#### **APPENDIX A1, EVIDENCE OF NEED**

For decades research has provided evidence that demonstrates the effects of education and poverty on one's overall status in life, thereby building the case for a structured, rigorous and relevant education system that is accessible to all people. In 1966, North Carolina joined the national movement for school reform by passing charter school law. Since its original implementation, the state lifted the cap of 100 total schools in 2014, causing the number of charter schools to almost double, and its growing popularity is making charter schools a viable option for many children who would otherwise attend failing schools.

Ridgeview Charter School's (RCS) area of focus is Gaston County, specifically the City of Gastonia. The marketing campaign will target the areas south and west of Franklin Blvd. and fan out to include the greater metropolitan area. RCS will locate its facility in the 28052 or 28054 zip code because this area shows a notable difference to other cities in the same county in terms of education, economics and crime.

#### **DEMOGRAPHICS**

Gastonia is located in the southwest section of North Carolina along Catawba River near the South Carolina border. It is part of the Charlotte-Concord-Gastonia Metropolis. Gastonia's unemployment rate is 5.5% (US avg. is 5.2%), however recent job growth is positive with an increase of 3.5%. The cost of living is 14.4% lower than the U.S. average and the median home cost is \$110K, with an -2.86% appreciation over the last 10 years. Gastonia is a racially diverse city with more than 50% of its residents earning less than \$40,000 per year.

Based on the data showing the racial makeup of Gastonia's 71,741 residents (2010 census), the city boasts a greater percentage of diversity when compared to the county. This advantage makes Gastonia an attractive option for investors and employers. This is evident in the job growth in the area, however it will take time for an increase in its economic and social profile.

| Race             | Gastonia | Gaston County |
|------------------|----------|---------------|
| Caucasian        | •61.49 % | •75.0%        |
| African American | •27.02 % | •15.8%        |
| • Hispanic       | •10.7 %  | •6.2 %        |
| •Asian           | •1.61 %  | •1.4 %        |
| Native American  | •0.00 %  | •.5 %         |
| •Multi           | •3.19 %  | •1.7 %        |
|                  |          |               |
|                  |          |               |

Figure 1. Race/Ethnic Comparison. Please note: For Gastonia the Hispanic numbers for Gastonia may be of any race, so they are included in any/all of the applicable race categories above.

#### **ECONOMICS**

Gastonia has a higher poverty rate of 22.3% when compared to the state average of 17.6%. On a local level, the economic disparity in Gastonia is similar to the county as a whole. The average annual income in Gaston County is \$42,000, and \$36,676 in Gastonia. When the comparison is made to its close neighbor, Mecklenburg County, where the median annual income is \$63,789, the difference in income is overwhelming. Gastonia is an example of the economic condition of the entire county where over 50% of the citizens earn less than 40K per year (US Census.com).

While the population has remained stable since the 2010 census, the average median household income has declined by almost 13% over the past nine years and according to Payscale.com, there has been a .03% decrease in Q2 2017. This constant loss of income translates into families who can't afford college tuition and who will rely on scholarships and financial aid to finance the cost of higher education. If students are to earn academic scholarships and increase the number of residents with college degrees above the 23 percentile, then the education outcomes for the south and west sections of Gastonia must improve for students living there.

Other indicators that demonstrate the current economic state in Gastonia, include:

- 49% unmarried
- The largest percentage of residents are between the ages 5-14 years
- The average home value is \$110,000
- The population density is 61.9% higher than North Carolina

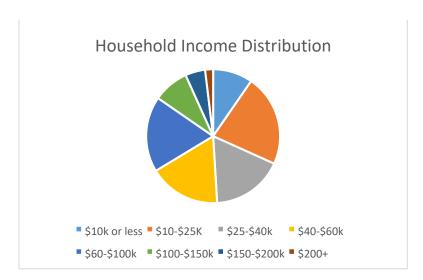


Figure 2. Household Income Distribution for Gastonia

#### PSYCHO -SOCIAL

The successful psycho- social development of the child is critical to his success in school and in life. Many factors impact this process, the most primary being the home life and the community norms that a child faces from day to day (Havinghurst, 1995). With the recent rise in crime, Gastonia has proven to have challenges in the area of safety. Recent studies are showing that children who live in areas of high crime, particularly violent crimes, may experience Post Traumatic Stress Syndrome in the school setting (American Academy of Child & Adolescent Psychiatry, 2013). Research explains that children who live in this type of environment may display a variety of behaviors that may be misinterpreted by school officials and will impact academic performance in class. It is very important for schools to provide a culture of learning that is safe, predictable and prioritizes the development of the whole child.

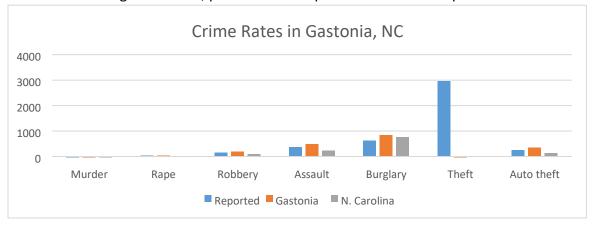


Figure 3 Crime rates. Murder: reported (6), Gastonia (8.1), N. Carolina (5.1). Rape: reported (29), Gastonia (39.1), N. Carolina (26.7). Robbery: Reported (136), Gastonia (183.3), N. Carolina (87.9). Assault Reported (360), Gastonia (485.2), N. Carolina (227.3). Burglary: Reported (623), Gastonia (839.7), N. Carolina (745.2). Theft: Reported (2,956), Gastonia (3,984), N. Carolina (1,871.1). Auto Theft: Reported (250), Gastonia (336.9), N. Carolina (133.8).

The table above illustrates an overview of the annual crime reported in Gastonia, NC. These figures on reported criminal activity in Gastonia, NC, based on a reported crime per 100,000 citizens, is shown in order to compare these rates across disparate categories. You will notice that the crimes collected here, for comparison purposes, have been separated between violent crimes and those involving or threatening property. According to the annual crime data, the crime rate in Gastonia, NC is 90% higher than the average of the whole of the state of North Carolina, and when compared with the national average, is 105% higher. When looking at violent crimes, Gastonia, NC has a 106% higher rate than North Carolina's average, while remaining 92% higher than the national average. In property crime, Gastonia, NC is 88% higher than the average of North Carolina and is 108% higher than the national average.

#### **EDUCATION PERFORMANCE**

Elementary and Middle Schools in Gastonia have a long history of under- performing. The specific zip codes of 28052 and 28054 have shown little growth over the past three years, however they maintain an overall grade of "D" or "F" despite the growth (NCPDI). Area leaders report that many of their third grade students who attend the after school programs, sponsored by area churches, can't read and they are concerned for the future of the community (Gaston County Progressive Coalition). The following chart shows the performance of nine elementary and middle schools that represent the neighborhoods we will target.

#### SCHOOL PERFORMANCE GRADE, NCDPI

| ELEMENTARY SCHOOL | <u>DLS</u> | MIDDLE SCHOOLS        |        |
|-------------------|------------|-----------------------|--------|
| *Lingerfeldt      | 37 SPG     | *Bessemer City        | 36 SPG |
| *Pleasant Ridge   | 31 SPG     | <u>*</u> Grier        | 43 SPG |
| *Sadler           | 32 SPG     | <u>*</u> Holbrook     | 49 SPG |
| *Sherwood         | 49 SPG     | <u>*</u> York Chester | 28 SPG |
| <u>*</u> Woodhill | 36 SPG     |                       |        |

Figure 4. School Performance Grades for some elementary and Middle Schools in 25052 and 25054 zip code. \*Indicates schools whose School Performance Grades was < 50.

#### **COMMUNITY INTEREST**

In addition to the data above, our Board has collected data over the past two years to provide evidence that the community wants charter schools. Our efforts will demonstrate the diversity in subjects from whom we solicited feedback to show that we are open to all students in Gastonia and to diminish the likelihood of research bias and a skewed data base. RCS distributed surveys, facilitated meetings with local leaders, residents and political action coalitions.

In 2017 we distributed a survey designed to collect some basic, critical pieces of information that made it easy for the respondent to complete and submit the survey to us. We placed the surveys in local libraries and churches and received 437 responses. We believe that this level of response is indicative of a high level of interest.

| SURVEY QUESTION  | RESPONSE                             |
|--|--------------------------------------|
| Would you support Ridgeview charter school in Gastonia?                | 437 (Yes), 9 (No) , 6 (maybe)        |
| Will you have children in K-4 the Fall 2019?                           | 307 (Yes), 53 (No), 92 (No response) |
| Would you support gender based instruction for middle school students? | 321 (Yes), 37 (No), 94 (No response) |

Figure 5. 2017 survey results for Charter School Interest.

In March of 2017 the RCS Board was introduced to the Gaston County Progressive Coalition (GCPC). The GCPC is comprised of area ministers, business owners, educators and political activists who have re-activated the coalition to address three areas of concern: education, health and the political process as it pertains to the under-served communities in Gaston County. The GCPC listened to RCS's petition for a charter school and has pledged its support to help see it through. As a result, Ridgeview has visited three area churches, with over 500 members each, in the designated zip codes where the Pastors have asked their congregations to give 100% support.

In summary, the evidence provided in this appendix demonstrates the need for Ridgeview Charter School in Gastonia, North Carolina. Gastonia has academic, economic and psycho-social challenges that contribute to the low performance we see in neighborhood schools. While one change is not the answer to every social problem, we will passionately address the education challenge.

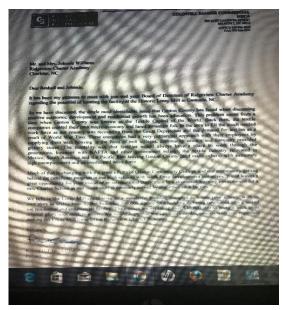
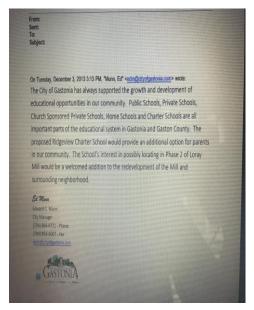


Figure 6. Letter of support from previous applications.



Letter of support from previous applications.

#### **APPENDIX B**

#### **CURRICULUM OUTLINE FOR YEAR 1**

Ridgeview Charter School's (RCS) approach to learning and curriculum design incorporates a global perspective as the framework, and utilizes supportive curriculum that addresses both the global perspective and developmental phase children experience in Kindergarten through Middle School. The following sample demonstrates how MIF fulfills the developmental and global focus of RCS and successfully aligns with NC Standard Course of Study.

As stated in the application, RCS considers the developmental phase of a child and its impact on learning and teaching strategy. Math In Focus (MIF) follows a developmental continuum with its sequence of topic delivery and the activities used to effectively teach the child in a time-frame allowing for mastery and in depth investigation.

#### MIF DEVELOPMENTAL CONTINUUM

| KINDERGARTEN                     | GRADES 1 - 2   | GRADES 3 - 5  |
|----------------------------------|--|---|
| Foundational concepts            | through songs, rhymes and  | hands on activities   |
| <ul> <li>Counting</li> </ul>     |  |   |
| <ul> <li>Sorting</li> </ul>      |  |   |
| <ul> <li>Number Sense</li> </ul> |  |   |
|                                  | Concepts & skill development by  | hands on instruction  |
|                                  | <ul> <li>Basic Facts</li> <li>Place Value</li> <li>Mental Math</li> <li>Geometry Concepts</li> </ul> |   |
|                                  |  | Problem Solving Emphasis  |
|                                  |  | <ul> <li>Fractions</li> <li>Decimals</li> <li>Ratios</li> <li>Model Drawing</li> <li>Expressions, Equations and Inequalities</li> </ul> |

Figure 1. The Developmental Continuum

(hmhco.com)

## **PROPOSED INSTRUCTIONAL CALENDAR**

## **2019 – 2020 ACADEMIC YEAR**

| August 12 – 16, 2019          | Staff Development              |
|-------------------------------|--------------------------------|
| August 19 – 23, 2019          | Staff Development              |
| August 20, 2019               | Parent / Student Orientation   |
| August 26, 2019               | First Day of School            |
| September 2, 2019             | Labor Day – No School          |
| September 27, 2019            | Teacher Work Day – No School   |
| October 18, 2019              | End of First Quarter           |
| October 25, 2019              | Teacher Work Day – No School   |
| November 11, 2019             | Veteran's Day – No School      |
| November 20, 2019             | Teacher Work Day – No School   |
| November 21 – 22, 2019        | Thanksgiving Break – No School |
| December 23 – January 3, 2020 | Christmas Break – No School    |
| January 6, 2020               | School Resumes                 |
| January 13, 2020              | <b>End of Second Quarter</b>   |
| January 20, 2020              | MLK Day – No School            |
| January 27, 2020              | Teacher Work Day – No School   |
| February 10, 2020             | Teacher Work Day – No School   |
| March 13, 2020                | <b>End of Third Quarter</b>    |
| April 10, 2020                | Teacher Work Day – No School   |
| April 13 – 17, 2020           | Spring Break – No School       |
| May 22, 2020                  | Teacher Work Day – No School   |
| May 25, 2020                  | Memorial Day – No school       |
| June 10, 2020                 | Last Day of School             |
|                               |                                |

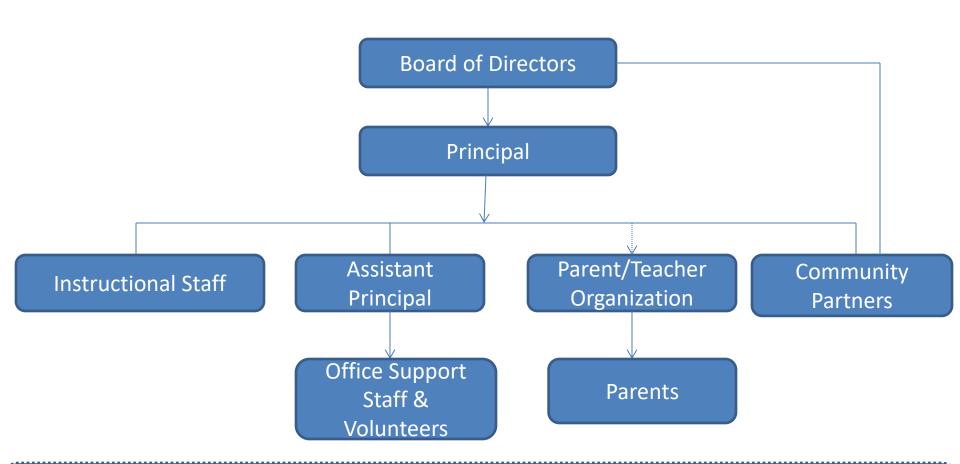
**185 School Days** 

## Make Up Days:

January 3, 2020 April 16, 2020 January 20, 2020 April 17, 2020

February 10, 2020

# Ridgeview Charter School Organizational Chart



Meetings of the Board of Directors are open to the public and held in accordance with Open Meeting Law. Parents, community partners, and members of the public are encouraged to attend these meetings and share any comments, questions, or concerns regarding the school.

#### **RESHALL L. WILLIAMS**

wreshall48@gmail.com (980) 208-7782

#### EDUCATOR / SCHOOL LEADERSHIP

Personal mission: to provide excellence in school leadership and motivate all participants to perform at their personal best

Expert in combining process improvement and curriculum management with tactical execution to optimize long-term gains in student and staff performance. Breadth of experience in diverse problem solving techniques, based on best practices gathered from national and international research Leadership philosophy promotes employee participation in creative problem solving to contribute to organizational success.

#### PROFESSIONAL EXPERIENCE

#### RESHALL L. WILLIAMS LLC

A charter school operations, curriculum development and other education services company.

Consultant, 2010 – current

#### NATIONAL HERITAGE ACADEMIES

A Fortune 400 charter school company with 61 academies in eight states, educating over 38k students nationwide

PRINCIPAL, June 2003 to June 2008

As an outcome of expansion, and after successfully leading a school in Grand Rapids, MI., I was selected to open and lead a new school in Indianapolis, IN. Supervised team of deans and 50+ teachers and staff.

#### ASSISTANT PRINCIPAL, JUNE 2001 TO JUNE 2003

Performed administrative duties under the leadership of School Principal

#### GRAND VALLEY STATE UNIVERSITY

A four year institution of higher education in West Michigan serving over 10k students **ASSISTANT DIRECTOR OF STUDENT SERVICES**, October 1992 to June 2001

**HONORS:** Recognized by former Secretary of Education, Margaret Spellings, 2007 · Guest of Sir Dexter Hutt, Knight of Education, London England, 2007 · Alpha Phi Alpha Award recipient 1997,1998,1999 · Honorary Elder of Grand Rapids Native American Association, Board Member of the CDC for Greater Vision United Methodist Church

**EDUCATION: Post Graduate, Educational Leadership** – Grand Valley State University, Allendale , MI **MSW, Emphasis / Administration** – Western Michigan University, Kalamazoo, MI **B.A., Psychology** – University of Michigan, AnnArbor, MI

#### PROFESSIONAL DEVELOPMENT

| • | DeVos Principal Leadership program       |      | Data Driven Instruction                       |
|---|--|------|---|
| • | Good is the Enemy of Great Training      |      | Bloom's Taxonomy Revised                      |
| • | International Collaboration, London Engl | land | ☐ Presenter, 2007 Indiana's Future Conference |

#### **Appendix F:**

#### Charter School Board Member Information Form

**Note:** To be completed individually by each proposed founding charter school board member. All forms must be signed by hand.

Serving on a public charter school board is a position of public trust and as a board member of a North Carolina public charter school; you are responsible for ensuring the quality of the school's entire program, competent stewardship of public funds, and the school's fulfillment of its public obligations and all terms of its charter.

As part of the application for a new charter school, the State Board of Education requests that each prospective board member respond individually to this questionnaire. Where narrative responses are required, brief responses are sufficient.

The purpose of this questionnaire is twofold: 1) to give application reviewers a clearer introduction to the applicant team behind each school proposal in advance of the applicant interview, in order to be better prepared

| B | ac | kσ | ro | un | d |
|---|----|----|----|----|---|
| _ |    |    |    | u  | v |

|                | r the interview; and<br>mmon mission, pu   |  | _   |                              |                         |                          | -            |                | vely on their       |       |
|----------------|--|--|---|------------------------------|-------------------------|--------------------------|--------------|----------------|---------------------|-------|
|                | nckground Name of charter s School.  | school o                                 | n whose E   | Board of Dire                | ectors you              | ı intend to              | serve:       | Ridgeview      | Public Charte       | r     |
| 2.             | Full name:   | Mebea                                    | rl Latawn (   | (Bentley) Sto                | ockton                  |                          |              |                |                     |       |
| Ви<br>Ге<br>Е- | ome Address: usiness Name and A elephone No.: mail address:  Brief educational In 2009, I ear weral professional | Address:<br>(706) 8<br>meast<br>I and em | : N/A<br>825 3912<br>ockton@g<br>ployment<br>achelor of | history.<br>arts degree i    | n English               | from Pair                | ne College i | n Augusta, G   | A. I also earn      | ed    |
| 4.             | Have you previou not-for-profit cor  | -  |   | oard of a sch                | nool distri             | ct, another              | charter sch  | nool, a non-pu | ablic school or     | r any |
|                | No: No□  | Yes:                                     |   |                              |                         |                          |              |                |                     |       |
| 5.             | How were you re proposed charter grant funding aw  | school?<br>arded to                      | After share me to pro                                   | ring my expo<br>vide educati | erience w<br>ion servic | ith diverse<br>es, I was | learners, c  | ollege ready e | experience and ber. | d     |

- b) I wish to serve on the board of the proposed charter school because I am passionate about education, I have experience with diverse learners, I believe that students and parents should have more options and as a resident of Gastonia, NC, I would love to serve my community.
- 1. What is your understanding of the appropriate role of a public charter school board member?

Ridgeview Charter School Appendix F23

The appropriate role of a public charter school board member is to maintain a respectable reputation in the community and help make decisions that are in the best interest of the student body.

2. Describe any previous experience you have that is relevant to serving on the charter school's board (e.g., other board service). If you have not had previous experience of this nature, explain why you have the capability to be an effective board member.

In 2002, I served as an elected board member for Georgia 4-H on the district level. Prior to that, I served on the county level for many years.

3. Describe the specific knowledge and experience that you would bring to the board.

The knowledge that I would bring to the board would be my past experience serving as a board member and my success with grant funding for education services.

#### **School Mission and Program**

- 1. What is your understanding of the school's mission and guiding beliefs?

  My understanding of the school's mission is to ensure college readiness.
- 2. What is your understanding of the school's proposed educational program?

  The educational program is to allow students the resources to connect with other students globally as well as achieve academic goals.
- 3. What do you believe to be the characteristics of a successful school?

  The characteristics of a successful school are strong personal relationships with teachers and parents and retention.
- 4. How will you know that the school is succeeding (or not) in its mission?

  The school will succeed in its mission if the set academic goals are met and if student body growth occurs.

#### Governance

1. Describe the role that the board will play in the school's operation.

The role that the board will play in the school's operation will be to ensure the school is governed accordingly and that every faculty member understands and believes in the school mission.

- 2. How will you know if the school is successful at the end of the first year of operation?
  - The school will be successful at the end of the first year if academic goals are met and if there is retention.
- 3. How will you know at the end of five years of the schools is successful?
  - After five years, the school will be successful if academic goals are consistently met, retention occurs and there is an increase in the student body.
- 4. What specific steps do you think the charter school board will need to take to ensure that the school is successful?

Ridgeview Charter School Appendix F24

To ensure the success of the charter school, the board will need to make sure that each individual involved with the school will understand the school's mission.

5. How would you handle a situation in which you believe one or more members of the school's board were acting unethically or not in the best interests of the school?

If one or more members were accused of behaving unethically, I would address it privately with the accused board members and the school's founder.

\*Please include the following with your Information Form

- a *one page* resume
- a national criminal background check

\*If you responded within the application that disciplinary action has been taken against any past or present professional licenses, provide a detailed response below outlining the disciplinary action taken and the license validity.

#### Certification

I, Mebearl Stockton, certify to the best of my knowledge and ability that the information I am providing to the North Carolina State Board of Education as a prospective board member for Ridgeview Charter School is true and correct in every respect.

Signature Date
Mebearl L. Stockton 9/19/2017

#### MEBEARL BENTLEY STOCKTON

## 3208 Bur Oak Drive Gastonia, North Carolina 28056 (706) 825 3912

#### Greetings,

I am Mebearl Bentley Stockton, a McDuffie County, Georgia native, and proud Paine College graduate with a bachelor of arts degree in English and minor in Psychology. I also hold multiple professional certifications from the University of North Texas at Dallas. As a new resident in Gastonia, North Carolina, I am excited to announce my interest as a founding board member for the Ridge View Public Charter School.

#### Work with students

During my freshman year at Paine College, I began tutoring my peers in English and editing their research papers and essays. Shortly thereafter, I became an Upward Bound Tutor. During that time, I served middle grades students with homework and tutoring services in Language Arts, Reading Comprehension, Composition, Social Studies and Biology. Since then, I have continued tutoring students in both traditional and nontraditional settings.

Over the Spring of 2017 Augusta, Georgia, I decided to hold tutoring sessions by the pool to make learning exciting while students were out of school for spring break. I verbally tested students on math, vocabulary and spelling. The idea was a success. Both parents and students in my community appreciated it.

#### **College Ready Experience**

In addition to tutoring, I have provided over 20 services for students pre-K to College. Some of those services are essay writing, public speaking and college preparatory workshops, assistance with scholarship and grant searches, college admissions applications and ACT preparatory assistance.

As an education service provider, I believe that it is important for me to network and build relationships with faculty and staff at various colleges. This gives me the ability to connect students with individuals who are in positions to help them before they begin their post secondary education. I also match students with the right college. One way I plan to continue doing this is by planning college tours. My first college tour for high school students from my hometown is scheduled for spring, 2019.

#### **Grant Funding and Additional Experience**

In 2012, I became a certified work adjustment trainer and social adjustment trainer for Options for Life Services, LLC (Texarkana, TX). There I worked with teenagers and young adults with learning disabilities. My responsibility was to match clients with the right jobs and teach them basic job and social adjustment skills. In doing so, I discovered that many of my clients had difficulty reading above a fourth grade level and could not complete job applications. I then offered free private tutoring in reading and writing to improve their literacy and confidence.

In 2016, I created a program that offered tutoring services and prepared students with smooth transitions after high school. I then applied for a Visioneer Grant awarded by a non-profit organization (Women With 20/20 Vision in Lawrenceville, GA). In 2017, I received the money to fund my program.

With my work experience and passion for children and learning, I feel that I am a qualified candidate for the Ridge View Public Charter School board. I would be honored to serve as a board member and I look forward to contributing to the success of the school.

Warmest regards, Mebearl L. (Bentley) Stockton

#### Appendix F:

#### **Charter School Board Member Information Form**

**Note:** To be completed individually by each proposed founding charter school board member. All forms must be signed by hand.

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As part of the application for a new charter school, the State Board of Education requests that each prospective board member respond individually to this questionnaire. Where narrative responses are required, brief responses are sufficient.

The purpose of this questionnaire is twofold: 1) to give application reviewers a clearer introduction to the applicant team behind each school proposal in advance of the applicant interview, in order to be better prepared for the interview; and 2) to encourage board members to reflect individually as well as collectively on their common mission, purposes, and obligations at the earliest stage of school development.

| common mission, purposes, and obligations at the earliest stage of school development.   |
|--|
| Background  1. Name of charter school on whose Board of Directors you intend to serve:  2. Full name:  Charter School  Shezarrah Klaure  |
| Home Address:  Business Name and Address: Telephone No.:  E-mail address:  SNE ZOUTE DOOLLY FORD CLUB ROL  Charlotte INC 282109  SNEZ Kaure & Grant Com  |
| 3. Brief educational and employment history. Master's Pegree in Human Rosounces Realter, Franchise Owner, Quality assurance Specialist   |
| 4. Have you previously served on a board of a school district, another charter school, a non-public school or any<br>not-for-profit corporation?   |
| No: Yes:   |
| 5. How were you recruited to join this Board of Directors? Why do you wish to serve on the board of the proposed charter school? I have Human Resources experience in Non of teachers and upport Staff. I werk with the york at my church and I are the your understanding of the appropriate role of a public charter school board member? enjoy seeing they all of a local member is to ensure the focus remains your people or educating the youth to their fullest potential.  7. Describe any previous experience you have that is relevant to serving on the charter school's board (e.g., other   |
| board service). If you have not had previous experience of this nature, explain why you have the capability to be an effective board member. I can consistent and rules crented, lecturation of a confidence of the specific knowledge and experience that you would bring to the board. Staff with next and support of the course of the specific knowledge and experience that you would bring to the board. Staff with next and leave of the course of the board. Staff with next and leave of the course of the specific knowledge and experience that you would bring to the board. Staff with next and leave of the board. Staff with next and leave of the course of the board. Staff with next and leave of the board of the board. Staff with next and leave of the board of the board. Staff with next and leave of the board of the board. Staff with next and leave of the board of the board. Staff with next and leave of the board of the board of the board. Staff with next and leave of the board of the |

| School Mission and Program  |
|---|
| 1. What is your understanding of the school's mission and guiding beliefs? The Mission of Ridgeway  |
|   |
| Charter scrool is to provide global perspectives in education so that   |
| ofund another are according to a most a colonial. It accounts a start of the  |
| 2. What is your understanding of the school's proposed educational program? He that   |
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| that stimulates children to learn and think withan the  |
| 3 What do you helieve to be the characteristics of a successful school?   |
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| THE ENGLIGHTS AND THE STOREST OF THE GOLD CONTROL GOOD  |
| are enallensed, and students that are nurtured to achieve thou the level of Students and progress positively to achieve their than the level of Students and progress positively to achieve the   |
| the level of students who progress positively toward with the of  |
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| the mark of a sproperful school and organization one  |
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| the colocials population of tomostic and south and south  |
| mot when the reconsers ordered are showing's hear are sen's   |
| 2. How will you know if the school is successful at the end of the first year of operation?   |
| The school is successful if grade inprovements are made on a  |
| POSITUE OF CECTEEN, IN CHUNCH Forming Foundational framawill.  3. How will you know at the end of five years of the schools is successful?  |
| 3. How will you know at the end of five years of the schools is successful?   |
| Progressive education as growth, and acreased attendence  |
| 4. What specific steps do you think the charter school board will need to take to ensure that the school is   |
| 4. What specific steps do you think the charter school board will need to take to ensure that the school is   |
| successful? The Board has to make sire that all decisions made  |
| are in the Best intenst of the children and that resources are  |
| 5. How would you handle a situation in which you believe one or more members of the school's board were   |
| acting unethically or not in the best interests of the school? The way of the contract of the school?   |
| avens the Board to eradicate the situation. If that is not  |
| sumessful attside guidance in sulction. It that is not  |
| *Please include the following with your Information Formul 1 Be 5011 a ted.   |

• a *one page* resume

• a national criminal background check

<sup>\*</sup>If you responded within the application that disciplinary action has been taken against any past or present professional licenses, provide a detailed response below outlining the disciplinary action taken and the license validity.

| Certification  |                             |
|--|-----------------------------|
| I, Certify to the best of my know  | wledge and ability that the |
| information Lam providing to the North Carolina State Board of Education as a pro- | ospective board member for  |
| Charter School is true and correct in every respect.                               |                             |
| Survey 100cme  | 9/18/17                     |
| Signature  | Date                        |

#### Robert C. Williams II

699 Tryon Place, Gastonia, NC 28054 ♦ 980.722.0326 ♦ robcwill@icloud.com

#### PROFESSIONAL SUMMARY

Experienced Financial Crimes Investigator that's skilled in identifying, analyzing, researching and reporting unusual transactional activity within financial institutions

#### WORK EXPERIENCE

#### Doyle Carden Group 06/2016- Current

AML/BSA Investigations Consultant—Bank Regulators ordered Look Back Project

- Conducting enhanced due diligence on High Net worth Individuals, Investment Advisors and Asset Managers according to AML/BSA guidelines.
- Detected, monitored, analyzed and reported suspicious investment banking activity(ie. exchange of currency, purchase and sale of stock) with
  respect to fraud, money laundering and terrorist financing to ensure State Street Bank & Trust and TIAA are not doing business with
  individuals or entities involved in fraud, money laundering, terrorist financing or with prohibited persons, institutions or countries.

#### Doyle Carden Group 03/2015-04/2016

AML/BSA Investigations Consultant— Discover Financial Services- Consent Order Project— FDIC ordered lookback

Conducted intensive research and analysis for a special project identifying a network of customers operating in thousands of unusual credit card purchases across the US followed by unusual payment activity from various remitting accounts owned by unrelated businesses (ie electronics, restaurants, ecommerce) and individuals. This research identified customers involved in credit card scams, other financial crime activities and led to over 100 written SARs.

#### Doyle Carden Group 09/2014-02/2015

AML/BSA Investigations Consultant—M&T Bank — KYC Remediation Project

• Conducted an enhanced due diligence analysis of customer risk; identifying and verifying all authorized signers, owners/beneficial owners and controllers of accounts doing business with M&T Bank.

#### IPSA 02/2012-08/2014

AML/BSA Investigations Consultant – HSBC – Consent Order Project – OCC ordered lookback review

 Conducted effective analysis of customer risk by evaluating and reviewing transactional activity and customer relationships for financial crime and escalated (ie 314B, SARs RFI's) within the AML framework for further investigation.

#### Insight Global 06/2011-09/2011

Sr. AML Consultant – Ally Bank

- Created process improvements to clients Customer Information Program (CIP) and Enhanced Due Diligence (EDD) policies. Offered KYC program recommendations to the client.
- Identified barriers in current procedures and customer/transaction monitoring tools that may prevent successful customer risk analysis.

#### Robert Half International 08/2010-05/2011

BSA/AML Analyst – PNC Bank – Consent Order Project

- Analyzed wire transfers (both US and Foreign), ACH transactions, check and cash transactions, debit and credit card transactions, mortgage
  accounts and personal loans to uncover structuring, unusual patterning and other potentially suspicious banking activities.
- Identified Senior Citizen Abuse through extensive research and dialog with a PNC Customer Relations Manager. Research identified cash
  withdrawals consistently made just below the Currency Transaction Report (CTR) threshold by the son however not listed as a signer of the
  Customer's account.
- Identified a "smurfing" operation connected with a customer identified as an owner of a strip club. Several cash/check deposits and cash withdrawals "in the form of cashed checks" were conducted in amounts just below reporting guidelines by individuals not related to the customer, the customer's bank account or the business. The research led to a SAR that was used in a subpoena by local law enforcement.

#### Wachovia/First Place 09/2008-02/2010

Financial Investigations Unit – Consent Order- OCC Ordered review

 Participated as a team member responsible for AML review of a number of transactions to identify suspicious activity according to regulatory reporting requirements.

## **EDUCATION**

BS Computer Science/ University of Alaska Fairbanks Graduate

NC Real Estate License

2005 – 2015

NC Insurance License

2008 – 2012

#### Appendix F:

#### **Charter School Board Member Information Form**

Note: To be completed individually by each proposed founding charter school board member. All forms must be signed by hand.

Serving on a public charter school board is a position of public trust and as a board member of a North Carolina public charter school; you are responsible for ensuring the quality of the school's entire program, competent stewardship of public funds, and the school's fulfillment of its public obligations and all terms of its charter.

As part of the application for a new charter school, the State Board of Education requests that each prospective board member respond individually to this questionnaire. Where narrative responses are required, brief responses are sufficient.

The purpose of this questionnaire is twofold: 1) to give application reviewers a clearer introduction to the applicant team behind each school proposal in advance of the applicant interview, in order to be better prepared for the interview; and 2) to encourage board members to reflect individually as well as collectively on their common mission, purposes, and obligations at the earliest stage of school development.

| D۵ | ~l  |       |   | 1 |
|----|-----|-------|---|---|
| Ba | CKS | 2 F U | ш | ш |

| 1. Name of charter school on whose Board of Directors you intend to serve:  |
|---|
| RIDGEVIEW CHARTER & CHOOL   |
| 2. Full name: COLLEEN D. SAMUELS, JD/LMSW   |
| = SOUF GASTONIA, NO   |
| Home Address: 2130 WIN 1000]  |
| Home Address: 2130 WINTERLAKE DRIVE, SAMUELS Business Name and Address: LAW OFFICE OF COLLEGE SAMUELS Felephone No.:  212 - 571 - 5971        |
| Telephone No.: 212 - 571 - 5971   |
| Felephone No.:  212-571-5971  E-mail address:  LAWYGRONE & NYC.TT. COM  |
| 3. Brief educational and employment history.  I HAVE A LAW DEGREE AND A MASTERS DEGREE IN   |
| SOCIAL WORL   |
| 4. Have you previously served on a board of a school district, another charter school, a non-public school or any not-for-profit corporation? |
|   |
|   |

No: Yes 🗔

5. How were you recruited to join this Board of Directors? Why do you wish to serve on the board of the proposed charter school? PRESENTATION ON THE SCHOOL BY THE BOARD CHAIR I WAS EXCITED BY THE PROSPECT OF PARENTS WEING OFFERD AN AKTERNATIVE TO FAILING SCHOOLS AND STUDENTS CETTING A.

6. What is your understanding of the appropriate role of a public charter school board member? TO HELP TO SHAPE THE SCHOOL, SUPPORTING ITS EDUCATORS AND ENSURING THAT EACH CHILD MEETS AND EXCEOS EXPECTATIONS

- 7. Describe any previous experience you have that is relevant to serving on the charter school's board (e.g., other board service). If you have not had previous experience of this nature, explain why you have the capability to be an effective board member. ALTHOUGH I HAVE NOT STRVED ON A SCHOOL BUARD PRIOR TO THIS I AM A PRACTICING ATTORNEY AND SOCIAL WORKER WORKING WITH PARENTS AND CHILDREN WITH EDUCATIONAL DETICIES
- 8. Describe the specific knowledge and experience that you would bring to the board.

I HAVE RAISED TEN CHILDREN WHOSE ABILITES RANGED FROM THOSE NEEDING AN IED TO THOSE WHO WERE GIFTED I DEGINE WHAT I AM TODAY BY STREWLOUS ADVOCACY FOR THEIR DUCKTIONAL

#### **School Mission and Program**

1. What is your understanding of the school's mission and guiding beliefs?

THAT EACH CHILD DESERVES TO BE ABLE TO COMPETE BOUCETTONALLY AT A GLOBAL LEVEL THROUGH MHOROUGH PREPARADON

2. What is your understanding of the school's proposed educational program?

THAT THIS SCHOOL WILL OFFER THROUGH SMALL CLASS SIZE, TECHNOLOGY AND EXCENENT BOUGHTORS THAT OPPORTUNITY

3. What do you believe to be the characteristics of a successful school?

A SUCCESSFUL SCHOOL WILL MEET/SURPASS EDUCATIONAL STANDAMS AT ALL GRADE LEVELS AND HAVE STRONG PARENT SUPPORT

4. How will you know that the school is succeeding (or not) in its mission?

THE STUDENTS PROCRESS WILL BE THE INDICATOR

#### Governance

1. Describe the role that the board will play in the school's operation.

TO DECIDE MATTERS RELEVANT TO THE SCHOOL INCLUDING BUDGET DECISIONS, MARKETING AND ENSURING COMMUNITY SUPPORTAND

- 2. How will you know if the school is successful at the end of the first year of operation? STUDENT ACHIEVEMENT AND TEACHER WORK SATISTACTION SURVEYS.
- 3. How will you know at the end of five years of the schools is successful?

THE BOARD WILL HAVE HAD FIVE YEARS TO REFINE ITS GOVERNANCE AND INCREASED TEST SCARES AND TEACHER STUDENT 4. What specific steps do you think the charter school board will need to take to ensure that the school is

- SUCCESSFUL? FREQUENT MEETINGS TO ASSESS WHETHER RULES AND A WILLINGNESS TO LEARN FROM DITTER SOURCES
- 5. How would you handle a situation in which you believe one or more members of the school's board were acting unethically or not in the best interests of the school? AN INITIAL CONVERSATION

WITH THE PERSON INTOLVED TO QUE HIM/HER THE OPPORTUNITY TO CORRECT THE BEHAVIOR AND THEN INVOLVE OTHER \*Please include the following with your Information Form Bors members

- a one page resume
- a national criminal background check

<sup>\*</sup>If you responded within the application that disciplinary action has been taken against any past or present professional licenses, provide a detailed response below outlining the disciplinary action taken and the license validity.

| Certification   |                       |                                   |               |                  |  |
|---|-----------------------|-----------------------------------|---------------|------------------|--|
| I, COLLEEN D.   | SAMUELS               | , certify to the best of my kno   | owledge and a | ability that the |  |
| information I am providing to the North Carolina State Board of Education as a prospective board member for |                       |                                   |               |                  |  |
| RIDGENEW  | _ Charter School is t | rue and correct in every respect. | . ,           |                  |  |
| Cosse   |                       | laun                              | ·9            | 16/17            |  |
| Signature   |                       |                                   |               | Date             |  |

# Colleen Samuels, JD/LMSW

305 Broadway, Suite 1100 New York, NY 10279 Phone: (212) 571-5971 Lawyerone@nyc.rr.com

#### **EDUCATION**

#### YESHIVA UNIVERSITY, New York, NY

#### BENJAMIN N. CARDOZO SCHOOL OF LAW

Juris Doctor, June 2000 Admitted: New York, 2001

#### WURZWEILER SCHOOL OF SOCIAL WORK

Masters degree in social work, June 2000 Concentration in clinical social work.

#### STATE UNIVERSITY OF NEW YORK AT STONY BROOK, Stony Brook, NY

Bachelor of Arts, *cum laude*, May, 1996 Majors: Social Sciences and Africana Studies

#### **EXPERIENCE**

#### PRIVATE PRACTICE, New York, NY

August 2001-Present

Family law practitioner, both in private practice and as a part of the Assigned Counsel Plan, First Department. Certified Family Mediator, Licensed Master Social Worker, specializing in custodial evaluations, out of state and adoption home studies.

#### COURT APPOINTED SPECIAL ADVOCATES, New York, NY

Legal Intern/Interstate Specialist, Summer 1997-Spring 2000.

Actively advocating for children in foster care and ensuring the receipt of essential services to minimize their stay in the foster care system.

#### CHILDREN'S AID SOCIETY P.I.N.S. DIVERSION PROGRAM, New York, NY

Case Planner, Fall 1997 - Spring 1998

Conducted short-term mental health assessments, and made referrals to long term treatment and recreational programs for at-risk youth between the ages of 9 and 16 years of age.

#### STATE UNIVERSITY OF NEW YORK AT STONY BROOK, Stony Brook, NY

Bus Operator, 1995 - 1997

Developed and modified bus schedules and routes within the state budget, developed and implemented service for passengers with special needs, operated buses in passenger service and provided general customer service.

#### NEW YORK CITY TRANSIT AUTHORITY, Brooklyn, NY

Bus Operator, 1987-1995

Operated buses in passenger service.

#### HARD COPI REPRODUCTIONS INC., New York, NY

Bindery Supervisor, 1980-1987

Supervised a staff of seven, handled all shipping and receiving for the company, operated printing and binding equipment, and performed various managerial duties with extensive customer contact.

# Appendix F:

# Charter School Board Member Information Form

Note: To be completed individually by each proposed founding charter school board member. All forms must be signed by hand.

Serving on a public charter school board is a position of public trust and as a board member of a North Carolina public charter school; you are responsible for ensuring the quality of the school's entire program, competent stewardship of public funds, and the school's fulfillment of its public obligations and all terms of its charter.

As part of the application for a new charter school, the State Board of Education requests that each prospective board member respond individually to this questionnaire. Where narrative responses are required, brief responses are sufficient.

The purpose of this questionnaire is twofold: 1) to give application reviewers a clearer introduction to the applicant team behind each school proposal in advance of the applicant interview, in order to be better prepared for the interview; and 2) to encourage board members to reflect individually as well as collectively on their common mission, purposes, and obligations at the earliest stage of school development.

| 1. Name of charter school on whose Board of Directors you intend to serve:    Robert C Williams II   Robert C Williams II                                  |
|--|
| Home Address: 699 Tryon Pl Business Name and Address: TIT Compliance LLC 699 Tryon Pl Telephone No.: 704-747-3336 E-mail address: 1060 will e 10 lovd. com |
| 3. Brief educational and employment history. BS Degree in Competition Science Univ of Aluska + airbanks 1996   |
| 4. Have you previously served on a board of a school district, another charter school, a non-public school or any  |

(No: Yes:

not-for-profit corporation?

- 5. How were you recruited to join this Board of Directors? Why do you wish to serve on the board of the proposed charter school? Invitation and Meeting with Reshould Williams
- 6. What is your understanding of the appropriate role of a public charter school board member? frovide 1/5 ion for the 60hool, hold leaders accoutable and provide financial oversight
- 7. Describe any previous experience you have that is relevant to serving on the charter school's board (e.g., other board service). If you have not had previous experience of this nature, explain why you have the capability to

| Ridgeview Charter Sch   |
|---|
| be an effective board member. SENVER as a youth leader of 100 kils of TABLENATURE BASES Church for 3 VAS.   |
| 8. Describe the specific knowledge and experience that you would bring to the board.  |
| with at risk youth experience being a prent advocate at Longerfelt Elections. School Mission and Program  |
| 1. What is your understanding of the school's mission and guiding beliefs? provide studied with a global curricula to expand their minks and prepare them for their next level of education.  2. What is your understanding of the school's proposed educational program? The program will help students reach their full pstential and help maximize their cirtical thinking.  3. What do you believe to be the characteristics of a successful school?  Focus on academic growth in all levels.  Focus on fair disciplina, focus on pack involvement. And the will you know that the school is succeeding (or not) in its mission?  According to growth as a whole and individedly in academic and community involvement, conduct annual evaluations.  Governance |
| 1. Describe the role that the board will play in the school's operation. Engure the school provides  gravity educated handles postic flowled a personally and explanted as  a positive in there in the common by Duide on the operation of  2. How will you know if the school is successful at the end of the first year of operation? The school  The schools success will be determined by test scores student  moral and the Dudget  3. How will you know at the end of five years of the schools is successful? If the school  is success in tive years, all grade levels and be in  ful aperation.  |
| 4. What specific steps do you think the charter school board will need to take to ensure that the school is successful? To exerte and adhere to effective and afformable goals and goide lines.   |
| 5. How would you handle a situation in which you believe one or more members of the school's board were acting unethically or not in the best interests of the school?  Approach the board member in a plotus DATIL manner, try resolving the matter according to NC LAWS.  |
| *Please include the following with your Information Form  • a <u>one page</u> resume  • a national criminal background check  |
| traffic violation, no point due to faulty equipment   |
| *If you responded within the application that disciplinary action has been taken against any past or present professional licenses, provide a detailed response below outlining the disciplinary action taken and the license validity.   |

| Certification / //  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| I, Robert C Williams II   | , certify to the best of my knowledge and ability that the |  |  |  |  |  |
| information I am providing to the North Carolina State Board of Education as a prospective board member for |  |  |  |  |  |  |
|   | rue and correct in every respect.                          |  |  |  |  |  |

Signature Lold C Williams

Date 9/17/17

#### **Appendix F:**

#### **Charter School Board Member Information Form**

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Serving on a public charter school board is a position of public trust and as a board member of a North Carolina public charter school; you are responsible for ensuring the quality of the school's entire program, competent stewardship of public funds, and the school's fulfillment of its public obligations and all terms of its charter.

As part of the application for a new charter school, the State Board of Education requests that each prospective board member respond individually to this questionnaire. Where narrative responses are required, brief responses are sufficient.

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#### **Background**

- 1. Name of charter school on whose Board of Directors you intend to serve: Ridgeview Charter School
- 2. Full name: Reshall Lavonne Williams

Home Address: 15207 Gathering Ct. Business Name and Address: N/A Telephone No.: 980-208-7782

E-mail address: wreshall48@gmail.com

- 3. Brief educational and employment history.
  - I am a graduate of the University of Michigan, where I received my B.A in Psychology and Western Michigan University where I received my MSW with a concentration in Administration. Since that time I have taken post graduate courses in Principal Leadership and Charter School Leadership from Grand Valley State University and a variety of workshops and trainings. I have spent the last 25 years of my professional career in education and as a result have serviced students from Kindergarten through college. I started in higher education as an Assistant Director of Student Services and an adjunct professor in the Freshman Studies program. I left higher education to begin my career in charter school administration and have been working in school reform since 2001.

| 4. Have you previously served on a board of a school district, another charter school, a non-public school of not-for-profit corporation? |       |      |  |  |
|---|-------|------|--|--|
|   | No: x | Yes: |  |  |

5. How were you recruited to join this Board of Directors? Why do you wish to serve on the board of the proposed charter school?

I am the founder of Ridgeview Charter School. I wish to continue in my service in the area of school reform in North Carolina and bring to Gastonia the best practices I have acquired in my work and travels. I have a passion for giving back to my community, particularly to those who have been denied opportunities that

others can easily afford. Providing students with an effective learning environment where they can safely love the learning process and have new experiences fulfills me intrinsically, and gives me a purpose in life.

- 6. What is your understanding of the appropriate role of a public charter school board member? I understand that as a board member it is my duty to ensure Ridgeview is governed and provided with all the necessary resources to educate students beyond the average level. We must stay in touch with all investors and be actively involved in keeping the school relevant and successful in fulfilling the mission that has been established.
- 7. Describe any previous experience you have that is relevant to serving on the charter school's board (e.g., other board service). If you have not had previous experience of this nature, explain why you have the capability to be an effective board member.
  - While I have not served as a board member before I have been a Principal and consultant for charter schools and maintained a positive relationship with my board members. I know what is expected of the school leader not regarding teachers and students but to the board as well, particularly in the area of reports and updates. I understand the difference between governing a school and running it on a daily basis and will have no trouble with respecting those boundaries while keeping a healthy level of expectation for the overall school's performance and identifying the role of all staff members in that success.
- 8. Describe the specific knowledge and experience that you would bring to the board. As I stated I have been involved in K-12 school reform for 15 years. My experience includes successfully leading charter schools and visiting successful charter schools around the country and internationally. I have a particular expertise in group dynamics and identifying the needs of a particular group from the board room, to the organizational level and this has proven useful in strategic planning, process improvement and policy development.

#### **School Mission and Program**

- 1. What is your understanding of the school's mission and guiding beliefs? RCS is committed to bring a global perspective to the people in Gastonia. We believe that by bringing this missing piece in education we will open the minds of children in a way that is needed in the community. We also feel that the synergy that comes from our program will develop students as thinkers who will go beyond simply passing state tests, they will be prepared for college and contribute to society at large.
- 2. What is your understanding of the school's proposed educational program?

  My understanding of the curriculum is that it will help all students succeed. It will grow those who struggle and challenge those who are advanced. The international base to the curriculum will give our students opportunities to communicate with students from other countries and participate in college bound activities and electives. Students will be educated academically with material that is respected world wide and experience a social education that will allow them to thrive in diverse settings.
- 3. What do you believe to be the characteristics of a successful school?

  A successful school will have measureable evidence that it has met the goals established each year and has satisfied or exceeded the state's growth and performance expectations. Also, healthy enrollment numbers that turn into waiting lists in the first 2-3 years is a strong indication that a positive image of the school has spread by word of mouth and the demand for it is strong.
- 4. How will you know that the school is succeeding (or not) in its mission?

Ridgeview Charter School Appendix F90

I will know RCS is succeeding in its mission by the culture of the school. I expect to see an international theme throughout the building, I expect to hear foreign languages, I expect students and teachers to begin planning for international travel. Academically I expect to see students performing at the highest state levels, taking honors courses, college prep electives and enrolling in AP/Honors courses when they graduate middle school. I also expect high schools to actively recruit our scholars, particularly private schools.

#### Governance

- Describe the role that the board will play in the school's operation.
   The board will serve as the governing body for RCS. The board will secure a safe facility and ensure that all resources are available to staff and students on the first day of school each year. The board will monitor and meet monthly to discuss the four goals identified in the charter: finances, academics, governance and operations. The board will not actively supervise the daily operations but will develop and approve of the policies and procedures that govern operations.
- How will you know if the school is successful at the end of the first year of operation?
   RCS's first year success will be determined by meeting the goals established in the charter and by reenrollment and wait list numbers for the second year.
- 3. How will you know at the end of five years of the schools is successful? By the end of five years RCS will show its success by meeting the goals established in the charter and the annual strategic plans developed each year. RCS will have established effective programs in the area of international communication where students and staff have developed relationships with peers from various countries. RCS will have established college prep programs to include targeted electives, college tours and middle school bridge programs. RCS will have established a reputation for excellence and earned the respect of the community and the NCDPI.
- 4. What specific steps do you think the charter school board will need to take to ensure that the school is successful? The school board must actively participate in the school by attending events, volunteering and randomly visiting classrooms. The board must meet monthly and discuss all aspects of the school and require data to demonstrate success toward its goals. The members must be prepared for meetings and solution oriented in their approach. Constant improvement, organizational analysis through SWOT analysis, surveys and performance data must drive all efforts and the board members must carry out the duties to secure the necessary resources to provide solutions for RCS in a S.M.A.R.T. way.
- 5. How would you handle a situation in which you believe one or more members of the school's board were acting unethically or not in the best interests of the school?

  If I questioned a member's motivation I would first approach that member with my concern, to clear any miscommunication or understanding. If the member continued to behave in a way that concerned me I would approach the Board Chair with my concern with the expectation the concern is addressed by the Chair one on one. If there was so visible resolution I would request that the concern be put on the agenda for the next meeting or an emergency meeting

\*Please include the following with your Information Form

- a *one page* resume
- a national criminal background check

Ridgeview Charter School

| *If you responded within the application that disciplinary action has professional licenses, provide a detailed response below outlining th validity.  N/A   |      |
|--|------|
| Certification  I, _Reshall L. Williams, certify to the best of my knowledge and a the North Carolina State Board of Education as a prospective board charter School is true and correct in every respect.  Reshall L. Williams | •    |
| Signature  | Date |



# DAISY MERRINTHA SEIGLE HENRY, D.Th., C.Inst.T.

168 Lewis Curry Road, Kings Mountain, NC 28086 (Revised 09/05/2017) 704-739-1727 and 704-300-0050 (cell)

# **EDUCATIONAL BACKGROUND:**

- A.A.S. honor graduate (Business Administration & Management) Gaston College, NC, 1987
- A.A. (Religion) Agape' Bible College and Seminary, Gastonia, NC, 2004
- B.S. (Religious Education) Agape Bible College and Seminary, Gastonia, NC, 2006
- M.Div. Agape Bible College and Seminary, Gastonia, NC, 2008
- D.TH. Agape Bible College and Seminary, Gastonia, NC, 2010
- Hood Theological Seminary (Attended) Salisbury, NC, 1997
- Clergy of The Institute of Theologians, Dallas, NC, 2013-Present
- Ministerial Ordination, International Congress of Churches and Ministers (ICCM), 2014-Present
- Ministerial Ordination, First Antioch CME Church, 2001-Present
- American Association of Registered Chaplains Certification, October 13, 2015-2016

# PROFESSIONAL BACKGROUND:

- Senior Servant Pastor, God's Way Ministry Nondenominational Church (2004-Present)
- Director (3121), International Congress of Churches and Ministers-ICCM (2014-Present)
- Legal/Liability Technician, Retired CaroMont Regional Hospital, Gastonia, NC (2000-2012) Unit Secretary, Gaston Memorial Hospital, Gastonia, NC (1968-1974)
- Internal Auditor Fiscal Affairs, Livingstone College, Salisbury, NC (1996-1999)
- Interim Chief Financial Officer, Livingstone College, Salisbury, NC (1998/6 mos.)
- Director, Finance and Operations; Accounting; Student Financial Aid & Veteran's Affairs (1974-1996)
- Associate Ministry, Friendship Baptist Church, Gastonia, NC (1999-2000)
- Associate Pastor, First Antioch CME Church, Gastonia, NC (10/2000-2004)
- Graduate Assistant to Faculty, Agape Bible College and Seminary (2009-2010)
- Faculty, Grace Providence Christian College & Seminary, Gastonia, NC/Mount Holly, NC (2010 2016)
- Teacher Assistant, Gaston County School System (1996-2000)
- Training Consultant, US Department of Education/Student Financial Aid Administers, Washington, DC 1992-1994
- Points of Light Tutorial Program Pre-K- High School and Adults, God's Way Ministry Nondenominational Church, Inc., Gastonia, NC (8/2012-Present)
- Board of Directors (Finance), Gaston Community Action, Gastonia, NC (6/2011-7/2012)
- GC3 Gaston Clergy and Citizens Coalition-Gaston Together, Gastonia, NC (2014-Present)
- ICCM Warrior Bride International "PRAYER GROUP MINISTER" (May 2015-Present).

#### Appendix F:

#### **Charter School Board Member Information Form**

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The purpose of this questionnaire is twofold: 1) to give application reviewers a clearer introduction to the applicant team behind each school proposal in advance of the applicant interview, in order to be better prepared for the interview; and 2) to encourage board members to reflect individually as well as collectively on their common mission, purposes, and obligations at the earliest stage of school development.

- 1. Name of charter school on whose Board of Directors you intend to serve: Ridgeview Charter School
- 2. Full name: Rev. Dr. Daisy Seigle Henry

Home Address: P. O. Box 2155, 168 Lewis Curry Road, Kings Mountain, NC 28086 Business Name and Address: God's Way Ministry Non-Denominational Church, Inc., Physical Address: 1001 West Franklin Boulevard, Gastonia, NC 28052

Telephone No.:

704-739-1727 or 704-300-0050

E-mail address: daisy henry@bellsouth.net

- 3. Brief educational and employment history. God's Way Ministry Non-Denominational Church, Inc. Senior Servant Pastor2004-Present; Retired CaroMont Regional Hospital 2012 18yrs service; Finance and Operations Gaston College1974-1996 22 yrs. Service; Professor/Faculty Grace Providence Christian College and Seminary 2010-2016; Training Consultant, US Dept. of Education/Student Financial Aid Administers, Washington, DC 1992-1994; Graduated Gaston College Business Administration and Management 1987; Graduated Agape Bible College and Seminary Gastonia, NC Doctorate of Theology 2010; Graduated Frank L. Ashley High School 1967; Ministerial Ordination ICCM 2014-Present; American Association of Registered Chaplains Certification 2015-Present (Renewed 09/05/2017); Ministerial Ordination First Antioch CME Church 2001-Present.
- Have you previously served on a board of a school district, another charter school, a non-public school or any not-for-profit corporation?
   No: Yes: X
- 5. How were you recruited to join this Board of Directors? Why do you wish to serve on the board of the proposed charter school? My personnel inquiry after hearing a presentation. My love for expounding avenues of education to all humanity as well as my many years in the area of higher education would be a great addition.

Ridgeview Charter School

- 6. What is your understanding of the appropriate role of a public charter school board member?

  To support and contribute in implementation and focus on the achievement of Ridgeview Charter School mission and goals. Offering team support based on my educations, employment experiences and skills that will help guide the successful start-up and ongoing success of RCS in future growth and years.
- 7. Describe any previous experience you have that is relevant to serving on the charter school's board (e.g., other board service). If you have not had previous experience of this nature, explain why you have the capability to be an effective board member. My longevity of being responsible for completing successful federal, state and local governmental audits. Understanding many years of fiscal operations and responsibilities. Ability to understand and meet the obligations of due diligence. Accomplish successful planning, implementation of change and meeting deadlines (Get the job done!).
- 8. Describe the specific knowledge and experience that you would bring to the board.

  The importance for successful educational and fiscal accountability. Knowledge of performing "departmental self-studies" and final recipients of accreditation passage.

#### **School Mission and Program**

- What is your understanding of the school's mission and guiding beliefs?
   It is the purpose by which the school exist to operate, set realistic goals and objectives for their students, faculty, staff and administration fostering highly competitive students for our community, the world and cultural diversities.
- 2. What is your understanding of the school's proposed educational program?

  RCS education plan will be the blending of Common Core State and Essential Standards with the International Curriculum Framework. It is that RCS will fill the global education niche for the county that is nonexistent to meet the needs from Kindergarten through Middle School.
- 3. What do you believe to be the characteristics of a successful school? Identification of each child's educational, psycho-social developmental need. Foster with care, sensitive and responsive and highly structured realistic educational curriculums with achievable goals within a set realistically measureable timeframe.
- 4. How will you know that the school is succeeding (or not) in its mission? Institute a first year team to set measureable guidelines that must be completed by assessments driven solely by the written mission of RCS.

#### Governance

- 1. Describe the role that the board will play in the school's operation. Supporter and Advisor for success
- 2. How will you know if the school is successful at the end of the first year of operation? Completion and review of set measureable guidelines that must be completed by assessment driven solely by the written operational objectives and goals.
- 3. How will you know at the end of five years of the schools is successful?

  Through student/parent prescribed growth through choices in the types of educational opportunities that are available within the public school system, creation of new professional opportunities for teachers including the opportunities to be responsible for the learning program at the school site,

Ridgeview Charter School Appendix F100

holding schools accountable for meeting measurable student achievement results, Improving student learning, and increasing learning opportunities for all students, with a special emphasis on at-risk or gifted students.

4. What specific steps do you think the charter school board will need to take to ensure that the school is successful?

Commitment to promote RCS's mission, participation in community, local and state educational involvement programs, called meetings and quarterly review of the financial stability of RCS, revisit the feasibility goals, and continued visible support and advisory participation as needed.

5. How would you handle a situation in which you believe one or more members of the school's board were acting unethically or not in the best interests of the school? Request a called RCS school board meeting with the school attorney and principal to address the alleged unethically situation as soon as possible. Present facts and/or fictions to address school board's due diligence.

\*Please include the following with your Information Form

- a *one page* resume
- a national criminal background check

\*If you responded within the application that disciplinary action has been taken against any past or present professional licenses, provide a detailed response below outlining the disciplinary action taken and the license validity.

NA

#### Certification

I, Daisy Seigle Henry, certify to the best of my knowledge and ability that the information I am providing to the North Carolina State Board of Education as a prospective board member for Ridgeview Charter School is true and correct in every respect.

Signature

Date

# BYLAWS OF RIDGEVIEW CHARTER SCHOOL

#### ARTICLE I

#### Offices

- 1.1 *Principal Office*. The principal office of the Corporation shall be located at 15207 Gathering Court, Charlotte, North Carolina 28278 or at such other location designated by the Board of Directors.
- 1.2 Registered Office. The registered office of the Corporation required by law to be maintained in the State of North Carolina may be, but need not be, identical with the principal office.
- 1.3 Other Offices. The Corporation may have offices at such other places, either within or without the State of North Carolina, as the Board of Directors may designate or as the affairs of the Corporation may require from time to time.

#### ARTICLE II

#### **Board of Directors**

- 2.1 *General Powers*. The business and affairs of the Corporation shall be managed by its Board of Directors. Except as otherwise expressly provided by law, the Articles of Incorporation, or these Bylaws, all of the power of the Corporation shall be vested in the Board of Directors.
- 2.2 Number, Term, and Qualifications. The number of directors constituting the Board of Directors shall be an odd number but not less than three (3) nor more than eleven (11). A simple majority of the initial directors shall serve a two year term and the remainder of the initial directors shall serve a one year term. The determination of which directors shall serve a two year term and which directors shall serve a one year term shall be made after the initial Board of Directors is seated by the Chair. If additional directors are added after the initial Board of Directors is seated, additions will be made in such a manner as to maintain an odd number of directors and to maintain an election cycle in which a simple majority of the Board of Directors is replaced in odd years and the remainder of the directors are replaced in even years. After each director has served an initial term, all terms for that seat on the Board shall be a two year term. Each director shall hold office until such director's death, resignation, retirement, removal, disqualification, or such director's successor shall have been elected and qualified. Directors need not be residents of the State of North Carolina. At least one director shall be the parent of a student attending Ridgeview Charter School, Inc.
- 2.3 *Election of Directors*. Directors shall be elected at any annual or special meeting of the Board of Directors by a vote of a majority of the directors then in office. The election of directors shall be a part of the order of business at each annual meeting of the Board of Directors.
- 2.4 *Removal*. Directors may be removed from office at any time with or without cause by the directors by such vote as would be required to elect a member of the Board of Directors.
- 2.5 *Vacancies*. Any vacancy occurring in the Board of Directors may be filled by the affirmative vote of a majority of the remaining directors even though less than a quorum, or by the sole remaining director. A director elected to fill a vacancy shall be elected for the unexpired term of the respective predecessor in office.

- 2.6 Chair. There shall be a Chair and Vice-Chair of the Board of Directors elected by the directors from their number at any meeting of the Board of Directors who shall act as the chair and vice-chair, respectively, of the Board of Directors. The Chair, or in his or her absence the Vice-Chair, shall preside at all meetings of the Board of Directors, and each shall perform such other duties as may be directed by the Board of Directors. The Chair and Vice-Chair shall be officers of the Corporation. In the event that neither the Chair nor Vice-Chair are present at a meeting, the Board may elect from its number a person to serve as the presiding officer for that meeting.
- 2.7 Compensation. No director shall receive compensation for services as a director of the Corporation. The Board of Directors may provide for the payment or reimbursement of any or all expenses incurred by directors in attending regular and special meetings of the Board of Directors and for expenses related to other business of the Corporation. Nothing herein shall preclude directors from serving the Corporation in other capacities and receiving compensation for such other services provided the arrangement is disclosed to and approved by the Board of Directors.

#### ARTICLE III

#### Meetings of Directors

- 3.1 Annual Meetings. The annual meeting of the Board of Directors shall be held at 7:00 P.M. on the first Tuesday of September of each year for the purpose of electing directors and officers of the Corporation and for the transaction of any other business properly before the Board of Directors. If the day fixed for the annual meeting shall be a legal holiday, the meeting shall be held on the next succeeding business day that is not a legal holiday. If the annual meeting shall not be held on the day designated by these Bylaws, a substitute annual meeting may be called by or at the request of the Board of Directors and such meeting shall be designated and treated for all purposes as the annual meeting.
- 3.2 Special Meetings. Special meetings of the Board of Directors may be called by or at the request of the Chair or by any two directors then duly seated and serving, and shall be held at such times and places, within or without the State of North Carolina as may be specified in such call.
- 3.3 *Place of Meetings*. The annual or any special meeting of the Board of Directors may be held at the principal office of the Corporation or at such other place, either within or without the State of North Carolina, as shall be designated in the notice of the meeting or in a waiver of notice of the meeting signed by all the directors then in office.
- 3.4 *Notice of Meetings*. The Secretary shall give notice of each annual meeting of the Board of Directors by mailing such notice to each director at least ten (10) days before the meeting. Such written notice may be given by electronic communication if the Board of Director member being given such notice has provided electronic contact information to the Secretary provided, however, that such electronic notice must be accompanied by a telephone call on the same day such electronic notice is given. The Chair or other persons calling a special meeting of the Board of Directors shall give notice thereof (or cause the Secretary to give notice) by providing such notice by written or electronic means to each director at least three days before the meeting.

Unless otherwise indicated in the notice thereof, any and all business may be transacted at a meeting of the Board of Directors, except as otherwise provided by law or these Bylaws.

- 3.5 Waiver of Notice. Any director may waive notice of any meeting, either before or after the meeting. Written waivers of notice shall be filed by the Secretary with the corporate records or as part of the minutes of the meeting. The attendance by a director at a meeting shall constitute a waiver of notice of such meeting, except where a director attends a meeting for the express purpose of objecting to the transaction of any business because the meeting is not lawfully called or convened.
- 3.6. *Methods of Notification*. Written notice of the time and place of meetings may be delivered by mail, facsimile, or electronic means at the address, facsimile number, or e-mail address furnished by the director. Notice by mail is deemed to be delivered when deposited in the United States mail in a sealed addressed envelope, with postage prepaid.
- 3.7 *Open Meetings; Public Records.* This Corporation shall comply to the full extent required by the North Carolina Open Meeting and Public Record Laws set forth in Article 33C of Chapter 143 of the North Carolina General Statutes and Chapter 132 of the North Carolina General Statutes.
- 3.8 *Quorum*. A majority of the number of directors fixed by these Bylaws shall constitute a quorum for the transaction of business at any meeting of the Board of Directors.
- 3.9 *Manner of Acting*. Except as otherwise provided in these Bylaws, the act of the majority of the directors present at a meeting at which a quorum is present shall be the act of the Board of Directors.
- 3.10 *Presumption of Assent*. A director of the Corporation who is present at a meeting of the Board of Directors at which action on any corporate matter is taken shall be presumed to have assented to the action taken unless said director objects at the beginning of the meeting, or promptly upon his/her arrival, to holding it or transacting business at the meeting, or his/her dissent or abstention from the action is otherwise entered in the minutes of the meeting, or unless said director either files his/her written dissent to such action with the person acting as the secretary of the meeting before the adjournment thereof or forwards his/her written dissent by registered mail to the Secretary of the Corporation immediately after the adjournment of the meeting. The right to dissent is not available to a director who voted in favor of such action.
- 3.11 *Informal Action by Directors.* Action taken by a majority of the directors without a meeting is nevertheless action of the Board of Directors if written consent to the action in question is signed by all of the directors and filed with the minutes of the proceedings of the Board of Directors, whether done before or after the action so taken.
- 3.12 *Means of Participation*. Any one or more, or all of the members of the Board, may conduct or participate in a meeting of the Board of Directors by any means of communication by which all members of the Board participating may simultaneously hear each other during the meeting. Participation by these means shall be deemed presence in person at the meeting.

#### ARTICLE IV

## Officers 3

- 4.1 Officers of the Corporation. The officers of the Corporation shall consist of a Chair, Vice-Chair, President, a Secretary, and a Treasurer. Other officers, including one or more Vice Presidents (whose seniority and titles, including Executive Vice Presidents and Senior VicePresidents, may be specified by the Board of Directors), Assistant Secretaries, and Assistant Treasurers, may from time to time be elected by the Board of Directors. Any two or more offices, except Chair, President and Secretary, may be held by the same person. No officer may act in more than one capacity where the actions of two or more officers are required. Board members may serve as officers, but officers, other than the Chair and Vice-Chair, are not required to be Board members.
- 4.2 *Election and Term*. The officers of the Corporation shall be elected by the Board of Directors, and each officer shall hold office until his death, resignation, retirement, removal, or disqualification or until his successor shall have been elected and qualified.
- 4.3 *Removal*. Any officer or agent elected or appointed by the Board of Directors may be removed by the Board of Directors whenever in its judgment the best interests of the Corporation will be served thereby.
- 4.4 *Vacancies*. A vacancy in any office because of death, resignation, removal, disqualification or otherwise, may be filled by the Board of Directors, by a majority vote for the unexpired portion of the term.
- 4.5 *Bonds*. The Board of Directors may by resolution require any officer, agent, or employee of the Corporation to give bond to the Corporation, with sufficient sureties, conditioned on the faithful performance of the duties of his respective office or position, and to comply with such other conditions as may from time to time be required by the Board of Directors.
- 4.6 *Chair; Vice-Chair.* The Chair shall preside at all meetings of the Board of Directors. The Vice Chair shall discharge the duties of the Chair in the event of the Chair's absence or disability for any cause whatsoever.
- 4.7 *President*. The President shall be the chief executive officer of the Corporation and shall be primarily responsible for the implementation of policies of the Board of Directors. The President shall have authority over the general management of the Corporation in accordance with these Bylaws, subject only to the ultimate authority of the Board of Directors. The President may sign and execute instruments in the name of the Corporation except in cases where the signing and the execution thereof shall be expressly delegated by the Board of Directors or by these Bylaws to some other officer or agent of the Corporation or shall be required by law otherwise to be signed or executed. In addition, the President shall perform all duties incident to the office of the President and such other duties as from time to time may be assigned to him by the Board of Directors.
- 4.8 *Vice-Presidents*. Each Vice-President, if any, shall have such powers and duties as may from time to time be assigned to him by the Board of Directors. Any Vice-President may sign and

execute in the name of the Corporation instruments authorized by the Board of Directors, except where the signing and execution of such documents shall be expressly delegated by the Board of Directors or President to some other officer or agent of the Corporation or shall be required by law otherwise to be signed or executed.

4

- 4.9 Secretary. The Secretary shall keep the minutes of the meetings of the Board of Directors. The Secretary shall keep all minutes of all such meetings in books designated for those purposes. The Secretary shall see that all notices are duly given in accordance with the provisions of these Bylaws or as required by law. The Secretary shall have charge of the books, records, and papers of the Corporation. The Secretary shall have custody of the seal of the Corporation and see that the seal of the Corporation is affixed to all documents the execution of which on behalf of the Corporation under its seal is duly authorized, and shall sign such instruments as may require the Secretary's signature. The Secretary shall in general perform all duties incident to the office of Secretary and such other duties as from time to time may be assigned to him or her by the President, by the Board of Directors, or by these Bylaws.
- 4.10 Assistant Secretaries. In the absence of the Secretary or in the event of the Secretary's death, inability, or refusal to act, any Assistant Secretary designated by the Chair, unless otherwise determined by the Board of Directors, shall perform the duties of the Secretary and when so acting shall have all the powers of and be subject to all the restrictions upon the Secretary. The Assistant Secretaries shall perform such other duties as may be assigned to them by the Secretary, by the President, or by the Board of Directors. Any Assistant Secretary may sign, with the President or a Vice-President, documents authorized to be signed by the Secretary.
- 4.11 *Treasurer*. The Treasurer shall have charge of and be responsible for all funds and securities, receipts, and disbursements of the Corporation, and shall deposit all monies and securities of the Corporation in such banks and depositories as shall be designated by the Board of Directors, provided that the Board of Directors may appoint a custodian or depository for any such funds or securities, and the Board of Directors may designate those persons upon whose signature or authority such funds may be disbursed. The Treasurer shall be responsible (i) for maintaining adequate financial accounts and records in accordance with generally accepted accounting practices; (ii) for the preparation of appropriate operating budgets and financial statements; and (iii) for the preparation and filing of all tax returns required by law.
- 4.12 Assistant Treasurers. In the absence of the Treasurer or in the event of his death, inability, or refusal to act, any Assistant Treasurer designated by the President, unless otherwise determined by the Board of Directors, shall perform the duties of the Treasurer, and when so acting shall have all the powers of and be subject to all the restrictions upon the Treasurer. They shall perform such other duties as may be assigned to them by the Treasurer, by the President, or by the Board of Directors.
- 4.13 *Validity of Signatures*. In case any person whose signature shall appear on any bond, note, or other evidence of indebtedness of the Corporation shall cease to be an officer or hold an office different from that held at the time of signature before the delivery of such instrument, such

signature shall nevertheless be valid and sufficient for all purposes the same as if he had remained in such office until such delivery.

4.14 *Compensation*. No director or officer shall receive compensation for serving as an officer or director.

5

#### ARTICLE V

#### Committees

The Board of Directors shall establish, from time to time and at its discretion interim, temporary and/or permanent committees to assist in carrying out the business and affairs of the Corporation. The Chair shall establish the membership of each committee in lieu of the Board taking such action by majority vote.

#### ARTICLE VI

## Conflict of Interest

A Director having a conflict of interest or a conflict of responsibility on any matter involving the Corporation and any other business or person shall refrain from voting on such matter. No director or officer shall use his or her position as a director or officer of the Corporation for his or her own direct or indirect financial gain. In addition to the foregoing, the Corporation shall be governed by the Conflict of Interest Policy substantially in the form of <u>Rider 1</u>, attached hereto and which terms are hereby incorporated by reference as if fully set forth herein.

#### ARTICLE VII

#### Contracts, Loans, Checks, and Deposits

- 7.1 *Contracts*. The Board of Directors may authorize any officer or officers, agent or agents, or employee or employees of the Corporation to enter into any contract or execute and deliver any instrument in the name of and on behalf of the Corporation, and such authority may be general or confined to specific instances.
- 7.2 *Loans*. No loans shall be contracted on behalf of the Corporation, and no evidence of indebtedness shall be issued in its name unless authorized by a resolution of the Board of Directors. Such authority may be general or confined to specific instances.
- 7.3 *Checks and Drafts*. All checks, drafts, or other orders for the payment of money, issued in the name of the Corporation, shall be signed by such officer or officers, agent or agents, or employee or employees of the Corporation and in such manner, including facsimile signatures, as shall from time to time be determined by resolution of the Board of Directors.

7.4 *Deposits*. All funds of the Corporation not otherwise employed shall be deposited from time to time to the credit of the Corporation in such depositories as the Board of Directors may select.

#### ARTICLE VII

#### Investment

8.1 *Commitment*. All Board members presently serving shall demonstrate commitment to the mission of the Corporation every calendar year in at least one of the following capacities: (i) Each Board member will serve on a minimum of two committees and attend a majority of the public functions held by the Corporation; (ii) Each Board member will have at least one child or

6

dependent enrolled at the Corporation; or (iii) Each Board member will commit to and perform an annual contribution of time, talent and treasure. This commitment entails providing a minimum of ten (10) hours or more of professional or personal expertise to the Corporation in areas such as law, accounting, architecture, surveying, curriculum development, college admissions preparation, marketing, medicine or other areas of expertise as deemed necessary by the Board of Directors for the growth and development of the Corporation.

#### ARTICLE VIII

#### General Provisions

- 9.11 *Seal*. The corporate seal of the Corporation shall contain the name of the Corporation and shall be in such form as approved by the Board of Directors.
- 9.2 Waiver of Notice. Whenever any notice is required to be given to any director by law, by the Articles of Incorporation, or by these Bylaws, a waiver thereof in writing signed by the director or directors entitled to such notice, whether before or after the time stated therein, shall be equivalent to the giving of such notice.
- 9.3 *Indemnification*. The Corporation shall indemnify its officers and directors to the maximum extent required or permitted by Part 5 of Article 8 of Chapter 55A of the General Statutes of North Carolina as from time to time amended, and such officers and directors shall be deemed to have relied upon this Part.
- 9.4 *Fiscal Year*. The fiscal year of the Corporation shall be as fixed by the Board of Directors.
- 9.5 Amendment of Bylaws. Except as otherwise provided by law, by the Articles of Incorporation or herein, these Bylaws may be amended or repealed and new Bylaws may be adopted by the affirmative vote of a majority of the directors then holding office at any annual or special meeting of the Board of Directors; provided, however, the substance of the proposed amendment has been circulated in print or by electronic means to each director at least ten (10) days before the meeting at which action is proposed or shall have been waived as provided in these Bylaws.

- 9.6 Dissolution. Upon dissolution of the Corporation, all of the Corporation's assets remaining after payment of all costs and expenses of such dissolution shall be distributed as set out in the Corporation's Articles of Incorporation
- 9.7 *Purpose*. The Corporation is organized exclusively for educational and charitable purposes.

#### ARTICLE IX

#### Adoption

These Bylaws become effective immediately upon approval by the original Board of Directors.

# 1

#### Rider 1

# RIDGEVIEW CHARTER SCHOOL CONFLICT OF INTEREST POLICY

## **Article I Purpose**

The purpose of the conflict of interest policy is to protect this tax-exempt organization's (Organization) interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an officer or director of the Organization or might result in a possible excess benefit transaction. This policy is intended to supplement but not replace any applicable state and federal laws governing conflict of interest applicable to nonprofit and charitable organizations.

#### **Article II Definitions**

#### 1. Interested Person

Any director, principal officer, or member of a committee with governing board delegated powers, who has a direct or indirect financial interest, as defined below, is an interested person.

#### 2. Financial Interest

A person has a financial interest if the person has, directly or indirectly, through business, investment, or family:

a. An ownership or investment interest in any entity with which the Organization has a transaction or arrangement,

- b. A compensation arrangement with the Organization or with any entity or individual with which the Organization has a transaction or arrangement, or
- c. A potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Organization is negotiating a transaction or arrangement.

Compensation includes direct and indirect remuneration as well as gifts or favors that are not insubstantial.

A financial interest is not necessarily a conflict of interest. Under Article III, Section 2, a person who has a financial interest may have a conflict of interest only if the appropriate governing board or committee decides that a conflict of interest exists.

8

#### **Article III Procedures**

#### 1. Duty to Disclose

In connection with any actual or possible conflict of interest, an interested person must disclose the existence of the financial interest and be given the opportunity to disclose all material facts to the directors and members of committees with governing board delegated powers considering the proposed transaction or arrangement.

#### 2. Determining Whether a Conflict of Interest Exists

After disclosure of the financial interest and all material facts, and after any discussion with the interested person, he/she shall leave the governing board or committee meeting while the determination of a conflict of interest is discussed and voted upon. The remaining board or committee members shall decide if a conflict of interest exists.

#### 3. Procedures for Addressing the Conflict of Interest

- a. An interested person may make a presentation at the governing board or committee meeting, but after the presentation, he/she shall leave the meeting during the discussion of, and the vote on, the transaction or arrangement involving the possible conflict of interest.
- b. The chairperson of the governing board or committee shall, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.

- c. After exercising due diligence, the governing board or committee shall determine whether the Organization can obtain with reasonable efforts a more advantageous transaction or arrangement from a person or entity that would not give rise to a conflict of interest.
- d. If a more advantageous transaction or arrangement is not reasonably possible under circumstances not producing a conflict of interest, the governing board or committee shall determine by a majority vote of the disinterested directors whether the transaction or arrangement is in the Organization's best interest, for its own benefit, and whether it is fair and reasonable. In conformity with the above determination it shall make its decision as to whether to enter into the transaction or arrangement.

#### **4.** Violations of the Conflicts of Interest Policy

- a. If the governing board or committee has reasonable cause to believe a member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.
- b. If, after hearing the member's response and after making further investigation as warranted by the circumstances, the governing board or committee determines the member has failed to

9

disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action.

# **Article IV Records of Proceedings**

The minutes of the governing board and all committees with board delegated powers shall contain:

- a. The names of the persons who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the governing board's or committee's decision as to whether a conflict of interest in fact existed.
- b. The names of the persons who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection with the proceedings.

#### **Article V Compensation**

- a. A voting member of the governing board who receives compensation, directly or indirectly, from the Organization for services is precluded from voting on matters pertaining to that member's compensation.
- b. A voting member of any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Organization for services is precluded from voting on matters pertaining to that member's compensation.
- c. No voting member of the governing board or any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Organization, either individually or collectively, is prohibited from providing information to any committee regarding compensation.

#### **Article VI Annual Statements**

Each director, principal officer and member of a committee with governing board delegated powers shall annually sign a statement which affirms such person:

- a. Has received a copy of the conflicts of interest policy,
- b. Has read and understands the policy,
- c. Has agreed to comply with the policy, and
- d. Understands the Organization is charitable and in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

10

#### **Article VII Periodic Reviews**

To ensure the Organization operates in a manner consistent with charitable purposes and does not engage in activities that could jeopardize its tax-exempt status, periodic reviews shall be conducted. The periodic reviews shall, at a minimum, include the following subjects:

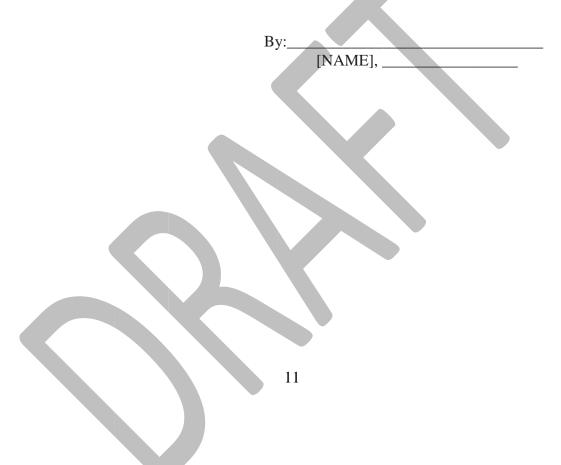
- a. Whether compensation arrangements and benefits are reasonable, based on competent survey information, and the result of arm's length bargaining.
- b. Whether partnerships, joint ventures, and arrangements with management organizations conform to the Organization's written policies, are properly recorded, reflect reasonable investment or payments for goods and services, further charitable purposes and do not result in inurement, impermissible private benefit or in an excess benefit transaction.

#### **Article VIII Use of Outside Experts**

When conducting the periodic reviews as provided for in Article VII, the Organization may, but need not, use outside advisors. If outside experts are used, their use shall not relieve the governing board of its responsibility for ensuring periodic reviews are conducted.

| <b>ADOPTED</b> | this the | day of | , 201 | 3. |
|----------------|----------|--------|-------|----|
|                |          |        |       |    |

# RIDGEVIEW CHARTER SCHOOL



SOSID: 1344383
Ridgeview Charler School
Date Filed: 14/23/76/12/13/11:15:00 AM
Elaine F. Marshall
North Carolina Secretary of State

C2013 294 00588

# State of North Carolina Department of the Secretary of State

# ARTICLES OF INCORPORATION NONPROFIT CORPORATION

Pursuant to §55A-2-02 of the General Statutes of North Carolina, the undersigned corporation does hereby submit these Articles of Incorporation for the purpose of forming a nonprofit corporation.

| 1.          | The name of the nonprofit corporation is: Ridgeview Charter School, Inc.   |  |  |
|-------------|--|--|--|
| 2. <b>X</b> | (Check only if applicable.) The corporation is a charitable or religious corporation as defined in NCGS §55A-1-40(4).  |  |  |
| 3.          | The name of the initial registered agent is: Johnnie Williams  |  |  |
| 4.          | The street address and county of the initial registered agent's office of the corporation is:                          |  |  |
|             | Number and Street: 15207 Gathering Court   |  |  |
|             | City: Charlotte State: NC Zip Code: 28278 County: Mecklenburg  |  |  |
|             | The mailing address if different from the street address of the initial registered agent's office is:                  |  |  |
|             | Number and Street or PO Box:   |  |  |
|             | City: State:NC Zip Code: County:   |  |  |
| 5.          | The name and address of each incorporator is as follows:  Johnnie Williams, 15207 Gathering Court, Charlotte, NC 28278 |  |  |
|             |  |  |  |
| . 1         |  |  |  |
|             |  |  |  |
| 6.          | (Check either a or b below.) a. The corporation will have members.  b. The corporation will not have members.          |  |  |
| 7.          | Attached are provisions regarding the distribution of the corporation's assets upon its dissolution.                   |  |  |
| Q           | Any other provisions which the corporation elects to include are attached  |  |  |

| 9.          | The street address and county of the principal office of the corporation is:  |
|-------------|---|
| ,           | Principal Office Telephone Number: 888-619-6688   |
|             | Number and Street: 15207 Gathering Court  |
|             | City: Charlotte State: NC Zip Code: 28278 County: Mecklenburg   |
|             | The mailing address if different from the street address of the principal office is:  |
|             | Number and Street or PO Box:  |
|             | City: State: Zip Code: County:  |
| 10.         | (Optional): Please provide a business e-mail address:  The Secretary of State's Office will e-mail the business automatically at the address provided at no charge when a document is filed. The e-mail provided will not be viewable on the website. For more information on why this service is being offered, please see the instructions for this document. |
| 11.         | These articles will be effective upon filing, unless a future time and/or date is specified:  |
| This is the | 16th day of October ,20 13  |
|             | (Optional – Incorporator) Business Entity Name)  Signature of Incorporator  ohnnie Williams   |
|             | Type or print Incorporator's name and title if any  |

NOTES:

1. Filing fee is \$60. This document must be filed with the Secretary of State.

## Ridgeview Charter School, Inc. ARTICLES OF INCORPORATION NONPROFIT CORPORATION

#### **Operation for Approved Tax-Exempt Purpose**

Ridgeview Charter School, Inc. will operate a public charter school, as authorized by part 6A of Article 16 of Chapter 115C of the North Carolina General Statutes, for charitable purposes within the meaning of Section 55A-1-40(4) of the North Carolina General Statutes and for charitable and educational purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1986 as amended.

#### **Dissolution of Assets**

Upon dissolution of the corporation, the Board of Directors shall, after paying or making provisions for the payment of all the liabilities of the corporation, dispose of all of the assets of the corporation exclusively for the purposes of the corporation in such manner or to such organizations organized and operated solely for charitable, religious, educational or scientific purposes. Said organizations shall, at the time, qualify as exempt organizations under Section 501(c)(3) of the Internal Revenue Code. Any such assets not so disposed shall be disposed of by the Superior Court of Rutherford County, North Carolina, exclusively for such purposes or to such organizations as the Court shall deem appropriate and lawful.

#### Indemnification Provision

To the fullest extent permitted by the North Carolina Nonprofit Corporation Act as it exists or may hereafter be amended, no person who is serving or who has served as a director of the Corporation shall be personally liable for monetary damages for breach of any duty as a director. No amendment to these Articles of Incorporation Nonprofit Corporation inconsistent with this indemnification provision shall reduce or eliminate the protection granted herein with respect to any matter that occurred prior to such amendment.

# **INSURANCE PEOPLE**

Below are the **estimated annual premiums** Ridgeview Charter School

## **Property Premium Estimate**

\$1,500

| Contents                     | \$1,000,000 |
|------------------------------|-------------|
| Deductible                   | \$1,000     |
| Form                         | Special     |
| Equipment Breakdown Included |             |

# **General Liability Premium Estimate**

\$1,624

| <b>Rating Basis:</b> | Students | 345 |  |
|----------------------|----------|-----|--|
|                      | Faculty  | 21  |  |

## **Limits:**

Per Occurrence Limit \$1,000,000 Annual Aggregate \$3,000,000

Sexual Abuse & Molestation \$1,000,000 per occurrence

\$3,000,000 aggregate

Employee Benefits \$1,000,000 per occurrence

\$3,000,000 aggregate

# School District & Educators Legal Liability (D&O/ E&O)

## **Premium Estimate**

\$4,277

\$1,000,000 per occurrence \$2,000,000 aggregate

Additional Defense \$100,000/\$50,000/\$100,000

Named insured includes the insured Organization (School Entity), it's school board, School Committee, Board of Trustees, Board of Governors or similar governing body, elected or appointed members of the Board of Education, Board of Trustees, School Directors, School Committee, Board of Governors or similar governing board, Employees, Student Teachers, School Volunteers, and students while serving in a supervised internship program sponsored by the "educational institution".

Wrongful Act to include any actual or alleged act, error, omission, misstatement, misleading statement, neglect, or breach of duty by or on behalf of the Insured Organization, including educational malpractice or failure to educate, negligent instruction, failure to supervise, inadequate or negligent academic guidance of counseling, improper or inappropriate academic placement or discipline.

# **INSURANCE PEOPLE**

| Fidelity Bond Estimate                            |                                  | \$332           |
|---|----------------------------------|-----------------|
| Limit   | \$250,000                        |                 |
| Auto Premium Estimate Hired & Non Owned Au        | itos Only                        | \$181           |
| Limit of Liability                                | \$1,000,000                      |                 |
| <b>Head of Class Endorsement</b>                  |                                  | \$82            |
| Workers Compensation Pren<br>Statutory State - NC | nium Estimate                    | \$5,140         |
| Employers Liability Payroll Estimate              | \$500/ \$500/ \$500<br>\$850,000 |                 |
| Umbrella Premium Estimate Limit of Liability      | \$1,000,000                      | \$2,387         |
| TOTAL ESTIMATED PREM                              | MIUM                             | \$15,523        |
| Student Accident Coverage                         |                                  | \$7.00/ student |

These premiums are subject to change based on Underwriter review and approval of completed applications.

Disclaimer: The abbreviated outlines of coverages used throughout this proposal are not intended to express legal opinion as to the nature of coverage. They are only visuals to a basic understanding of coverages. The policy terms, conditions, and exclusions will prevail. Please read the policy forms for specific details of coverage

09/11/2017

# **Ridgeview Charter School**

Creating lifelong learners, one child at a time

# YOUR OPINION MATTERS!

Ridgeview Charter School is a tuition-free public school that focuses on delivering a high- quality academic program using a global approach and incorporating technology, literacy, character education, 21<sup>st</sup> century thinking and study skills at all levels.

Raleigh needs to know that parents in Gastonia want a tuition-free school of choice.

# Please show your support by completing this brief survey.

| would you support Ridgeview Charter School in Gastonia?   | Yes NO |
|---|--------|
| Would you support single gender instruction in middle school?   | Yes No |
| Do you have children that will be in grades K-4 in the fall 2019?   | Yes No |
| If "yes" how many? What Ages?   |        |
| Would you be interested in serving as a board member, committee role in the planning and opening of Ridgeview Charter School in G |        |
| If "yes "please provide your email address and name below.  |        |
| Name  |        |
| Email   |        |

Provide the rationale for separating genders at Middle School but not at Elementary? Theory behind the rationale for separating genders at the Middle Schools but not Elementary is attributed to the life stages of psychological and social human development that is experienced during the early school age and middle school years. R. Havinghurst (1972) believed that human development is a process in which people attempt to learn the tasks required of them by the society to which they are adapting. These tasks change with age because each has age graded expectations for behavior. According to this theory a successful culture stimulates behavior that helps its members learn what they need to know for both their own survival and that of the group. In the early elementary years, sex role identification, moral development, self-theory, and group play are major developmental tasks to be successfully mastered and for upper elementary years, friendship, concrete operations, self- evaluation and team play are the tasks to be mastered. We believe that we can better serve lower and upper elementary in a coed setting because those tasks are better mastered in an environment where a foundation is laid

through interactions between boys and girls that will establish an early level of respect for the opposite sex. Middle school students, however, must master physical maturation, formal operations, emotional development, membership in the peer group and sexual relationships. These developmental tasks interfere with the academic performance of students because their processing is centralized through peer pressure versus early and upper elementary stages where the processing is centralized through identification and education respectively. For the middle school student the opinions of the peer begins to take on a higher level of priority than the opinions of parents and teachers and research suggests that single sex classrooms minimizes the stress for boys and girls during this phase (Dave DePape, June 2006) allowing them to explore their interests that may contrast to the stereotyped roles and interests previously assigned through their earlier identification with same sex parents/adults.

If we focus on our targeted population, Dr. Alvin Pussaint from Harvard Medical School states that single sex education helps groups that are struggling, specifically inner-city minority boys and rural white boys. Further support can be found in the book "Girls and Boys in School: Together or Separate" by Cornelius Riordan of Providence College states that single sex education benefits girls and minority boys because they are expected to fail when placed in a coed classroom.

Advanced courses are rarely offered in these schools due to a lack of perceived eligible students, and most instructional time is spent on remediation and preparation for standardized tests. Creativity is not highly valued; instead, obedience and repetition are applauded. Practically all of these students live in households where no one has any experience of higher education; thus, the expectation of attending college is not as important as the message to "stay out of trouble" and "finish high school." (Theresa Capra,

Data for Gender Specific Classrooms:

DePape, Dave. "DO Gender Specific Classrooms Increase the Success of Student?", Teaching and Learning Research Exchange, project #109, June 2006.

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| If "yes" how many? What Ages?   |        |
| Would you be interested in serving as a board member, committee role in the planning and opening of Ridgeview Charter School in G |        |
| If "yes "please provide your email address and name below.  |        |
| Name  |        |
| Email   |        |

#### **Appendix P:**

#### **Charter School Required Signature Certification**

**Note:** Outlined below is a list of areas that must be certified by the proposed Board of Directors. Any forms Not Applicable to the proposed charter school indicate below with N/A and provide a brief explanation for providing such response.

Serving on a public charter school board is a position of public trust and board members of a North Carolina public charter school; you are responsible for ensuring the quality of the school's entire program, competent stewardship of public funds, the school's fulfillment of its public obligations, all terms of its charter, and understanding/overseeing all third party contracts with individuals or companies.

| *        | The selected Board Attorney that he/she has reviewed with the full Board of Directors, listed within the |
|----------|--|
|          | application, all the governance documents and liabilities associated with being on the Board of a Non    |
|          | Profit Corporation.  |
|          | <ul> <li>Name of the Selected Board Attorney: _N/A</li> </ul>  |
|          | o Date of Review:  |
|          | o Signature of Board Members Present (Add Signature Lines as Needed):                                    |
|          | •  |
|          | •  |
|          | •  |
|          | •  |
|          | <b>-</b>   |
|          | •  |
|          | <b>-</b>   |
|          | •  |
| <b>*</b> | The selected Board Auditor that he/she has reviewed with the full Board of Directors, listed within the  |
|          | application, all the items required for the annual audit and 990 preparations.                           |
|          | <ul> <li>Name of the Selected Board Auditor:N/A</li> </ul>   |
|          | o Date of Review:  |
|          | <ul> <li>Signature of Board Members Present (Add Signature Lines as Needed):</li> </ul>                  |
|          | •  |
|          | •  |
|          | <b>-</b>   |
|          | <b>-</b>   |
|          | •  |
|          | •  |
|          | •  |
|          |  |

| *       | If contracting with a CMO/EMO, that the selected management company has reviewed with the full Board of Directors, listed within the application, all the items required and the associated management contract  |
|---------|--|
|         | and operations.  |
|         | Name of the Contact for Selected EMO/CMO:N/A   |
|         |  |
|         | <ul> <li>Date of Review:</li> <li>Signature of Board Members Present (Add Signature Lines as Needed):</li> </ul>   |
|         | <u> </u>   |
|         | •  |
|         | <u> </u>   |
| **      | If contracting with a financial management service provider that the selected financial service provider has   |
| •••     | reviewed with the full Board of Directors, listed within the application, all the financial processes and  |
|         | services provided.   |
|         | O Name of the Contact: N/A   |
|         | <ul> <li>Name of the Contact:N/A</li> <li>Name of the Selected Financial Service Provider:</li> </ul>  |
|         | Date of Review:  |
|         | <ul> <li>Signature of Board Members Present (Add Signature Lines as Needed):</li> </ul>  |
|         | •  |
|         | •  |
|         |  |
|         |  |
|         |  |
|         |  |
|         |  |
| *       | If the proposed Board of Directors, listed within the application, is contracting with a service provider to   |
|         | operate PowerSchool that the service provider has reviewed all of the financial processes and services   |
|         | provided.  |
|         | Name of the Contact:N/A  |
|         | <ul> <li>Name of the Selected PowerSchool Service Provider:</li> </ul>   |
|         | o Date of Review:  |
|         | <ul> <li>Signature of Board Members Present (Add Signature Lines as Needed):</li> </ul>  |
|         | •  |
|         | •  |
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|         | ication Control of the Control of th |
| l,l     | Reshall Williams, as Board Chair, certify that each Board  |
|         | ber has reviewed and participated in the selection of the individuals and vendors attached to this document  |
|         | denced by the full Board of Director signatures outlined above. The information I am providing to the North  |
|         | na State Board of Education asRidgeview Charter School is  |
| true ar | nd correct in every respect.   |
|         | Reshall Williams 9/15/17   |
|         | NCOHAH WIHAHIO // 13/11  |

Ridgeview Charter School Appendix P3 Date

Signature