth Burris	ECATS Indicator 11 Reporting - Exceptions Tab Guide	
<input type="checkbox"/>	<input type="checkbox"/>	Federal Reporting	10/13/2020	Beth Burris	ECATS Indicator 11 Reporting - Updating Initial Delay Reason Guide	
<input type="checkbox"/>	<input type="checkbox"/>	Federal Reporting	10/13/2020	Beth Burris	ECATS Indicator 11 Reporting - Errors and Warnings Guide	
<input type="checkbox"/>	<input type="checkbox"/>	Federal Reporting	10/13/2020	Beth Burris	ECATS Indicator 11 Reporting - FAQ Guide	
<input type="checkbox"/>	<input type="checkbox"/>	Federal Reporting	10/13/2020	Beth Burris	ECATS Training Federal Reporting Webinar Videos - Last Updated 10/11/2020	

Indicator 11 report available to review data

Scheduled Reports		
Early Warning System	Accommodations Report School/Grade/Class/Test	Exit Count
FAM-S	Caseloads as of a Point in Time	IEP Services Report with Location (xls)
	Caseloads Report Admin (xls)	IEP Services Report with Location/Transportation (xls)
General	Child Count	IEP Services Report with Minutes (xls)
	Compliance by Case Manager (xls)	Indicator 11
Progress Monitoring	Compliance by School (xls)	Indicator 7
	Compliance by Students (xls)	Missing Progress Report (xls)
Service Logging	Compliance Summary (PDF)	Overdue Eligibility/IEP Report (xls)
Special Education	Contacts Report (PDF)	Progress Report Status (PDF)
	ESY By School By Case Manager (xls)	Projected/Missed Eligibility Meetings (xls)
	ESY by Service (xls)	Projected/Missed IEP Meetings (xls)

Indicator 11 Report

Go to Reporting > Standard Reports



Scroll to Scheduled Reports > Select the Special Ed tab > Click the Indicator 11 link

Scheduled Reports		
Early Warning System	Accommodations Report School/Grade/Class/Test	Exit Count
FAM-S	Caseloads as of a Point in Time	IEP Services Report with Location (xls)
General	Caseloads Report Admin (xls)	IEP Services Report with Location/Transportation (xls)
Progress Monitoring	Child Count	IEP Services Report with Minutes (xls)
Service Logging	Compliance by Case Manager (xls)	Indicator 11
Special Education	Compliance by School (xls)	Indicator 7
	Compliance by Students (xls)	Missing Progress Report (xls)
	Compliance Summary (PDF)	Overdue Eligibility/IEP Report (xls)
	Contacts Report (PDF)	Progress Report Status (PDF)
	ESY By School By Case Manager (xls)	Projected/Missed Eligibility Meetings (xls)
	ESY by Service (xls)	Projected/Missed IEP Meetings (xls)

Generating the Indicator 11 Report

- Leave the Data Set and Count Period default to the current selection
- Leave the Schools options blank
- Select Detailed Student Listing, Include Summary Calculations, and Include Exception/Validations Report
- Click Generate Report

Reports - Indicator 11

Data Set: Transactional/EDPlan

Count Period: Indicator 11 | October 2022 | 07/01/2021 - 06/30/2022

Schools: ☐ CECAS ☐ DPI FTE School ☐ Homeless ☐ Migrant
☐ Graduated Students ☐ LEP

Detailed Student Listing: ☒

Include Summary Calculations: ☒

Include Exception/Validation Report: ☒

Sort By: Last Name

Viewing the Indicator 11 Report

MAIN MENUSTUDENTS ▼WIZARDS ▼MY ACCOUNT ▼REPORTING ▼EWS ▼ADMIN ▼

SCHOOL SYSTEMREPORTING ▼SYSTEM INFOSUMMARYADMINISTRATION ▼

Your report is number 6 in line for generation.
An e-mail will be sent to 'khalilah.ofarrow@dpi.nc.gov' when it is complete.
When the report has successfully generated, you will find it in either the Saved System Reports section at the bottom of the Reports Page or on the My Reports Page.
The email will provide you with details.

Go to Reporting > My Reports to view the report

MAIN MENUSTUDENTS ▼WIZARDS ▼MY ACCOUNT ▼REPORTING ▼EWS ▼

SCHOOL SYSTEMREPORTING ▼SYSTEM INFOSUMMARYMY REPORTSSTANDARD REPORTSADVANCED REPORTING

Reports - Indicator 11

Reports

Report	Date Created	Created By
Indicator 11	10/04/2022 16:09:00	Khalilah Sabreen OFarrow

Indicator 11 Common Exemptions

Is Duplicated

- Exclude the referral event that was finalized in the previous PSU

Consent to Evaluate Date Required/Consent to Evaluate Purpose Required

- Submit the Consent to Evaluate Response

Initial Placement Delay Reason is Required

- Document Initial Placement Delay Reason (if delay)
- Submit Consent for Services response (if no delay)

Delay Reason Not Required

- Remove/clear initial placement delay reason if no delay (0 days delayed)

Common Exception: *Is Duplicated*

If the student transferred to your PSU, with a Referral event that was initiated in the previous PSU, exclude that Referral event from the Student Level Report View

04/18/2023		Transfer Student (ncecatspamlico => ncecatsEFG)
04/18/2023		Grade Change (P2)
04/18/2023		School Change (CECAS)
04/06/2023		Student Enrollment
04/05/2023		Exit School System (ncecatsABC)
02/09/2023		Parent Consent (Yes) (ncecatsABC)
02/09/2023		Notification - IEP (ncecatsABC)
02/08/2023		Referral (ncecatsABC)

Report View

Report Type:

Indicator 11: Child Find

Reporting Period:

2022-2023

Exclude this Record:

☒

UPDATE EXCEPTION

UPDATE REPORT

Tip: *Duplicate Referral Event*

If the student has duplicated referral events with the same date OR multiple referral events within the same PSU in which the previous referral was not completed, exclude the duplicated referral event from the Student Level Report View.

Student History	
Event Date*	Event Type
04/05/2023	Parent Consent (Yes)
04/05/2023	Notification - IEP
04/05/2023	Referral
03/01/2023	Parent Consent (Yes)
03/01/2023	Notification - IEP
02/15/2023	Referral

Student History	
Event Date*	Event Type
03/21/2023	Notification - IEP
03/21/2023	Non-Eligibility Determination
11/17/2022	Notification - IEP
11/17/2022	Notification - IEP
09/22/2022	Referral
09/22/2022	Referral
08/29/2022	Student Enrollment

Common Exception: *Consent to Evaluate Date Required/Consent to Evaluate Purpose Required*

Submit the Consent to Evaluate Response and update the Student Level Report View

Consent to Evaluate

CREATE CONSENT TO EVALUATE

Use the link directly above to create a final parent/guardian/student Consent to Evaluate document. Then submit the response information in the fields directly below.

Initial or Reeval?

☒ Initial ☐ Reeval

Parent/Guardian/Student Consent to Evaluate Response:

Parent/Guardian/Student Signed - Yes

Parent/Guardian/Student Consent to Evaluate Date Signed:

03/17/2023

The following question is specific to private school students ONLY. Regardless of whether a student is private school or public school status, users should complete the applicable EC processes.

Is the parent requesting an evaluation/reevaluation solely for the purpose of applying or renewing scholarships (vouchers), and will not receive services through a private school services plan (PSSP)?

No

Date:

03/17/2023

SUBMIT CONSENT TO EVALUATE RESPONSE

Report View

Report Type:


Indicator 11: Child Find

Reporting Period:

2022-2023

UPDATE EXCEPTION

UPDATE REPORT

 North Carolina Department of
PUBLIC INSTRUCTION

Office of Exceptional Children 13

Common Exception: Initial Placement Delay Reason is Required (beyond 90-day timeline)

If initial placement is after 90-day timeline, document initial placement delay reason and update the Student Level Report View

Student History

Event Date*	Event Type
06/16/2023	Provision of Services Parental Consent

Timeliness and Special Situations

General DataPart C Referral: C to B TransitionPart B Referral

Referral Data

Referral Date:	90 Day Timeline End Date:	Private School Non-Participation Notice Date (If Applicable):	Delay Reason (If Applicable):
03/10/2023	06/08/2023		

SAVE

Report View

Report Type:Indicator 11: Child Find

Reporting Period:2022-2023

UPDATE EXCEPTION

UPDATE REPORT

Common Exception: Initial Placement Delay Reason is Required (0 days delayed)

If initial placement is within the 90-day timeline, submit the Consent for Services response and update the Student Level Report View

Consent for Services

[CREATE CONSENT FOR SERVICES](#)



Use the link directly above to create a final parent/guardian/student consent for services document. Then submit the response information in the fields directly below.

Parent/Guardian/Student Consent for Services Response:

Parent/Guardian/Student Consent for Services Date Signed:



SUBMIT CONSENT FOR SERVICES RESPONSE

Report View

Report Type:

Reporting Period:

UPDATE EXCEPTION

UPDATE REPORT

Reminder: Consent Responses

EC Process > Consent screen

Consent to Evaluate

[CREATE CONSENT TO EVALUATE](#)



Use the link directly above to create a final parent/guardian/student Consent to Evaluate document. Then submit the response information in the fields directly below.

Consent for Services

[CREATE CONSENT FOR SERVICES](#)



Use the link directly above to create a final parent/guardian/student consent for services document. Then submit the response information in the fields directly below.

Documents created for

- ☐ [Consent for Eval](#)
- ☐ [Consent for Services](#)

Remember: An open check box associated with the Consent document indicates the document was finalized, the but the Consent response has not yet been submitted on the EC Process > Consent screen.

Reminder: Consent Response

EC Process > Consent screen continued

Consent to Evaluate

[CREATE CONSENT TO EVALUATE](#)

Use the link directly above to create a final parent/guardian/student Consent to Evaluate document. Then submit the response information in the fields directly below.

Initial or Reeval? ☒ Initial ☐ Reeval

Parent/Guardian/Student Consent to Evaluate Response: Parent/Guardian/Student Signed - Yes

Parent/Guardian/Student Consent to Evaluate Date Signed: 03/17/2023



The following question is specific to private school students ONLY. Regardless of whether a student is private school or public school status, users should complete the applicable EC processes.

Is the parent requesting an evaluation/reevaluation solely for the purpose of applying or renewing scholarships (vouchers), and will not receive services through a private school services plan (PSSP)?

No

Date: 03/17/2023

SUBMIT CONSENT TO EVALUATE RESPONSE

Consent for Services

[CREATE CONSENT FOR SERVICES](#)

Use the link directly above to create a final parent/guardian/student consent for services document. Then submit the response information in the fields directly below.

Parent/Guardian/Student Consent for Services Response: Parent/Guardian/Student Signed - Yes

Parent/Guardian/Student Consent for Services Date Signed: 05/14/2023

SUBMIT CONSENT FOR SERVICES RESPONSE

Reminder: Consent Response

Confirm the response was submitted



Documents created for

- E [Consent for Eval](#)
- E [Consent for Services](#)

Remember: The red **E** associated with the Consent document indicates the document was finalized, and the Consent response was submitted on the EC Process > Consent screen.



Student History

05/14/2023



Provision of Services Parental Consent

03/17/2023



Parent Consent (Yes)

Remember: The Provision of Services Parental Consent event and Parent Consent (Yes) event on the Student History screen indicates the Consent responses were submitted on the EC Process > Consent screen.

Reminder: Consent Response

Upload copy of signed consent

Upload signed copy of Consent to Evaluate (if applicable) and Consent for Services to Documents



Documents					
<u>Document</u>		Batch	<u>Status</u>	Del	Attachment
<u>Consent for Services</u>	PDF	<input type="checkbox"/>	Final	<input type="checkbox"/>	<u>Consent for Service</u>
<u>Consent for Eval</u>	PDF	<input type="checkbox"/>	Final	<input type="checkbox"/>	<u>Signed Consent</u>

Common Exception:

Delay Reason Not Required

Remove the initial placement delay reason from the Data Collection screen if there was no delay.

Initial Placement Delay Reason	Consent for Placement Date	Consent for Placement Given	# Days Delayed	Subtraction Category
IN04: Delay in getting parent consent	2/28/2023	Yes	0	Eligible
IN04: Delay in getting parent consent	3/17/2023	Yes	0	Eligible

Student History

Event Date*

02/28/2023

Event Type

Provision of Services Parental Consent

Timeliness and Special Situations

General Data

Part C Referral: C to B Transition

Part B Referral

Referral Data

Referral Date:

12/06/2022

90 Day Timeline End Date:

03/06/2023

Private School Non-Participation Notice Date (If Applicable):

Delay Reason (If Applicable):

IN04: Delay in getting parent consent

Tip: Subtraction Category

– Exclusion Prior to 90 Days

If the referral was finalized in current PSU, confirm the Referral date is on/after the Student Enrollment date.

Subtraction Category
Eligible
Eligible
Exclusions Prior to 90 Day Timeline
Exclusions Prior to 90 Day Timeline
Exclusions Prior to 90 Day Timeline
Exclusions Prior to 90 Day Timeline
Eligible
Eligible

08/29/2022		Student Enrollment
08/22/2022		Referral

Preparing for Indicator 11

Reminders

- Establish a routine of periodically reviewing the Indicator 11 Report for accuracy of data. Do not wait until October.
- Review the Student Details tab in the Indicator 11 report
- The Exceptions tab in the Indicator 11 report displays errors in student records that must be corrected before certifying data. It does not display discrepancies (“false delays”) created by incorrect or incomplete data entry
- Delete duplicate referral events for the same student record
- Review the Indicator 11 Federal Reporting User Guide

Indicator 11 Q & A

We are here to answer your questions!

Feel free to type in the chat or unmute and ask a question.

Indicator 12

Part C to Part B Timely Referral

Indicator 12 Overview

- Captures data on students who have been served by Part C and were referred to Part B for Part B eligibility determination during the 7/1/2023 - 6/30/2024 reporting period
- The initial eligibility process must be completed and, if eligible, the IEP must be developed and implemented by the child's 3rd birthday
- The initial eligibility process must also be completed within the 90-day timeline and these students are counted on Indicator 11

Indicator 12 Required Submission

- All Traditional LEAs must submit a completed Indicator 12 Data Submission form (Excel format)
- Form was revised in July 2024
- Due to ecindicators@dpi.nc.gov by October 31st
- Charter Schools and State Operated Programs do not submit Indicator 12

Indicator 12 Resources

Indicator 12: Early Childhood Transition



Indicator 12 looks at children (ages 0–2) who may qualify for special education services. If they qualify, Indicator 12 measures the percentage of students who have a formal plan ready by their third birthday. This is a compliance indicator. Targets are set by the Office of Special Education at 100%.

Indicator 12 - Part C to Part B Timely Referral

Indicator 12 will be submitted on spreadsheets by all PSUs. Charter Schools do not need to submit an Indicator 12 spreadsheet this year. The Indicator 12 spreadsheet has a tab with helpful clarifications to assist PSUs in entering their data and can be found below. The spreadsheet can be submitted at any time prior to the due date of October 31, 2024. Your cooperation in meeting the deadline for data submission is greatly appreciated. Please email all Indicator 12 spreadsheets to ecindicators@dpi.nc.gov.

Indicator 12 Data Submission Form

[Indicator 12 Excel Submission Form](#)

Child Find Calculator

The Child Find Calculator (CFC) is an optional tool for individual PSUs to track preschool data collection and analysis. This resource was revised in July 2024 to incorporate the Third Party Notification Response guidance issued by NCDPI ECEC in the spring of 2024. In addition, edits have been made throughout to instructions, clarifications, and column headers to match language of NC Policies and to enhance clarity and understanding of the tool. The CFC spreadsheet is provided in both Google Sheets and Microsoft Excel versions.

- [CFC Google Sheets version](#)
- [CFC Excel version](#)
- [CFC Archive/Update Instructions](#)

Note: Please do not Submit the CFC spreadsheet as your Indicator 12 data submission. To maintain confidentiality, data from the CFC Indicator 12 tab must be transferred to the Indicator 12 Data Submission Form.

Indicator 12 Data Submission

- Required form
- Use updated version
- 7/1/23 - 6/30/24 count period
- Address all red cells
- Submit in Excel format (no PDFs, no Google Sheets)

Due October 31st to
ecindicators@dpi.nc.gov

Data Submission Form for Indicator 12	
Revised 7/8/24	
LEA Information (Charter Schools do NOT need to submit this form.)	
LEA Number:	
LEA Name:	
Contact Information	
EC Administrator Name:	
EC Administrator Email:	
EC Administrator Phone:	
Submission Information	
School Year:	2023-2024
Due Date:	10/31/24
Date Submitted:	
Submission Instructions	
<p>Red cells signify an error in the calculation of data or missing data. Enter 0s where appropriate. Please do not submit your Indicator Spreadsheet with red cells.</p> <p>Please submit no later than the submission date to: ecindicators@dpi.nc.gov.</p>	
Statement of Assurances	
<p>I hereby certify that this document and this school system are in compliance with federal law (P.L. 108-446), state statute (Chapter 115C, Article 9), and the State Board of Education's <u>Policies Governing Services for Children with Disabilities</u>. By submittal of this form electronically the submitter acknowledges the Statement of Assurances and is legally bound in the same as if the submitter were to attach his/her signature with the documentation.</p>	

Ind. 12 Data Submission, Tab 2

Data Submission Form for Indicator 12 (7/1/2023 - 6/30/2024)	
Revised 7/8/24	
Red cells signify an error in the calculation of data or missing data. Enter 0's where appropriate. Please do not submit your Indicator Spreadsheet with red cells.	
Section A: Timely Transition (Percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays.)	
	a. # of children who have been served by Part C and referred to Part B for Part B eligibility determination.
	b. # of those referred determined to be NOT eligible and whose eligibility was determined prior to their third birthdays.
	c. # of those found eligible who have an IEP developed and implemented by their third birthdays.
	d. # of children for whom parental refusal to provide consent caused delays in evaluation or initial services or whom exceptions under 34 CFR §300.301(d) applied. <i>[34 CFR §300.301(d) - Exception. The timeframe for initial evaluation [§300.301(c)(1)] does not apply if- 1) the parent of a child repeatedly fails or refuses to produce the child for evaluation; or (2) a child enrolls in a school of another public agency after the relevant timeframe has begun, and prior to a determination by the child's previous public agency as to whether the child is a child with a disability under §300.8.]</i>
	e. # of children determined to be eligible for early intervention services under Part C less than 90 days before their third birthdays.
100.00	=Timely Transition Rate $[c/(a-b-d-e)*100]$

Section B: For children included in (a), but not included in b, c, d, or e, indicate the range of days beyond the third birthday when eligibility was determined and the IEP developed.	
0	=Number of children with placement delayed beyond their 3rd birthday. (a-b-c-d-e)
	1-5 days
	6-15 days
	16-25 days
	26-35 days
	36-45 days
	46 or more days
Section C: For children included in (a) but not included in b, c, d, or e, indicate the reason the child's eligibility and IEP development were delayed beyond the third birthday.	
0	=Number of children with placement delayed beyond their 3rd birthday. (a-b-c-d-e)
	a. Family Circumstance (e.g., illness/death in family, change in custody, etc.)
	b. Child Circumstance (e.g. child was sick)
	c. Part B Circumstance (e.g. delays relating to completion of evaluations, holding timely IEP meeting, arranging transportation, school enrollment paperwork, etc.)
	d. Part C Circumstance (e.g. delays relating to Part C failing to provide Part B with Child Find Notification in a timely manner.)

Indicator 12 Q & A

We are here to answer your questions!

Feel free to type in the chat or unmute and ask a question.

Indicator 14

What is Indicator 14?

As defined by OSEP in the Part B State Performance Plan (SPP) and Annual Performance Report (APR) Part B Indicator Measurement Table.

Indicator 14: Percent of youth who are no longer in secondary school, had Individualized Education Programs (IEPs) in effect at the time they left school, and were:

1. Enrolled in **higher education** within one year of leaving high school.
2. Enrolled in higher education or **competitively employed** within one year of leaving high school.
3. Enrolled in higher education or in **some other postsecondary education or training program**; or competitively employed or in **some other employment** within one year of leaving high school.
(20 U.S.C. 1416(a)(3)(B))

How is Indicator 14 data collected?

North Carolina is a sampling state

We collect two sets of data:

- **Exit data** – LEAs submitted exit data in End-of-Year Reports through ECATS
- **Survey data** on students are collected in ECATS by the LEAs' designated caller(s) one year after the students exit (May 1 – September 15, 2025)

Why are Postschool Outcomes Important?

One purpose of the Individuals with Disabilities Education Improvement Act (IDEA) 2004 is: “To ensure that all children with disabilities have available to them a free appropriate public education that emphasizes special education and related services designed to meet their unique needs and prepare them for further education, employment, and independent living.” **IDEA Regulations §300.1(a)**

Youth’s post-school outcomes is one measure of how well states meet the purpose of IDEA.

How does my PSU prepare for Indicator 14?

- Determine your reporting period by referencing the 5-year sampling plan ([District Sampling 5 years](#))
- Generate and verify your Indicator 14 2023-2024 exiters list ([Directions](#))
- Save the date for the March 5, 2025, informational session
- Access past trainings: [2024 Indicator 14 Training](#)

Webex Meeting Recording: [Indicator 14 Training 03/18/2024](#)

Password: TvZEp8QJ

Indicator 14 Q & A

We are here to answer your questions!

Feel free to type in the chat or unmute and ask a question.

Other Reminders

NC ECATS Data Managers Contact Directory

Federal Reporting Office Hours Website

ECATS Data Managers Contact Directory

- Update the Contact Directory with any corrections and/or additions.
 - [ECATS Data Managers Contact Directory](#)

Region #	LEA #	LEA Name	Contact Name	Phone Number	Email Address	Fax Number	Mailing Address	Est. # of Schools	Est. # of EC Students
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Federal Reporting Office Hours

- Access previous PowerPoints and session recordings from the Office of Exceptional Children's website at:
 - [Technical Assistance and Professional Development: Federal Reporting Office Hours](#)

Accessibility commitment

This presentation has been [designed to be accessible](#) through:

- Clear structure/layout
- High color contrast
- Effective use of color
- Readable font
- Distinct slide titles
- Descriptive links
- Captioned video
- Accessible tables
- Effective use of images
- Alt text with images
- Logical reading order
- Accessibility check