

# **ALLOTMENT POLICY MANUAL**

## **Federal Grant Programs**

**PUBLIC SCHOOLS OF NORTH CAROLINA  
STATE BOARD OF EDUCATION  
DEPARTMENT OF PUBLIC INSTRUCTION  
FINANCIAL AND BUSINESS SERVICES  
DIVISION OF SCHOOL BUSINESS**



## **ADDRESS QUESTIONS RELATED TO POLICIES TO THE FOLLOWING:**

### **Financial**

- Division of School Business, School Allotments Section
  - Allotments (984) 236-2450

### **Program/Budgeting**

Contact information for federal grants can be found on the Financial and Business Services website at

<https://www.dpi.nc.gov/districts-schools/district-operations/financial-and-business-services/allotments-funding-public-school-units>

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# **Elementary and Secondary Education Programs**

**PUBLIC SCHOOLS OF NORTH CAROLINA  
STATE BOARD OF EDUCATION  
DEPARTMENT OF PUBLIC INSTRUCTION  
FINANCIAL AND BUSINESS SERVICES  
DIVISION OF SCHOOL BUSINESS**



## **ESEA TITLE I, PART A – BASIC GRANT (PRC 050)**

PROGRAM REPORT CODE: 050  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX  
CFDA #: 84.010A

TYPE: Dollars  
TERM: Up to 27 months  
PURPOSE: Provides funding to supplement and provide special help to educationally deprived children from low-income families.  
ELIGIBILITY: Eligibility is as follows:

Each LEA/charter school is entitled to funding based on the sum of the children (formula children) in the following populations:

- 1) Number of children in poverty, ages five through seventeen, as identified in the most current census data.
- 2) Number of neglected children.
- 3) Number of children in foster homes.

Since there is no census poverty data available for charter schools, the following method for converting the charter school free lunch count to a poverty count has been authorized by the U.S. Department of Education.

- 1) A ratio is developed which defines the proportion of the total state free lunch count to the state total census poverty count.
- 2) The above ratio is then applied to the individual charter school free lunch count to establish a census poverty count for that charter school.

An LEA/charter school is eligible for "Basic" funding, if the number of formula children counted for allocation purposes is at least 10 and greater than two percent of the LEA's/charter school's total population, ages 5 to 17 years.

In addition to the allocation based on the formula children identified above, an LEA/charter school is entitled to funding based on the number of delinquent children.

**Application must be approved prior to the LEA receiving the allotment.**

FORMULA: Formula is as follows:

The U.S. Department of Education determines the allotment of funds to each LEA. Funds for Title I Basic grant-eligible charter schools are distributed as follows:

- 1) A ratio is developed which defines the proportion of formula children in all eligible charter schools to all formula children in the state.
- 2) The total state allocation is then reduced by the percentage determined in step 1.
- 3) The amount of the reduction is distributed to eligible charter schools on the basis of their individual proportion of formula children enrolled.
- 4) The allocation to each LEA/charter school is then reduced by the reserve for school improvement and state administration.

#### HOLD HARMLESS:

- 1) An LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
- 2) An LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
- 3) An LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.

#### SPECIAL PROVISION:

- 1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. **Carryover funds are subject to applicable carryover percentage limitations.**
- 2) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.
- 3) If an LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.
- 4) An LEA may request in writing to the Section Chief for Federal Program Monitoring, Federal Program Monitoring and Support Division for a waiver of the carryover percentage to be granted.

- a) Absent approved flexibility from the U.S. Department of Education, DPI may only grant a waiver once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
  - b) If flexibility is approved by the U.S. Department of Education, DPI may grant a waiver more than once every three years, provided that the request is reasonable and necessary or if supplemental appropriations become available.
- 5) In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
- 6) An LEA/charter school may request in writing to the Section Chief of Federal Program Monitoring, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
- 7) If an LEA or charter elects to waive their allotment or if an application cannot be approved by the application deadline, the funding amount for which that LEA or charter was eligible will be reallocated as follows:
- a) Eligibility Guidelines:
    - i) The LEA/Charter must be eligible for and approved to receive Title I funds in the current year.
  - b) Re-Allocation Formula:
    - i) Additional funds available will be allocated to LEAs/Charter schools approved to receive Title I funds for the current year based on a percent to total of their current year allocation.
- 8) No funding may be utilized beyond the expiration of the period of availability of the specific award from which funds were allotted.

## **ESEA TITLE I, PART A – CONCENTRATION GRANTS (PRC 050)**

PROGRAM REPORT CODE: 050  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX  
CFDA #: 84.010A

TYPE: Dollars  
TERM: Up to 27 months  
PURPOSE: Provides funding to supplement LEAs with especially high concentrations of children from low-income families.  
ELIGIBILITY: To be eligible for "Concentration" funding, the number of formula children in an LEA/charter school must exceed six thousand, five hundred (6,500) OR exceed fifteen percent of the LEA's/charter school's total population, ages 5 to 17 years.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

See ESEA Title I – Basic Eligibility for discussion on "formula" children.

FORMULA: See Title I Basic.

### **HOLD HARMLESS:**

- 1) An LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
- 2) An LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
- 3) An LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

If sufficient funds are appropriated, the amounts shall be paid to all LEAs that receive Concentration grant funds for the preceding fiscal year, regardless of whether the LEA meets minimum eligibility criteria for that fiscal year. LEA not meeting eligibility requirements for Title I – Concentration shall be paid its hold harmless amount for (4) four consecutive years.

### **SPECIAL PROVISION:**

- 1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by



allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. **Carryover funds are subject to applicable carryover percentage limitations.**

- 2) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.
- 3) If an LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.
- 4) An LEA may request in writing to the Section Chief for Federal Program Monitoring, Federal Program Monitoring and Support Division for a waiver of the carryover percentage to be granted.
  - a) Absent approved flexibility from the U.S. Department of Education, DPI may only grant a waiver once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
  - b) If flexibility is approved by the U.S. Department of Education, DPI may grant a waiver more than once every three years, provided that the request is reasonable and necessary or if supplemental appropriations become available.
- 5) In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
- 6) An LEA/charter school may request in writing to the Section Chief of Federal Program Monitoring, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
- 7) If an LEA or charter elects to waive their allotment or if an application cannot be approved by the application deadline, the funding amount for which that LEA or charter was eligible will be reallocated as follows:
  - a) Eligibility Guidelines:
    - i) The LEA/Charter must be eligible for and approved to receive Title I funds in the current year.
  - b) Re-Allocation Formula:

- i) Additional funds available will be allocated to LEAs/Charter schools approved to receive Title I funds for the current year based on a percent to total of their current year allocation.
- 8) No funding may be utilized beyond the expiration of the period of availability of the specific award from which funds were allotted.

**ESEA TITLE I, PART A - EDUCATION FINANCE INCENTIVE GRANT (PRC 050)**

PROGRAM REPORT CODE: 050  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX  
CFDA #: 84.010A

TYPE: Dollars  
TERM: Up to 27 months  
PURPOSE: Provides funding to supplement LEAs/charter schools with an especially high concentration of children from low-income families.  
ELIGIBILITY: An LEA/charter school is eligible for "Education Finance Incentive" funding if the number of formula children is at least 10 and at least 5% of the LEA's/charter school's total population ages 5 to 17 years.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines each LEA's allotment. Funds are allotted as part of the Title I Basic Grant.

**HOLD HARMLESS:**

- 1) An LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
- 2) An LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
- 3) An LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

**An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.**

**SPECIAL PROVISION:**

- 1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months.

Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. **Carryover funds are subject to applicable carryover percentage limitations.**

- 2) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.
- 3) If an LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.
- 4) An LEA may request in writing to the Section Chief for Federal Program Monitoring, Federal Program Monitoring and Support Division for a waiver of the carryover percentage to be granted.
  - a) Absent approved flexibility from the U.S. Department of Education, DPI may only grant a waiver once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
  - b) If flexibility is approved by the U.S. Department of Education, DPI may grant a waiver more than once every three years, provided that the request is reasonable and necessary or if supplemental appropriations become available.
- 5) In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
- 6) An LEA/charter school may request in writing to the Section Chief of Federal Program Monitoring, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
- 7) If an LEA or charter elects to waive their allotment or if an application cannot be approved by the application deadline, the funding amount for which that LEA or charter was eligible will be reallocated as follows:
  - a) Eligibility Guidelines:
    - i) The LEA/Charter must be eligible for and approved to receive Title I funds in the current year.
  - b) Re-Allocation Formula:
    - i) Additional funds available will be allocated to LEAs/Charter schools approved to receive Title I funds for the current year based on a percent to total of their current year allocation.
- 8) No funding may be utilized beyond the expiration of the period of availability of the specific award from which funds were allotted.

**ESEA TITLE I, PART A - TARGETED GRANTS (PRC 050)**

PROGRAM REPORT CODE: 050  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX  
CFDA #: 84.010A

TYPE: Dollars  
TERM: Up to 27 months  
PURPOSE: Provides funding to supplement LEAs with an especially high concentration of children from low-income families.  
ELIGIBILITY: An LEA/charter school is eligible for "Targeted funding", if the number of formula children is at least 10 and at least 5% of the LEA's/charter school's total population ages 5 to 17 years.

**Application must be approved prior to the LEA/charter school receiving the allotment**

FORMULA: Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines the allotment of funds to each LEA. Funds are allotted as part of the Title I Basic Grant.

**HOLD HARMLESS:**

- 1) An LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
- 2) An LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
- 3) An LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

**An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.**

**SPECIAL PROVISION:**

- 1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. **Carryover funds are subject to applicable carryover percentage limitations.**
- 2) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.

- 3) If an LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.
- 4) An LEA may request in writing to the Section Chief for Federal Program Monitoring, Federal Program Monitoring and Support Division for a waiver of the carryover percentage to be granted.
  - a) Absent approved flexibility from the U.S. Department of Education, DPI may only grant a waiver once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
  - b) If flexibility is approved by the U.S. Department of Education, DPI may grant a waiver more than once every three years, provided that the request is reasonable and necessary or if supplemental appropriations become available.
- 5) In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
- 6) An LEA/charter school may request in writing to the Section Chief of Federal Program Monitoring, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
- 7) If an LEA or charter elects to waive their allotment or if an application cannot be approved by the application deadline, the funding amount for which that LEA or charter was eligible will be reallocated as follows:
  - a) Eligibility Guidelines:
    - i) The LEA/Charter must be eligible for and approved to receive Title I funds in the current year.
  - b) Re-Allocation Formula:
    - i) Additional funds available will be allocated to LEAs/Charter schools approved to receive Title I funds for the current year based on a percent to total of their current year allocation.
- 8) No funding may be utilized beyond the expiration of the period of availability of the specific award from which funds were allotted.

**ESEA TITLE I, PART A - SCHOOL IMPROVEMENT (FORMULA) – 1003(A) FUNDS**  
**(PRC 105)**

PROGRAM REPORT CODE: 105  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-105-XXX  
CFDA #: 84.010A

TYPE: Dollars  
TERM: Up to 27 months  
PURPOSE: To provide assistance for schools, which have been identified as schools in need of Comprehensive Support and Improvement (CSI) under the State's federally approved plan for Every Student Succeeds Act (ESSA)  
ELIGIBILITY: To be eligible to receive these funds, a public school unit (PSU), including the Innovative School District (ISD), charter, lab, and regional schools, must have one or more schools identified under the federally approved definition for Comprehensive Support and Improvement-Low Performance (CSI-LP) or Comprehensive Support and Improvement – Graduation Rate (CSI-LG).

**Applications must be approved prior to the PSU receiving the allotment.**

FORMULA: Funds will be allotted based on approval of an application for School Improvement (PRC 105) funds submitted by the LEA or charter school on behalf of schools participating as CSI schools. Beginning with the 2021-2022 school year, each identified public school within an LEA or charter school will receive a base amount of \$75,000.

The remainder of the funds will be allocated on a per pupil basis and will be calculated using the prior year best of 1 of 2 Actual ADM as follows:

<b>Designation</b>	<b>ADM Range</b>
CSI-LP	Total ADM of School
CSI-LG	Total ADM Grades 9-12
<b>School Type</b>	<b>Per Child Amount</b>
Innovative School District	2.0 x ADM
LEA	1.0 x ADM
Charter School	1.0 X ADM

SPECIAL PROVISION:

- 1) Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months. Funds are potentially available to PSUs for 27 months provided there are schools identified as CSI schools and a budget is submitted each year.
- 2) Program Administrators will notify the School Allotment Section of any LEA/charter school that has not submitted an application for funding after 90 days from the date the application is made available. After this notification, funds carried forward will be reduced from the LEAs/charter school's budget.
- 3) Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
- 4) Unallotted funds will be reallocated to participating LEAs and charter schools in accordance with the formula outlined above.
- 5) If one or more schools exit CSI status, the PSU may reallocate unexpended carryover funds, based on need, to any other schools in the PSU that remain in CSI status.
- 6) If all schools in the PSU exit CSI status, unexpended fund balances as of September 30th will revert to the State for reallocation to PSUs in accordance with Section 1003 and Section 1126 of the Elementary and Secondary Education Act.



**ESEA TITLE I, PART A – SCHOOL IMPROVEMENT (COMPETITIVE) – 1003(A)  
FUNDS**

PROGRAM REPORT CODE: 115  
UNIFORM CHAT OF ACCOUNTS CODE: XXXX-115-XXX  
CFDA#: 84.010A  
PR/AWARD #:

TYPE: Dollars

TERM: Up to 27 months

**PURPOSE:** To carry out the State Educational Agency's statewide system of technical assistance and support for Local Education Agencies / Charter Schools / Innovative Project Schools, specifically for schools identified as schools in need of Comprehensive Support and Improvement (CSI) under the State's federally approved plan for the Every Student Succeeds Act (ESSA). These funds are additional resources to improve student achievement and ultimately to assist these schools with exiting the identification and status of CSI.

Competitive School Improvement funds, authorized under section 1003(a) of Title I of the Elementary and Secondary Education Act of 1965 (ESEA) as amended by the Every Student Succeeds Act (ESSA), are funds reserved from the State Educational Agencies (SEA's) Title I, Part A funds to make competitive subgrants available to schools identified as CSI that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources in order to substantially raise the achievement of students so as to enable the schools to make adequate yearly progress and exit improvement status.

**ELIGIBILITY:** To be eligible to apply for and receive these funds, an organization must have one or more schools identified under the federally approved definition for CSI schools. Funding will be made based on a competitive application process.

**FORMULA:** Total funding to organizations is subject to receipt of approved allocations from the U.S. Department of Education.

Funds will be allotted based on approval of an application for School Improvement Competitive funds (PRC-115) submitted by the organization on behalf of schools identified as CSI.

Each awarded subgrant recipient will receive a proportion of the 3% from Title I, Part A competitive funds set aside annually for school improvement

activities targeting schools identified as CSI.

**SPECIAL PROVISIONS:**

- 1) Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months. Funds are potentially available to organizations for 27 months provided there are schools identified as CSI schools and a budget is submitted each year.
- 2) The SEA will determine whether to renew an organization's grant if one or more schools within the organization are not meeting the goals identified for the interventions an organization is implementing, student achievement outcomes, and / or other factors determined by the SEA. Pending renewal, these subgrants to CSI schools would be for a period of 3 years



**ESEA TITLE I, PART C - MIGRANT EDUCATION PROGRAM (PRC 051)**

PROGRAM REPORT CODE:	051
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-051-XXX
CFDA #:	84.011A
TYPE:	Dollars
TERM:	27 months
PURPOSE:	Provides funding for programs meeting the special educational needs of migratory children of migrant agricultural workers and fishers.
ELIGIBILITY:	Each LEA with an eligible population of students is entitled to funding.
	<b>Application must be approved prior to the LEA receiving the allotment.</b>
FORMULA:	Formula is as follows:

Every LEA that reports any Migratory Children for the purpose of establishing a Migrant Education Program will receive a minimum of the dollar equivalent to ½ of a teacher position converted at the statewide average salary for classroom teachers. A minimum of approximately 50 students is recommended for establishing a new program. Minimum funding for ongoing programs will also be the dollar equivalent to ½ of a teacher position converted at the statewide average salary for classroom teachers.

Funding is weighted based on the table below:

Variable Factors	Weight
Headcount (A.1)	5.0
Summer Headcount (A.2)	2.0
Participants in Program (B.1)	1.5
Priority for Service (Moved in Between 9/1 & 8/31 <i>and are also failing to meet state standards</i> )	1.0
Out-of-School Youth Served (Served OS)	1.0
Number of LEP who are also Migrant	2.0

Supplemental to this portion of the funding formula, an amount of \$100,000 will be reserved for an additional allotment to LEAs based on a percent to total of the LEAs' total dollars per average daily membership (state, federal and local) based on the most recent data available. This formula will be reversed in that the LEA with the lowest total dollars per ADM will receive the largest allotment.

**SPECIAL PROVISION:**

- 1) Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year.
- 2) Reallocation of current year funds: With the exception of eligible charters in their first year of operation, funding for the current year that is not allocated will be distributed to eligible LEAs / charter schools based on the current formula or program needs by February.
- 3) The administrative cap on Migrant funds is 20%.

**ESEA TITLE I, PART D - NEGLECTED & DELINQUENT (STATE AGENCY PROGRAMS) (PRC 047)**

PROGRAM REPORT CODE: 047  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-047-XXX  
CFDA #: 80.013A

TYPE: Dollars  
TERM: Up to 27 months  
PURPOSE: Provides funding to State agencies for the educational needs of children in institutions for neglected or delinquent, in adult correctional institutions, or attending community day programs.  
ELIGIBILITY: Eligible State agencies are agencies that are responsible for providing free public education to children in neglected and delinquent institutions, in adult correctional institutions, and enrolled in community day programs.  
FORMULA: Funding for eligible State agencies is determined by the U.S. Department of Education and is based on the federal formula which uses the child count of neglected and delinquent children and the State's average per pupil expenditures.

**SPECIAL PROVISION:**

- 1) Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months.

**ESEA TITLE I, PART D – NEGLECTED AND DELINQUENT (PSU PROGRAMS) (PRC 107)**

PROGRAM REPORT CODE:

UNIFORM CHART OF ACCOUNTS CODE: XXXX-TBD-XXX

CFDA #: 84.010A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to assist PSUs with high proportions of youth in local correctional facilities and drop-out prevention programs for at-risk youth to prevent youth who are at risk from dropping out of school and to provide youth returning from correctional facilities with a support system to ensure their continued education and the involvement of their families and communities.

ELIGIBILITY: Public School Units serving high numbers or percentages of children and youth residing in locally operated correctional facilities, including institutions and community day programs for delinquent children.

FORMULA: Total funding for eligible PSUs is determined by the U.S. Department of Education and is based on the Federal formula, which uses the child count of neglected and delinquent children and the State's average per pupil expenditures. From the funding received, allotments are calculated on a per student basis using the previous year count of delinquent students per PSU.

**SPECIAL PROVISION:**

- 1) Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months.
- 2) Program Administrators will notify the School Allotments Section of any LEA/charter school that has not submitted an application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
- 3) Reallocation of current year funds: With the exception of charters in their first year of operation, funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.

**ESEA TITLE II, PART A – SUPPORTING EFFECTIVE INSTRUCTION (PRC 103)**

PROGRAM REPORT CODE: 103  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-103-XXX  
 CFDA #: 84.367A

TYPE: Dollars  
 TERM: Up to 27 Months  
 PURPOSE: Provides funding to help increase the academic achievement of all students by ensuring that all teachers are highly qualified to teach.  
 ELIGIBILITY: LEAs, charter schools, and Private Schools are eligible to apply for funding. Private Schools will be required to collaborate with LEAs when applying.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Allocations to LEAs are based on the following:  
 1) Twenty percent (20%) will be distributed based on relative population of children ages 5-17.  
 2) Eighty percent (80%) will be distributed based on the relative population of children ages 5-17 from families with incomes below the poverty line.

**SPECIAL PROVISION:**

- 1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
- 2) There is no limit on the amount that an LEA/charter school may spend for administrative costs. However, all costs are subject to requirements in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements (Uniform Guidance) to include the requirement that all costs must be necessary, reasonable, and allocable to the program.
- 3) Program Administrators will notify the School Allotments Section of any LEA/charter school that has not submitted an application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
- 4) Reallocation of current year funds: With the exception of eligible charters in their first year of operation, funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.



**SMALL, RURAL SCHOOLS ACHIEVEMENT PROGRAM (SRSA) FLEXIBILITY –  
TITLE II, PART A (PRC 091)**

PROGRAM REPORT CODE: 091  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-091-XXX  
CFDA #: 84.367A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To give small, rural school districts more flexibility so that allocations under the Title II, Part A program (PRC 103) can be utilized to more effectively raise students' academic achievement.

ELIGIBILITY: An LEA/charter school is eligible to utilize SRSA Flexibility if:

- a) The total number of students in average daily membership at all of the schools served by the local education agency is fewer than 600; or
- b) Each county in which a school served by the local educational agency is located has a total population density of fewer than 10 persons per square mile; and
- c) All of the schools served by the local educational agency are designated with a locale code of 41, 42, or 43, as determined by the U.S. Secretary of Education.

**Eligibility is subject to final determination by the U.S. Department of Education.**

**A Title II, Part A (PRC 103) application must be approved by NC DPI prior to the LEA or charter school receiving the allotment.**

FORMULA: Public School Units that receive funding under the Small, Rural Schools Achievement (SRSA) Program from the U.S. Department of Education may elect to have its Title II, Part A (PRC 103) funds allotted through PRC 091 in order to take advantage of SRSA Flexibility under the Elementary and Secondary Education Act (ESEA).

**SPECIAL PROVISION:**

- 1) An LEA/charter school that is eligible for SRSA Flexibility must notify NC DPI's Federal Programs Monitoring and Support Division of its intent to utilize such flexibility during the annual consolidated application process. Notification of intent to utilize SRSA Flexibility

must be made each fiscal year in which an LEA/charter school wishes to take advantage of such flexibility.

- 2) Through SRSA Flexibility, Title II, Part A funds may be used for allowable expenditures under any of the following programs:
  - a) Title I, Part A -- Improving Basic Programs (PRC 050)
  - b) Title II, Part A – Supporting Effective Instruction (PRC 103)
  - c) Title II, Part A – English Language Acquisition (PRC 103)
  - d) Title III, Part A – English Language Acquisition (Significant Increase) (PRC 111)
  - e) Title IV, Part A – Student Support and Academic Enrichment Grant (PRC 108)
  - f) Title IV, Part B -- 21st Century Community Learning Centers (PRC 110)
- 3) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
- 4) If an LEA or charter school receives an SRSA Program grant from the U.S. Department of Education in one fiscal year and not the next, carryover funds will be unallotted under PRC 091 and allotted back into PRC 103. The LEA or Charter must receive approval of their carryover budget in the original PRC to be able to spend the carryover funds.

**ESEA TITLE III, PART A – ENGLISH LANGUAGE ACQUISITION (PRC 104)**

PROGRAM REPORT CODE: 104  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-104-XXX  
 CFDA #: 84.365A

TYPE: Dollars  
 TERM: Up to 27 months  
 PURPOSE: To help ensure that children who are English Learners (ELs), including immigrant children and youth, attain English proficiency, develop high levels of academic attainment in English and meet the same State academic content and student achievement standards of non-EL children, and to assist LEAs/charter schools in building their capacity to establish, implement, and sustain language instructional educational programs and programs of English language development for EL children.  
 ELIGIBILITY: LEAs/charter schools having a sufficient number of English Learner students are eligible for funding.

**The PRC 104 portion of the Title III Application in the NCCIP must be approved in order for the LEA/charter school to receive funding. Applications are due in June of each year**

FORMULA: Funds are allocated on the basis of an annual headcount of the LEA's/charter school's LEP students, including immigrant students and youth. Each eligible PSU receives an amount in the same proportion that the population of English learners in schools served by the eligible unit bears to the population of English learners in schools served by all eligible units in the State.

**SPECIAL PROVISION:**

- 1) Any LEA/charter school earning less than \$10,000 based on the formula must enter a consortium with other LEAs/charter schools. **For any new consortia created as of 2006: No more than five LEAs are allowed in one consortium. Contact program administrators for the state consortium guidelines, as well as consortium guidance from the US Dept. of Education.**
- 2) If an eligible LEA/charter school is a member of a consortium receiving an award under PRC 104, the LEA/charter school's PRC 104 award shall be allotted to the fiscal agent for the consortium and the sum total of awarded funds shall be used to benefit all of the members of the consortium.
- 3) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to

27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.

- 4) With the exception of eligible charters that are in their first year of operation, applications not submitted by November 30 will be subject to reversion. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget.
- 5) Funds must be used to supplement and not supplant existing resources. ESEA section 3115 states, in (g) *Supplement, Not Supplant- Federal funds made available under this subpart shall be used so as to supplement the level of Federal, State, and local public funds that, in the absence of such availability, would have been expended for programs for limited English proficient children and immigrant children and youth and in no case to supplant such Federal, State, and local public funds.*
- 6) Administration expenditures are limited to 2% of total expenditures.
- 7) One-day or short-term workshops and conferences are not permitted unless the activity is a part of an established comprehensive professional development program for an individual teacher.
- 8) Reallocation of funds: With the exception of eligible charters that are in their first year of operation, funding for current year projects not allocated will be redistributed to eligible units based on the current formula or program needs as determined by grant requirements by February of each year.

**ESEA TITLE III, PART A – ENGLISH LANGUAGE ACQUISITION (SIGNIFICANT INCREASE) (PRC 111)**

PROGRAM REPORT CODE: 111  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-111-XXX  
 CFDA #: 84.365A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To provide funds for enhanced instructional opportunities for immigrant children and youth designed to assist them in achieving in elementary and secondary schools, including activities designed to assist parents in becoming active participants in the education of their children and activities designed to support personnel to provide services specifically to immigrant children and youth. Also provides funds for additional basic instructional services that are directly attributable to the presence of eligible immigrant children and youth.

ELIGIBILITY: LEAs/charter schools having a significant increase in the percentage of immigrant students enrolled in the current fiscal year as compared to the average of the two preceding fiscal years.

**The PRC 111 portion of the Title III Application in the NCCIP must be approved in order for the LEA/charter school to receive funding. Applications are due in June of each year.**

FORMULA: Funds are allocated on the basis of an annual headcount of the LEA/charter schools immigrant students.

**SPECIAL PROVISION:**

- 1) If an eligible LEA/charter school is a member of a consortium receiving an award under (PRC 104) and also receives an award under Title III– Significant Increase (PRC 111), the significant increase funds shall be allotted to the specific LEA. The LEA recipient may choose to expend PRC 111 funds only for their LEA OR to combine their awarded funds to benefit all the members of the consortium. The LEA and/or consortium Title III Application(s) must reflect how the funds will be expended.
- 2) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are

potentially available to LEAs/charter schools for 27 months, provided a project is submitted each year.

- 3) LEA must be able to show how PRC 111 funding provides enhanced instructional opportunities for immigrant children and youth.
- 4) Applications not submitted by November 30, will be subject to reversion. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget.
- 5) Reallocation of funds: Funding for current year projects not allocated will be redistributed to eligible units based on the current formula or program needs as determined by grant requirements by February.
- 6) Funds must be used to supplement not supplant existing Federal, State, and local resources. ESEA section 3115 states, in (g) *Supplement, Not Supplant- Federal funds made available under this subpart shall be used so as to supplement the level of Federal, State, and local public funds that, in the absence of such availability, would have been expended for programs for limited English proficient children and immigrant children and youth and in no case to supplant such Federal, State, and local public funds.*

**ESEA TITLE IV, PART A – STUDENT SUPPORT AND ACADEMIC ENRICHMENT GRANTS (PRC 108)**

PROGRAM REPORT CODE:	108
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-108-XXX
CFDA #:	84.424A
TYPE:	Dollars
TERM:	Up to 27 months
PURPOSE:	<p>To improve student’s academic achievement by increasing the capacity of States, local educational agencies, schools and local communities to</p> <ol style="list-style-type: none"> <li>1) provide all students with access to a well-rounded education;</li> <li>2) improve school conditions for student learning; and</li> <li>3) improve the use of technology in order to improve the academic achievement and digital literacy of all students.</li> </ol>
ELIGIBILITY:	<p>An LEA/charter school is eligible for SSAE funds if the LEA/charter school was eligible for Title I, Part A funds in the preceding fiscal year.</p> <p><b>Application must be approved prior to the LEA/ charter school receiving the allotment.</b></p>
FORMULA:	<p>Allocations to eligible LEAs/charter schools are made on the basis of their relative shares of funds under Title I, Part A for the preceding fiscal year. No LEA may receive an allocation that is less than \$10,000. If funds are insufficient to provide the \$10,000 minimum allocation to each eligible LEA (i.e., each LEA that received Title I, Part A funds for the preceding fiscal year), the SEA must provide each eligible LEA with an allocation in an amount that is ratably reduced below \$10,000, consistent with section 4105(b).</p>
SPECIAL PROVISION:	<ol style="list-style-type: none"> <li>1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.</li> <li>2) Program Administrators will notify the School Allotments Section of any LEA/charter school that has not submitted an application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA’s/charter school’s budget.</li> </ol>

- 3) Reallocation of current year funds: With the exception of eligible charters that are in their first year of operation, funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.



**SMALL, RURAL SCHOOLS ACHIEVEMENT PROGRAM (SRSA) FLEXIBILITY –  
TITLE IV, PART A (PRC 116)**

PROGRAM REPORT CODE: 116  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-116-XXX  
CFDA #: 84.424A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To give small, rural school districts more flexibility so that allocations under the Title IV, Part A program (PRC 108) can be utilized to more effectively raise students' academic achievement.

ELIGIBILITY: An LEA/charter school is eligible to utilize SRSA Flexibility if:

- d) The total number of students in average daily membership at all of the schools served by the local education agency is fewer than 600; or
- e) Each county in which a school served by the local educational agency is located has a total population density of fewer than 10 persons per square mile; and
- f) All of the schools served by the local educational agency are designated with a locale code of 41, 42, or 43, as determined by the U.S. Secretary of Education.

**Eligibility is subject to final determination by the U.S. Department of Education.**

**A Title IV, Part A (PRC 108) application must be approved by NC DPI prior to the LEA or charter school receiving the allotment.**

FORMULA: Public School Units that receive funding under the Small, Rural Schools Achievement (SRSA) Program from the U.S. Department of Education may elect to have its Title IV, Part A (PRC 108) funds allotted through PRC TBD in order to take advantage of SRSA Flexibility under Elementary and Secondary Education Act (ESEA).

**SPECIAL PROVISION:**

- 1) An LEA/charter school that is eligible for SRSA Flexibility must notify NC DPI's Federal Programs Monitoring and Support Division of its intent to utilize such flexibility during the annual consolidated application process. Notification of intent to utilize SRSA Flexibility

must be made each fiscal year in which an LEA/charter school wishes to take advantage of such flexibility.

- 2) Through SRSA Flexibility, Title IV, Part A funds may be used for allowable expenditures under any of the following programs:
  - a) Title I, Part A -- Improving Basic Programs (PRC 050)
  - b) Title II, Part A – Supporting Effective Instruction (PRC 103)
  - c) Title II, Part A – English Language Acquisition (PRC 103)
  - d) Title III, Part A – English Language Acquisition (Significant Increase) (PRC 111)
  - e) Title IV, Part A – Student Support and Academic Enrichment Grant (PRC 108)
  - f) Title IV, Part B -- 21st Century Community Learning Centers (PRC 110)
- 3) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
- 4) If an LEA or charter school receives an SRSA Program grant from the U.S. Department of Education in one fiscal year and not the next, carryover funds will be unallotted under PRC TBD and allotted back into PRC 108. The LEA or Charter must receive approval of their carryover budget in the original PRC to be able to spend the carryover funds.

**TITLE IV, PART B - 21ST CENTURY COMMUNITY LEARNING CENTERS (PRC 110)**

PROGRAM REPORT CODE: 110  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-110-XXX  
 CFDA #: 84.287C

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: The purpose of the 21st Century Community Learning Centers (21st CCLC) program is to establish or expand community learning centers that provide academic enrichment opportunities during non-school hours for children, particularly students who attend high-poverty and low-performing schools. The program helps students meet State and local student standards in core academic subjects, such as reading and math; offers students a broad array of enrichment activities that can complement their regular academic programs; and offers literacy and other educational services to the families of participating children.

ELIGIBILITY: LEAs, charter and private schools, and public or private organizations are eligible to apply for funding. Grants will be made based on a competitive process, with recipients to be funded and selected on the basis of criteria specified in the Request for Proposal (RFP).

**State Board of Education approval is required before allocation of funds.**

FORMULA: N/A

**SPECIAL PROVISION:**

- 1) For each cohort, grants will be awarded for three years. Funding for each of the three years will be subject to the availability of funds and will be based on evidence of compliance with state and federal laws, progress towards fully implementing the approved program, and progress towards local program goals (including enrollment and attendance goals).
  - a. For each cohort of approved applicants, funding allotted in the first two years of the grant will be available for 27 months. Funding allotted for the final year will only be available for 15 months. Funding will be subject to reversion on the following basis:
    - i. Any unobligated Year 1 funds as of September 30<sup>th</sup> following completion of the second year of the grant;
    - ii. Any unobligated Year 2 or Year 3 funds as of September 30<sup>th</sup> following completion of the third year of the grant.
- 2) The minimum amount of an allotment to eligible entities is \$50,000, and the maximum amount is not to exceed \$300,000 unless the program administrators, with State Board approval, deem another amount as necessary to operate a program.
- 3) Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

- 4) Funding for each year of the grant is to be provided in three installments, with eligibility to receive second and third installments dependent meeting or exceeding attendance thresholds for program participation. During each annual grant cycle, if a subgrantee does not qualify to receive all funding installments, the entity must request a funding reduction; any reduced funds will not be available for carryover in a subsequent fiscal year.
  - a. If a subgrantee does not meet the established threshold for “regular attendees” by the annual deadline, the subgrantee will be required to take a mandatory reduction in funds.
  - b. If a subgrantee is required to waive a second or third installment over the course of the fiscal year, a subgrantee may be required to reduce funds for future years.
  - c. If a subgrantee requests to reduce the number of enrolled students as stated in its State Board of Education-approved application, the subgrantee will be required to reduce its annual grant award by a proportional amount. The reduction in the number of enrolled students and reduced grant award will apply to the remainder of the grant cycle.

#### SUMMER MINI-GRANTS:

- 1) If funds remain available after approving all current year projects, DPI will award summer mini-grants to establish or expand community learning centers that operate during out-of-school (OTS) hours. Summer mini-grants will be one-time awards to cover summer programming for a single calendar year and will be non-recurring.
- 2) The minimum amount of an allotment to eligible entities is \$50,000, and the maximum amount is not to exceed \$300,000.
- 3) Funds for summer mini- grants may be used for allowable program expenditures beginning on April 11th and ending on September 1st. September 1st is the deadline for obligation of summer mini-grant funds to ensure reimbursements are received prior to September 30th. Funds remaining after September 30th will revert without a liquidation period.
- 4) Summer mini-grant funds may only be used for allowable costs for the 21st Century Community Learning Centers Program.

**ESEA TITLE IV, PART C -- NC ADVANCING CHARTER COLLABORATION AND EXCELLENCE FOR STUDENT SUCCESS (ACCESS) SUBGRANT PROGRAM (PRC 160)**

PROGRAM REPORT CODE: 160  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-160-XXX  
 CFDA #: 84.282A

TYPE: Dollars  
 TERM: Up to 60 months, 10/01/2018 –09/29/2023  
 PURPOSE: North Carolina’s approved federal Charter Schools Program (CSP) grant created the NC ACCESS Program to create competitive school-level subgrants to increase the number of educationally disadvantaged students attending high-quality charter schools and expand the number of high-quality charter schools available to educationally disadvantaged students  
 ELIGIBILITY: Charter schools that meet the eligibility criteria outlined in North Carolina’s state CSP application may apply. **Those approved by the State Board of Education are awarded funding**  
 FORMULA: N/A.

**SPECIAL PROVISION:**

- 1) All expended funds shall be allowable under Section 4303(h) of Every Student Succeeds Act.
- 2) Per the approved state CSP grant award, subgrants for each category shall not exceed:
  - a) Planning and Implementation: \$400,000
  - b) Implementation Only: \$250,000
  - c) Expansion: \$600,000
  - d) Replication: \$600,000
- 3) Funds received through this grant shall not supplant current funding streams.

**ESEA TITLE V, PART B – RURAL AND LOW-INCOME SCHOOLS (RLIS) (PRC 109)**

PROGRAM REPORT CODE: 109  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-109-XXX  
 CFDA #: 84.358

TYPE: Dollars  
 TERM: Up to 27 months  
 PURPOSE: To provide additional resources for rural and low-income schools that might otherwise receive formula allocations in amounts too small to be effective in meeting their intended purpose  
 ELIGIBILITY: All of the following conditions must be true for an LEA/charter school to receive funding:  
 1) 20% or more of the children ages 5 to 17 served by the LEA/charter school are from families with incomes below the poverty line.  
 2) All schools in the LEA/charter school must be designated as school locale code 32, 33, 41, 42, or 43 (school locale codes 32, 33, 41, 42, or 43 have less than 25,000 people); and  
 3) The LEA/charter school is eligible to receive a grant from the Small, Rural Schools Act (SRSA) if it is eligible for a RLIS grant.  
 4) Eligibility is subject to a final determination by the U.S. Department of Education.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Allocations to eligible LEAs/charter schools are made on the basis of ADM.

**SPECIAL PROVISION:**

- 1) Use of funds: Grant funds shall be used for any of the following:
  - a) Title I, Part A Improving the Academic Achievement of the Disadvantaged (PRC 050)
  - b) Title II, Part A Supporting Effective Instruction (PRC 103)
  - c) Title III English Learner (PRC 104)
  - d) Title IV Part A Student Support and Academic Enrichment Grants
  - e) Parental Involvement Activities
- 2) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.

- 3) Program Administrators will notify the School Allotments Section of any LEA/charter school that has not submitted an application for funding by November 30th. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
- 4) Reallocation of current year funds: With the exception of eligible charters that are in their first year of operation, funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.

**MCKINNEY – VENTO HOMELESS ASSISTANCE ACT (PRC 026)**

PROGRAM REPORT CODE: 026  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-026-XXX  
 CFDA #: 84.196A

TYPE: Dollars  
 TERM: Up to 27 months  
 PURPOSE: To provide funds to develop services to meet the educational and related needs of homeless students (e.g. tutoring, counseling, enrollment, attendance, staff development, parent training, etc.).  
 ELIGIBILITY: LEAs including charter schools which have identified 50 or more homeless students, may submit an application. LEAs including charter schools, which have identified less than 50 homeless students, may submit an application as a consortium with LEAs whose total number of identified homeless students is greater than 50.

**State Board of Education approval is required before allocation of funds to selected LEAs/charter schools.**

FORMULA: N/A

**SPECIAL PROVISION:**

- 1) Priority must be given to homeless students. After the educational and related needs of homeless students are met, other at-risk children are eligible to receive services.
- 2) Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year.
- 3) Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
- 4) The sub-grant award is available for three years beginning with the school year following approval.



**SUMMER MINI-GRANTS:**

- 1) Summer mini-grants are awarded by DPI to establish or expand homeless education programs as stipulated in the McKinney-Vento Act.
- 2) The minimum amount of an allotment to eligible entities is \$2,000 and the maximum amount is not to exceed \$25,000.
- 3) Funds for summer mini- grants may be used for allowable program expenditures beginning on May 10th and ending on September 30th with a liquidation date of December 30th.
- 4) Summer mini-grant funds may only be used for allowable costs for McKinney-Vento Homeless Education Program implementation.

# Special Education Programs

**PUBLIC SCHOOLS OF NORTH CAROLINA  
STATE BOARD OF EDUCATION  
DEPARTMENT OF PUBLIC INSTRUCTION  
FINANCIAL AND BUSINESS SERVICES  
DIVISION OF SCHOOL BUSINESS**



**IDEA – SECTION 611 GRANTS TO STATES (PRC 060)**

PROGRAM REPORT CODE: 060  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-060-XXX  
 CFDA #: 84.027A

TYPE: Dollars  
 TERM: Up to 27 months  
 PURPOSE: Provides funding to initiate, expand, and continue special education and related services to children with disabilities ages 3 through 21.  
 ELIGIBILITY: Each LEA/charter school/state operated program must establish their eligibility under section 613 of the IDEA. A plan must be submitted that provides assurances that the LEA/charter school/state-operated program meets each of the conditions in section 613(a) of the IDEA. Funds are available to provide services for eligible children with disabilities currently served and who subsequently enroll or are identified during the year, or to conduct child find activities if no children with disabilities are currently served by the LEA/charter school/state-operated program.

**Grant application with established eligibility must be approved prior to the LEA/charter school/state-operated program receiving the allotment.**

FORMULA: Formula is as follows:

- 1) Base payments:
  - a) Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1999-2000 IDEA Title VI-B grant. The LEA's portion is calculated based on the December 1998 child count.
- 2) Base payment adjustments for any fiscal year after the base year fiscal year are adjusted:
  - a) If a new LEA or charter school is created, the LEA's base allocation shall be divided among the new LEA or charter school and affected LEA based on the relative numbers of children with disabilities ages 3 through 21 contained in the most current December 1st child count.
  - b) If one or more LEAs are combined into a single new LEA, the base allocations of the merged LEAs shall be combined.
  - c) If the LEA's geographic boundaries or administrative responsibility for providing services to children with disabilities ages 3 through 21 are changed, the base allocations of affected LEAs shall be redistributed among affected LEAs. The base will then be based on the relative number of children with disabilities from ages 3 through 21 contained in the most current December 1st child count.

- d) If a PSU has a base payment of zero in its first year of operation, its base payment must be adjusted for the first fiscal year after the first annual child count in which the LEA reports that it is serving any children with disabilities.
  - e) If a charter school significantly expands enrollment in a fiscal year, its base payment must be adjusted to ensure that it receives the proportionate amount of funds for which it is eligible under the formula.
- 3) Funds remaining after distribution of the base shall be allocated as follows:
- a) Eighty-five percent (85%) of remaining funds shall be allotted to those agencies on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.
  - b) Fifteen percent (15%) of remaining funds shall be allotted to those agencies in accordance with their relative numbers of children living in poverty, as determined by the December 1st free lunch count.
- 4) Set Aside and Risk Pool:
- a) If the actual amount set aside for State administration is over \$850,000 and the State will use funds from its award to support a high-risk pool, the maximum amount the state may set aside is 10% of the grant award. North Carolina supports a Risk Pool and Out-of-District Placement Program. These funds may also be used for state level activities in the description of the Use of Funds under Part B of the Individuals with Disabilities Education Act – 20 U. S C. 1411(e)(5); 34CFR, Section 300.171.

#### SPECIAL PROVISION:

- 1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools/state operated programs for 27 months, provided a timely project application is submitted each year.
- 2) Each LEA/charter school/state operated program must maintain documentation that it has spent the same minimum amount (on the average) for the education of its children with disabilities as the LEA/charter school/state operated program has spent for all the children in the LEA/charter school/state operated program taken as a whole before any IDEA VI-B funds are used for the education of children with disabilities.
- 3) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget based on the results of a Hearing.
- 4) Reallocation of funds – Any funding not allotted will be reallocated in accordance with the program formula.
- 5) The Individuals with Disabilities Education Improvement Act (2004) IDEA requires LEAs /Charters determined to have significant disproportionality as described under 300.646(b) (2) to reserve the maximum amount of funds (15%) under section 613(f) of the Act to provide comprehensive coordinated early intervening services to serve children in the LEA/Charter, particularly, but not exclusively, children in those groups that are significantly

disproportionate under 300.646(a) of the IDEA regulation. The IDEA also requires the LEA to review and, if appropriate, revise policies, practices, and procedures as describe under 300.646(b)(1) of the IDEA regulations.

- 6) Funds are transferred under two conditions: (1) LEAs with significant disproportionality will have 15% of their current year total IDEA allocation (PRC 049 and 060) transferred to Program Report Code 070 – Coordinated Early Intervening Services – IDEA 2004. This fund transfer directive comes from the Exceptional Children Division annually of all LEAs with significant disproportionality. (2) LEAs may request permission for "permissive use" of up to and including 15% of their total IDEA 2004 allocation (PRC 049 and 060) for Coordinated Early Intervening Services from the Exceptional Children Division. The amounts approved by the Exceptional Children Division are subtracted from the LEAs PRC 060 current allotment by the School Business Services – School Allotments Section before funds are allocated.

**IDEA - CHILDREN WITH DISABILITIES – RISK POOL (PRC 114)**

PROGRAM REPORT CODE: 114  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-114-XXX  
 CFDA #: 84.027A

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides IDEA, Title VI, Part B funds to "high need" students with disabilities served in local education agencies (LEAs). These funds are to be used for the student's special education and related service needs.

ELIGIBILITY: A reserve has been established for LEAs with "high needs" students with disabilities. The costs of the educational services must impact the resources of the LEA serving the student. The allocation is based on an approved budget submitted by the LEA. The LEA must address the following information before eligibility can be determined for funding:

- 1) Address the financial impact on the LEA.
- 2) Address number of children over the 12.75% cap, receipt of local funding, the receipt of low wealth funds.
- 3) Have other special funding applications been submitted for the student? Note that the child can receive funds from only one special funding source.
- 4) Ensure that the cost of the student is greater or equal to three times the average per pupil expenditure.
- 5) Address the additional special education and related services needed for each student.
- 6) There is no "carry-over" provision. Funds must be spent in the school year allocated.
- 7) A Summary list of LEA/charter school allotments will be provided semi-annually to the State Board of Education.

FORMULA: State aid and federal IDEA 611 per child allotments are subtracted from the costs of special education and related services on an approved application. The remaining funds are allocated to the LEA/charter school.

**SPECIAL PROVISION:**

- 1) Funds cannot be transferred into or out of this category.
- 2) Funds may only be expended according to the approved budget.
- 3) An application must be submitted annually for each student with disabilities to determine eligibility.
- 4) Special consideration will be given to those LEAs with counts over and those which receive no local funding.

- 5) Funds are child-specific and all unused funds must be returned. Any unexpended funds that revert to DPI will be reallocated through PRC 060 as supplemental allotments to PSUs with approved applications.
- 6) All withdrawals must be reported to DPI.
- 7) Indirect cost cannot be charged to this program.
- 8) Positions paid with these funds are subject to time and effort requirements.
- 9) Additional information and application located at: [ccip.schools.nc.gov](http://ccip.schools.nc.gov).

**IDEA – COORDINATED EARLY INTERVENING SERVICES (CEIS) (PRC 070)**

PROGRAM REPORT CODE: 070  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-070-XXX  
CFDA #: 84.027

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: CEIS is used to develop and implement coordinated, early intervening services. IDEA federal regulations mandates 15% of an LEA, charter school, or state-operated program's total annual IDEA allocation (PRC 049 and 060) is set-aside for any LEA, charter school or state-operated program with significant disproportionality based on race and ethnicity with respect to the identification of children with disabilities including particular impairment; the placement in particular educational settings of children; and the incidence, duration and types of disciplinary actions, including suspension and expulsions. **This legislation also requires states to monitor the expenditure of CEIS funds. Services provided for non-disabled students for CEIS should be purchased through Program Report Code (PRC) 070.**

ELIGIBILITY: All LEAs, charter schools, state-operated programs mandated to use 15% of their total IDEA funds (PRC 049 and 060) and LEAs, charter schools and state-operated programs electing to use up to and including 15% of their total IDEA funds (PRC 049 and 060) permissively for CEIS are eligible. For permissive use of funds, the funds may be used for students in kindergarten through grade 12 (with particular emphasis on students in kindergarten through grade 3) who have not been identified as needing special education or related services.

FORMULA: The formula for mandated CEIS is 15% of the LEA, charter school or state-operated program's current year total IDEA allotment (PRC 049, 060) transferred to PRC 070. The formula for permissive CEIS is up to and including 15% of the LEA, charter school or state-operated program's current year total IDEA allotment (PRC 049 and 060) transferred to PRC 070.

SPECIAL PROVISION:



- 1) For non-mandatory CEIS LEAs, funds can be reverted (between July 1 and January 15 of the initial fiscal year of the allocation) from PRC 070 to PRC 060. Funds may only be expended according to the approved budget.
- 2) Expenditures for Coordinated Early Intervening Services should be from PRC 070.
- 3) Coordinated Early Intervening Service expenditures must be based on scientifically research-based programs selected by the LEA, charter school or state-operated program for students in general education at risk of placement in special education. The 15% provided for these programs is federally mandated for any LEA, charter school or state-operated with significant disproportionality by disability, or race-ethnicity, but this does not prohibit other LEAs, charter schools or state-operated programs from using up to and including 15% of their total IDEA funds for Coordinated Early Intervening Services.
- 4) Funds are specific and all unused funds not encumbered by June 30th are carried over to next year. Although calculated on the total IDEA allocation (PRC 049 and 060), the 15% for Coordinated Early Intervening Services is taken from the initial PRC 060 allocation, thus reducing the initial allocation annually. The 15% for Coordinated Early Intervening Services cannot be based on carry-over funds from prior year initial allotments. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months, provided a timely project application is submitted each year. The 15% of total IDEA funds for Coordinated Early Intervening Services follows the same liquidation period. Federal regulations require 15% be set-aside annually for all mandated CEIS.
- 5) Exceptional Children Division will, after review of necessary data, assign technical assistance to LEAs not following state and federal guidelines regarding the use of these federal funds.
- 6) Positions paid with these funds are subject to time and effort requirements.

**IDEA– SECTION 619 PRESCHOOL GRANTS (PRC 049)**

PROGRAM REPORT CODE: 049  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-049-XXX  
 CFDA #: 84.173A

TYPE: Dollars  
 TERM: Up to 27 months  
 PURPOSE: Provides funds to initiate and expand preschool special education and related services programs for children with disabilities ages 3-5.  
 ELIGIBILITY: Each LEA that has established its eligibility under section 619 of IDEA is eligible for funds to provide services for eligible children with disabilities who are 3-, 4-, and those 5-year old children who will not turn 6 prior to the December 1 Child Count. IDEA – Section 611 Grants to States (PRC 060) eligibility requirements must be satisfied to apply for and receive IDEA Section 619 Preschool Grants (PRC 049) funds.

**A grant application must be submitted and approved prior to the LEA receiving the allotment.**

FORMULA: Formula is as follows:

- 1) Base payments:
  - a) Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1997-98 IDEA Title VI-B Preschool grant. The LEA's portion is calculated based on the December 1996 child count.
- 2) Base payment adjustments: For any fiscal year after the base year fiscal year:
  - a) If a new LEA is created, the LEA's base allocation shall be divided among the new LEA and the affected LEA based on the relative numbers of children with disabilities ages 3 through 5 contained in the most current December 1 child count.
  - b) If one or more LEAs are combined into a single new LEA, the base allocations of the merged LEAs shall be combined.
  - c) If two or more LEAs with geographical boundaries or administrative responsibilities for providing services to children ages 3 through 5 changes, the base allocations of the affected LEAs shall be redistributed among affected LEAs. This redistribution is based on the relative numbers of children with disabilities ages 3 through 5 contained in the most current December 1st Child Count.
  - d) If a PSU has a base payment of zero in its first year of operation, its base payment must be adjusted for the first fiscal year after the first annual child count in which the LEA reports that it is serving any children with disabilities.

- e) If a charter school significantly expands enrollment in a fiscal year, its base payment must be adjusted to ensure that it receives the proportionate amount of funds for which it is eligible under the formula.
- 3) Funds remaining after distribution of the base shall be allocated as follows:
  - a) Eighty-five percent (85%) of remaining funds shall be allotted on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.
  - b) Fifteen percent (15%) of the remaining funds shall be allotted in accordance with the relative numbers of children living in poverty, as determined by the December 1st free lunch count.

**SPECIAL PROVISION:**

- 1) Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months provided a project is submitted each year.
- 2) Funds must be used to supplement state, local and other federal funds and not to supplant those funds.
- 3) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's budget.
- 4) Reallocation of funds – Any funding not allotted will be reallocated to PSUs with approved applications in accordance with the program formula.
- 5) Positions paid with these funds are subject to time and effort requirements.

**IDEA SPECIAL NEEDS TARGETED ASSISTANCE (PRC 118)**

PROGRAM REPORT CODE: 118  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-118-XXX  
 CFDA #: 84.027A

TYPE: Dollars  
 TERM: Up to 27 months  
 PURPOSE: The Individuals with Disabilities Education Act 2004 provides funds to local education agencies, charter schools and state-operated programs for specific areas of need for students with disabilities. These targeted areas include the establishment and coordination of reading/writing training, Positive Behavior Interventions and Support coordinators and training, related services support, autism and low incidence support and training.  
 ELIGIBILITY: All LEA/charter school/state-operated programs are eligible based on specific targeted assistance approved by the Exceptional Children Division.  
 Initial application for competitive awards must be approved by the State Board of Education prior to the LEA receiving the allotment. A summary list of LEA allotments for non-competitive awards will be provided to the State Board of Education semi-annually.  
 FORMULA: These funds are allocated based on state level assessment of local needs, approved requests to operate academic and behavioral programs, and the costs of approved state level initiatives.

**SPECIAL PROVISION:**

- 1) Each LEA, charter school and state-operated program can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools/state-operated programs for 27 months.
- 2) Each LEA/charter school/state-operated program must maintain documentation of funds expended annually to support the approved special needs targeted assistance and report the expenditures to the EC Division through the Combined Expenditure Report.
- 3) Funds are specific and all unused funds not encumbered by June 30th are carried over to the next year.
- 4) Current year awards for which a PSU is eligible will be reduced by the amount of funds carried over by that PSU from the previous fiscal year.
- 5) Positions paid with these funds are subject to time and effort requirements.

**IDEA PRESCHOOL TARGETED ASSISTANCE (PRC 119)**

PROGRAM REPORT CODE: 119  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-119-XXX  
 CFDA #: 84.173A

TYPE: Dollars  
 TERM: Up to 27 months  
 PURPOSE: The Individuals with Disabilities Education Act 2004 provides funds to local education agencies specific areas of need for students with disabilities. These targeted areas include professional development and support around activities to improve Child Find programs, early childhood transitions, early childhood outcomes, and improving preschool LRE opportunities for handicapped preschoolers.  
 ELIGIBILITY: LEAs are eligible based on specific targeted assistance approved by the Office of Early Learning.  
 Initial application for competitive awards must be approved by the State Board of Education prior to the LEA receiving the allotment. A summary list of LEA allotments for non-competitive awards will be provided to the State Board of Education semi-annually.  
 FORMULA: These funds are allocated based on state level assessment of local needs, approved requests to operate best practice programs, and the costs of approved state level initiatives.

**SPECIAL PROVISION:**

- 1) Each LEA can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months.
- 2) Each LEA must maintain documentation of funds expended annually to support the approved special needs targeted assistance.
- 3) Positions paid with these funds are subject to time and effort requirements.
- 4) Any funds not allotted by DPI during the previous year will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
- 5) Funds are specific, and all unused funds not encumbered by June 30th are carried over to the next year.
- 6) Current year awards for which a PSU is eligible will be reduced by the amount of funds carried over by that PSU from the previous fiscal year.

**IDEA– SPECIAL EDUCATION STATE IMPROVEMENT GRANT (PRC 082)**

PROGRAM REPORT CODE: 082  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-082-XXX  
 CFDA #: 84.323A

TYPE: Dollars

TERM: 60 months in a recurring five-year cycle

PURPOSE: The NC State Improvement Project (State Personnel Development Grant) provides personnel development and program support services to significantly improve the performance and success of students with disabilities state-operated programs, traditional and charter local education agencies (LEAs) in North Carolina. Funds support the implementation of researched-based practices in reading, mathematics, co-teaching, adolescent literacy, leadership development and coaching support through the establishment of best practices centers, demonstration, and network partnership implementation.

The four major goals established to accomplish the purpose of the NC State Improvement Project (NC SIP) are:

- 1) NC SIP staff will increase their capacity to provide leadership, professional development, coaching, and supports to participating districts, teachers, and families on leadership and effective reading math, and content literacy instruction.
- 2) District and building administrators will have the skills to develop, implement, and evaluate district plans that support the improvement of core content instruction and achievement of students with disabilities in their districts.
- 3) Teachers and administrators will have the skills to effectively implement research-based reading, math, adolescent literacy and co-teaching instructional practices for students with disabilities in the K-12 classroom, which will lead to increased student engagement, student generalization of skills, academic achievement, and family engagement.
- 4) 4. Pre-service teachers and in-service administrators enrolled in partnering IHEs, will have the capacity to effectively implement and support research-based reading, math adolescent literacy, and co-teaching for students with disabilities.

**ELIGIBILITY:** Each state-operated program, traditional and charter LEA is provided an opportunity to submit an application to be a NC State Improvement Project (SIP) Best Practice, Demonstration, or Network site.

Initial funding must be approved by the State Board of Education prior to the state operated program, traditional and charter and LEA receiving.

**FORMULA:** Funds are allocated annually to state operated programs, traditional and charter LEAs selected through the competitive application process. The amount of funds allocated is based on state level assessment of local needs, for selected and approved centers and sites.

**SPECIAL PROVISION:**

- 1) Funds may not be transferred out of this PRC.
- 2) Funds are allocated after September 30 of each year. This grant cycle will end September 30, 2021.
- 3) Each state operated program, traditional and charter LEA selected to participated in NC State Improvement Project must maintain and submit documentation of funds expended bi-annually to support the implementation of their SIP implementation plan.
- 4) Funds are specific and all unused funds not encumbered by September 30th are carried over to next year. In years 1-4 of the grant, selected LEAs have 27 months to spend the funding each year. In year 5 (final year of the grant), participating LEAs will have 12 months with a 90-day liquidation to use the grant funds
- 5) Current year awards for which a PSU is eligible will be reduced by the amount of funds carried over by that PSU from the previous fiscal year.
- 6) Guidelines for spending follow: 90% of funds allotted are to budgeted and spent for professional development; 10% of funds allotted are to be budgeted and spent for materials to support the professional development.

# **Career and Technical Education Programs**

**PUBLIC SCHOOLS OF NORTH CAROLINA  
STATE BOARD OF EDUCATION  
DEPARTMENT OF PUBLIC INSTRUCTION  
FINANCIAL AND BUSINESS SERVICES  
DIVISION OF SCHOOL BUSINESS**





**CAREER AND TECHNICAL EDUCATION - PROGRAM IMPROVEMENT (PRC 017)**

PROGRAM REPORT CODE: 017  
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-017-XXX  
 CFDA #: 84.048A

TYPE: Dollars  
 TERM: Up to 12 months  
 PURPOSE: Provides funding to assist in developing the academic, career and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs.  
 ELIGIBILITY: Each LEA/charter school is entitled to funding based on applications approved by the Division of Career and Technical Education. **Application must be approved prior to the LEA/charter school receiving the allotment.**  
 FORMULA: Of the total funds available for State Aid, allocation to LEAs/charter schools will be based on the following:  
 1) Thirty percent (30%) will be allotted based on individuals ages 5-17 who resided in the school district served by the LEA.  
 2) Seventy percent (70%) will be allotted based on individuals ages 5-17 who reside in the school district served by the LEA from families with incomes below the poverty line.

**SPECIAL PROVISION:**

- 1) Any LEA or charter school earning less than \$15,000 based on the formula must enter a consortium with other LEAs or be granted a waiver by the State Board of Education from the minimum grant requirement to be eligible for a grant. Once a waiver has been approved, it will remain in effect throughout the duration covered by the state plan.
- 2) The allocation for LEAs and charter schools will be reduced according to the formula listed above for new charter schools. A charter school must submit an application by the application deadline date and serve grades 7-12 in order to receive funding.
- 3) Grant funds will be available for up to 12 months. All projects must end June 30, with no liquidation period allowed beyond that date.
- 4) Each eligible LEA/charter school receiving funds must limit administrative cost to five percent (5%).
- 5) Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula by February.

- 6) Reallocation of reverted funds: Funding reverted will be redistributed to eligible LEAs/charter schools in the following fiscal year in combination with that fiscal year's initial allotment based on the formula or program needs.

# Miscellaneous Federal Programs

**PUBLIC SCHOOLS OF NORTH CAROLINA  
STATE BOARD OF EDUCATION  
DEPARTMENT OF PUBLIC INSTRUCTION  
FINANCIAL AND BUSINESS SERVICES  
DIVISION OF SCHOOL BUSINESS**



**HURRICANE RELIEF – HURRICANE DORIAN RECOVERY (PRC 162)**

PROGRAM REPORT CODE: 162  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-162-XXX  
 CFDA #: 84.938A

TYPE: Dollars

TERM: Up to 24 Months

PURPOSE: The Immediate Aid to Restart School Operations program (Hurricane Relief – Hurricane Dorian Recovery) is intended to assist eligible LEAs and non-public schools with expenses related to restarting school operations, re-opening schools, and reenrolling students at elementary and secondary schools in areas impacted by tornadoes and floods occurring in calendar year 2019 in areas for which a major disaster or emergency is declared under section 401 or 501 of the Robert T. Stafford Disaster Relief and Emergency Act (42 U.S.C. 5170 and 5190) – which includes the impacts of Hurricane Dorian in September 2019. Funds may be used to assist school administrators and personnel in restarting school operations, re-opening schools, and reenrolling students.

ELIGIBILITY: LEAs and charter schools that were impacted by flooding or tornadoes in locations in which a major disaster or emergency was declared under section 401 or 501 of the Robert T. Stafford Disaster Relief and Emergency Act were eligible to request funds through the State application. To receive funds, the LEAs had to demonstrate that it incurred expenses related to restarting school operations, re-opening schools, or reenrolling students due to damage sustained through flooding or tornadoes.

The following LEAs and charter schools were included in the State application and are eligible for funds: Dare, Edgecombe, Hyde, Lenoir, and Tyrrell.

**State Board of Education approval is required before allocation of funds.**

FORMULA: Eligible requests approved within the State application shall be funded within grant funds available. If the total grant is not sufficient to cover all requests, the funding request for each recipient shall be reduced equally.

**SPECIAL PROVISION:**

- 1) Grants funds are available for 24 months from the date of the award to DPI. All funding must be obligated and liquidated at the end of the 24-month period of availability; there is no additional liquidation period for awarded funds.
- 2) Grant funds may not be used for construction or major renovation of schools; funds may be used for minor remodeling and repairs.
- 3) Subject to supplanting prohibitions, funding recipients may use grant funds for pre-award costs, including the reimbursement of expenditures incurred prior to receipt of a grant.
- 4) Grants funds must be used to supplement any funds made available through local, State, or other Federal sources, including funding provided through the Federal Emergency Management Agency (FEMA).
- 5) Funds can be used for costs that may ultimately be reimbursed by insurance, FEMA, or other sources, but the LEA must reimburse the grant account once the other funds are received.

**SCHOOL MENTAL HEALTH SUPPORT (PRC 102)**

PROGRAM REPORT CODE: 102

UNIFORM CHART OF ACCOUNTS CODE: XXX0-102-XXX

CFDA #:

TYPE: Dollars

TERM: Up to 60 months

PURPOSE: Provides funding for selected LEAs awarded in the grant to establish, sustain, and improve a continuum mental health and substance use supports and services for all students

ELIGIBILITY: LEAs were selected through the US Substance Abuse and Mental Health Services Administration (SAMHSA) grant proposal process.

FORMULA: The NC Project AWARE/ACTIVATE grant defines the total annual grant amount and the percentage for each category. The annual grant amount shall be distributed as follows:

- 1) 51% Infrastructure development –allocated by average daily membership (ADM)
- 2) 34% Services Activities –allocated by ADM
- 3) 11% Data Collection, Evaluation –allocated in an equal share among the grantees.
- 4) 4% Mental illness prevention -allocated by ADM

**SPECIAL PROVISION:**

- 1) Allotted funds which Project AWARE/ACTIVATE LEAs do not use as designated within each funding year(12-months) shall revert to the State for re-allocation the following funding year, based on SAMHSA approval of budget change requests.
- 2) Per the grant requirements, administration funds shall be provided annually as follows:
  - a) DPI -1 fulltime project coordinator, and contracted service for evaluation.
  - b) DHHS -half time project coordinator.
- 3) Funds cannot be transferred in or out of this category.

**SEXUAL RISK AVOIDANCE EDUCATION PROGRAM (SRAE) (PRC 101)**

PROGRAM REPORT CODE: 101  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-101-XXX  
 CFDA #: 93.235

TYPE: Dollars  
 TERM: Up to 15 months  
 PURPOSE: Provides funding to enable the State to implement abstinence education programming as defined by Section 510(b) of the Social Security Act (42 U.S.C. 710 (b)). These programs may also include mentoring, counseling and adult supervision services that promote abstinence from sexual activity.  
 ELIGIBILITY: The program must serve grade(s) 5 and 6 in 30 high need LEAs.  
 LEAs are prioritized based on high rates of teen pregnancy, high teen birth rates, high rates of children ages 0-17 in foster care or out-of-home placement., high percentage of free and reduced lunch eligibility, and additional and academic risk factors (e.g. poor attendance and low graduation rates).  
 Note: Due to federal guideline changes, States are limited to the number of sub-awardees to fund.  
 FORMULA: N/A

**SPECIAL PROVISION:**

- 1) The grant period is from October 1st to September 30th of the following year. Funds must be obligated by September 30th and liquidated by December 31st. Any funds that remain unobligated or unliquidated after December 31st will revert to the State with no liquidation period beyond that date.
- 2) Carryover of funds is not applicable to this grant.
- 3) Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved plan and budget by January 31st. After this notification, the LEA will be considered noncompliant and receipt of future allotments will be terminated at the end of the fiscal year.
- 4) Reallocation of current year funds: Funding for the current year that is not accepted by a prioritized LEA or is not approved by January 31st may be distributed to other eligible LEAs/charter schools based on program needs.

**CHILD NUTRITION EQUIPMENT (NSLP) (PRC 053)**

PROGRAM REPORT CODE: 053  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-053-XXX  
CFDA #: 10.579

TYPE: Dollars  
TERM: Up to 27 months  
PURPOSE: To assist Child Nutrition Programs in purchasing equipment that will be used in the preparation and service of school meals.  
ELIGIBILITY: Eligible LEAs are those who have 50% or more of students eligible for free or reduced meals.  
FORMULA: Grants will be made to LEAs based on a competitive process.

**State Board of Education approval is required before allocation of funds to selected LEAs.**

**SPECIAL PROVISIONS:**

- 1) Grants will be made to LEAs based on a competitive process who have 50% or more of students eligible for free or reduced meals. State Board of Education approval is required before allocation of funds to selected LEAs.



